# PEDESTAL, SOL AND DIVERTOR PLASMA PROPERTIES IN DIII-D RMP ELM-SUPPRESSED DISCHARGES AT ITER-RELEVANT EDGE COLLISIONALITY

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### **ABSTRACT**

Large Type-I edge localized modes (ELMs) were completely suppressed by applying edge resonant magnetic perturbations (RMPs) in DIII-D H-mode plasmas with the same low pedestal collisionality ( $v_e^* \sim 0.1$ ) as in ITER. The RMP suppressed ELMs by reducing the edge pressure gradient through a reduction in the pedestal density gradient. Divertor emission profiles showed increases in toroidally localized  $D_{\alpha}$  and carbon ion emission and carbon emission throughout both divertor legs. The lowest density ELM-suppressed discharges showed elevated levels of carbon in the core plasma. The data and boundary simulations indicate that the divertor strikepoints make a transition from a high recycling regime during the ELMing phase to a sheath limited regime during ELM suppression in the lowest density plasmas. ELM suppression during scans of RMP amplitude, injected power and density suggests a possible optimum combination of these actuators for ELM control, without elevated impurity levels, that is extrapolatable to ITER.

## 1. INTRODUCTION

The transient particle and energy fluxes due to Type-I Edge Localized Modes (ELMs) [1], in standard H-mode operation of ITER [2] are predicted to produce sufficient material erosion to limit the operational lifetime of the divertor plasma facing components (PFCs) to as few as several hundred full power discharges [3,4]. The development of actuators that can reduce the magnitude of these transient fluxes to tolerable levels, while maintaining adequate confinement, is a critical issue for the success of ITER and extrapolation to a power producing tokamak reactor.

The work in this paper focuses on the use of resonant perturbations to the edge magnetic field for control of ELMs in H-mode diverted plasmas. Pioneering work on the effect of edge stochastic fields in circular limited plasmas was done by the Tore-Supra group [5,6 and references therein] and by the TEXTOR group [7-9]. Summaries of work on stochastic magnetic fields in fusion devices can be found in Refs. [10] and [11]. Several other techniques attempting ELM control at high confinement have been pursued previously including pellet ELM pacing by the ASDEX-U group [12], ELM pacing using temporal magnetic triggering by the TCV [13] and ASDEX-U [14] groups, and various small ELM regimes at JT60-U [15], ASDEX-U [16], NSTX [17,18], JET [19], C–Mod [20], JFT-2M [21], and DIII-D [22].

The magnitude of the transient fluxes from ELMs has been controlled in the DIII-D tokamak, in plasmas with the same edge collisionality ( $v^*$ ) as predicted for ITER, by application of resonant magnetic perturbations (RMPs) in the edge plasma. This work extends to H-mode confinement and diverted tokamak equilibria, the long history of work, both theoretical and experimental (Refs. 5, 6, 10, 11 and references therein) on the effect of stochastic fields in L-mode circular tokamak plasmas. The work reported here is an extension of DIII-D results reported previously [23-26] which showed that, in high collisionality plasmas, large Type-I ELMs could be replaced by either smaller, Type-II ELMs [22,27] or by rapid edge plasma oscillations, while retaining good H-mode confinement. In the low  $v^*$  plasmas reported here, Type-I ELMs were completely suppressed [28-30] by the RMP from the DIII-D I-coil and no residual transient particle or energy fluxes were observed. Note that the plasma beta in the low  $v^*$  plasmas was

substantially higher than in the high  $v^*$  experiments so anticipated effects of beta changes on the interaction of the RMP fields with the axisymmetric field and the non-axisymmetric error fields [5 and references therein] may also be playing an important role. These experiments are being used to develop sufficient physics understanding of these effects during RMP ELM control to allow confident prediction of its use in future tokamaks.

This paper presents the effects of RMP for ELM control on the pedestal, scrape-off-layer (SOL) and divertor plasmas in DIII-D. A brief description of the experiments is given in Sec. 2. The detailed effects of RMP application and the resulting ELM suppression on the plasma from the pedestal to the targets at low  $v^*$  are given in Sec. 3. The results are discussed in Sec. 4 and conclusions given in Sec. 5.

## 2. BRIEF DESCRIPTION OF EXPERIMENTS

At low  $v^*$  ELMs were suppressed in low triangularity ( $\delta$ ), lower-single-null (LSN) plasmas held at low density by active cryopumping (Fig. 1). Previous experiments at high  $v^*$  [23-26] showed that the RMP was most effective, in high  $\delta$  near double-null plasmas, when the edge safety factor ( $q_{95}$ ) was within a perturbation fields resonance window,  $3.5 < q_{95} < 3.9$ . For many of the low  $v^*$  experiments the plasma current was increasing, and  $q_{95}$  was outside the resonance window at the initial time the RMP was applied. During this period the ELM frequency increased and the amplitude decreased. When  $q_{95}$  decreased into the resonance window later in the RMP phase, ELMs were completely suppressed. The core density decreased after ELM suppression but the core temperatures, especially  $T_i$ , increased and the total energy content remained nearly constant. At the lowest density the edge collisionality was close to that predicted for ITER, although the edge density was much lower than the ITER value.

Parameter variations showed that ELMs were suppressed when the RMP amplitude was above a threshold value ( $\delta B/B_T > 1.8 \times 10^{-4}$ ), input beam power was above a threshold ( $P_{\rm inj} > 4.2$  MW), and pedestal density was below a threshold ( $n_{\rm e,ped} < 2 \times 10^{19}$  m<sup>-3</sup>) [28]. In the scan of RMP amplitude (I-coil current) at fixed power and density, the onset of complete ELM suppression was observed earlier in the discharge [28] for larger RMP amplitude suggesting that the resonance window in  $q_{95}$  could be expanded with larger perturbation fields. Divertor gas puffing into ELM-suppressed conditions to increase density showed that complete ELM suppression could be maintained up to a pedestal density of  $2.8 \times 10^{19}$  m<sup>-3</sup>. Finally, ELM suppression was more effective as the power and normalized beta [ $\beta_{\rm N} = \beta/(I_{\rm p}/{\rm aB})$ ] increased [28] up to the maximum power used (10 MW) and maximum  $\beta_{\rm N} = 2.7$ .

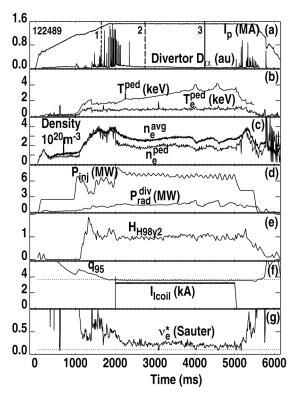


Fig. 1. Low  $v^*$  RMP ELM-suppressed discharge evolution, showing (a) plasma current  $I_p$  (MA) and divertor  $D_\alpha$  (au), (b) pedestal  $T_e^{\rm ped}$  (keV) and  $T_i^{\rm ped}$  (keV), (c) line-averaged and pedestal densities ( $n_e$  and  $n_e^{\rm ped}$  in  $10^{20}$  m<sup>-3</sup>), (d) injected neutral beam power and divertor radiated power ( $P_{\rm inj}$  and  $P_{\rm rad}^{\rm div}$  in MW), (e) energy confinement enhancement factor (HH98y2) [2,3], (f) safety factor at 95% flux ( $q_{95}$ ) and I-coil current  $I_{\rm Icoil}$  (kA), and (g) pedestal electron collisionality ( $v_e^*$ ) [38].

## 3. EFFECTS OF RMP ON THE PEDESTAL, SOL AND DIVERTOR

During low  $v^*$ , ELM suppression, the pedestal density  $n_e^{\text{ped}}$  and density gradient  $\nabla n_{\rm e}^{\rm ped}$  are reduced, pedestal electron temperature,  $T_{\rm e}^{\rm ped}$ , and its gradient are increased somewhat, and ion temperature  $T_i^{\text{ped}}$  increases substantially. This is in contrast to results at high  $\nu^*$  that showed very little change in the pedestal plasma parameters when the RMP was applied [23-26]. Plasma profiles (Fig. 2) show that  $n_e^{\text{ped}}$  is reduced (by 40%) and  $\nabla n_e^{\rm ped}$  is substantially reduced in the ELM-free phase (solid black) compared with profiles just before ELMs (dashed black).  $T_{\rm e}^{\rm ped}$  increased slightly and  $\nabla T_{\rm e}^{\rm ped}$  increased in the barrier region, while  $T_i^{\text{ped}}$  increased substantially. The profiles shown were obtained from Thomson scattering measurements along a vertical chord above the magnetic axis and approximately 20 cm outboard of the axis. The data were mapped to the outer midplane flux surfaces using an axisymmetric equilibrium so any 3D effects of the RMP fields on islands within the pedestal are not well represented by the mapping. Nevertheless, these profile changes point to a reduction in both the total pressure gradient (up to 55%) [Fig. 3(e)] and the calculated edge bootstrap current due to the  $\nabla n_e^{\text{ped}}$ decrease. Stability analysis assuming axisymmetry [28, 29] showed that ELM suppression was achieved by the associated movement of the operating point into a stable region in peeling-ballooning stability space [28, 31].

Increasing the gas fueling of RMP ELM-suppressed discharges increased pedestal and core density but it also broadened the far SOL density profile (Fig. 2) and ELMs appeared when  $n_e^{\text{ped}}$  exceeded a threshold value (Fig. 3). Comparing the  $n_e^{\text{ped}}$  evolution [Fig. 3(c)] with divertor recycling [Figs. 3(d-f)] shows that small rapid ELMs appeared in the intermediate and the high gas rate discharges when  $n_e^{\text{ped}}$  exceeded a threshold value of  $2.8 \times 10^{19}$  m<sup>-3</sup>, for RMP amplitude  $(\delta B r^{(11,3)}/B_T = 2.6 \times 10^{-4}$ , I-coil current = 3 kA) and injected beam power ( $P_{\text{inj}} = 7.2$  MW). Comparing profiles (Fig. 2) shows that the intermediate gas rate did increase  $n_e^{\text{ped}}$  and the total pedestal pressure without inducing ELMs. Higher gas rate did not increase the total pedestal pressure or its gradient further; instead the pedestal produced small, rapid ELMs [Fig. 3(f)]. The density profile with the small ELMs shows a much higher SOL density than either of the two profiles without ELMs or the profile just before ELMs from the ELMing phase.

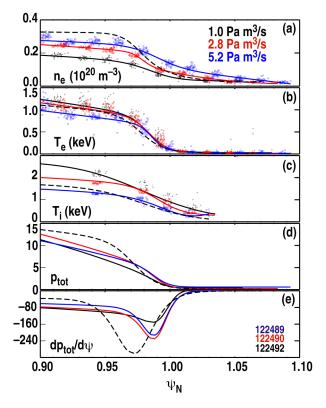


Fig. 2. Profiles from inside the pedestal to the near SOL for (a)  $n_{\rm e}$  ( $10^{20}$  m<sup>-3</sup>), (b)  $T_{\rm e}$  (keV), (c)  $T_{\rm i}$  (keV), (d) total pressure (kPa), and (e) total pressure gradient [kPa/(H/rad)] with same color coding as in Fig. 3. Profiles during ELM suppression are fits to data ( $n_{\rm e}$  and  $T_{\rm e}$  from Thomson scattering and  $T_{\rm i}$  from CER) compiled from 2500-3000 ms (Fig. 3). Profiles from the last 20% of the ELM cycle in the pre-RMP ELMing phase (1400-1900 ms) of the low gas rate discharge are shown in dashed-black.

During low  $v^*$  ELM suppression, outer midplane measurements showed an increased plasma potential  $(V_f)$  near the wall, increased density fluctuations, and decreased magnetic fluctuations from the edge, in contrast to results at high  $v^*$  in which the potential did not change much and magnetic fluctuations increased substantially [26]. At low  $v^*$ , probe data at the outboard midplane wall showed a large positive (>800 V) floating potential during ELM suppression. Similar high wall potential was seen in low  $v^*$ , ELM-free QH-mode plasmas [32]. Analysis of data from multiple density fluctuation diagnostics all showed indications of increased broadband pedestal turbulence, while the density fluctuations level at  $\Psi_N = 0.65$  remained constant. Magnetic fluctuations from the plasma boundary associated with ELMs were eliminated at low  $v^*$ . In contrast, at high  $v^*$  the wall floating potential during ELM suppression remained unchanged at ~0 V and edge magnetic fluctuations increased together with oscillations in  $D_{\alpha}$  and bursts of density fluctuations associated with intermittent transport events.

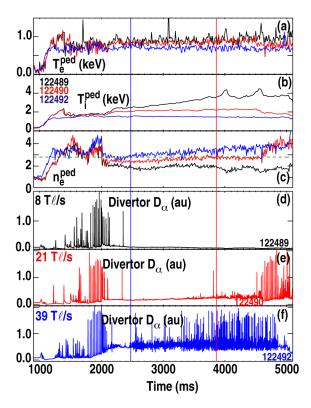


Fig. 3. Evolution of parameters for three gas puffing scan discharges, including (a) pedestal  $T_{\rm e}$  (keV), (b) pedestal  $T_{\rm i}$  (keV), and (c) pedestal  $n_{\rm e}$  ( $10^{20}$  m<sup>-3</sup>). Recycling emission ( $D_{\alpha}$  – au) is given for (d) the low gas puff rate (1.0 Pa m<sup>3</sup>/s), (e) the intermediate gas rate (2.8 Pa m<sup>3</sup>/s), and (f) the high gas rate (5.2 Pa m<sup>3</sup>/s). Vertical lines show times at which small, rapid ELMs appear in the intermediate (red) and high (blue) gas rate discharges.

Divertor conditions changed dramatically when ELMs were completely suppressed at low  $\nu^*$  including substantial increases in carbon emission intensity, localized carbon and deuterium emission near tile edges at divertor strikepoints, and significant changes in heat and particle fluxes to the target plates [33]. Comparing divertor carbon (CIII 465 nm) and deuterium ( $D_{\gamma}$  434 nm) emission profiles at low density (Figs. 4 and 5) shows the emission averaged over ELMs is nearly toroidally uniform and appears near the inner and outer target strikepoints, but carbon emission increases significantly throughout the divertor during ELM suppression. High intensity CIII emission was seen during ELM suppression at toroidally localized points near gaps between target carbon tiles and the images showed helical striations in both divertor legs that appeared to terminate at the local emission points. The spatial structure of the striations was similar to the geometry of SOL flux tubes. The 2D carbon emission reconstruction during ELM suppression [Fig. 4(c)], albeit smoothing the toroidally distinct striations with an assumption of toroidal symmetry, shows carbon emission all along both divertor legs.

Similar increases in toroidal localization of  $D_{\gamma}$  emission were seen during ELM suppression of a similar low  $v^*$  discharge (Fig. 5). The 2D reconstruction shows  $D_{\gamma}$  emission confined to near the target strikepoints but, when the ELMs are suppressed, strong local emission near the tile gaps is clearly seen [Fig. 5(b)]. Finally, outer strikepoint probe data during ELM suppression [33] indicate that the particle flux decreases ( $\sim$ 2x), target  $T_{\rm e}$  increases ( $\sim$ 2x), and target  $V_f$  is very negative. The steady target heat flux during ELM suppression was approximately the same as that between ELMs in the ELMing phase, but the high ELM heat flux transients were eliminated.

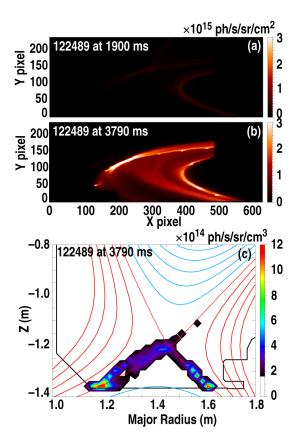


Fig. 4. Tangential view of divertor CIII (465 nm) emission (a) in pre\_RMP ELMy H-mode and (b) during RMP ELM suppression, and (c) 2D emission reconstruction during ELM suppression.

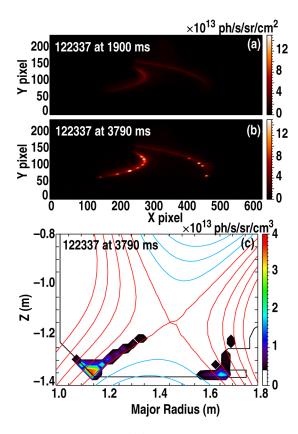


Fig. 5. Tangential view of divertor  $D_{\gamma}$  (434 nm) emission (a) in pre-RMP ELMy H-mode and (b) during RMP ELM suppression, and (c) 2D emission reconstruction during ELM suppression.

These changes to the pedestal, SOL and divertor conditions can produce a large increase in pedestal and core plasma impurity content during ELM suppression, but the impurity increase is sensitive to the degree of ELM suppression, operating density and the level of edge MHD activity.  $Z_{\rm eff}$  profiles associated with  $C^{6+}$  ions for two discharges in the density scan are shown in Fig. 6 for times in the pre-RMP ELMing phase, early in the ELM-free phase and late in the RMP pulse [Fig. 7(a,d-f)]. In both discharges the initial RMP effect early in the ELM suppression was to reduce the pedestal impurity density and increase it near the plasma axis. In the low-density discharge, the impurity density across the profile increased with time during ELM suppression resulting in a  $Z_{\rm eff}$  increase of  $\Delta_{Z_{\rm eff}} = 1.0$  on axis ( $\Delta_{Z_{\rm eff}} = 1.2$  in the pedestal). The high-density discharge showed significantly less impurity increase during the RMP phase. In this case, after a 350 ms ELM-suppressed period, small rapid ELMs with a few infrequent large Type-I ELMs began. As the discharge  $n_{\rm e}$  rose due to gas puffing, and the large Type-I ELMs frequency increased, impurity density remained essentially constant and  $Z_{\rm eff}$ 

decreased slightly. Similar behavior was seen in the intermediate density discharge; impurity density rose during the ELM suppressed phase and then began to decrease when small rapid ELMs started.

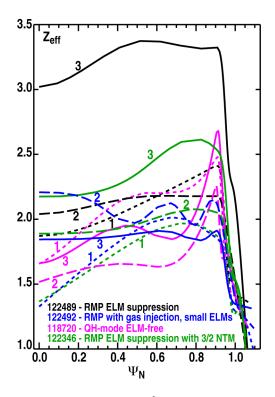


Fig. 6. Profiles of  $Z_{eff}$  due to fully ionized  $C^{6+}$  for the low  $\nu^*$  baseline discharge from Fig. 1 (black) vs a higher  $\nu^*$  discharge (blue), a comparable  $\nu^*$  QH-mode discharge (magenta) and a comparable  $\nu^*$  discharge with a core MHD mode (green). Profiles at the times indicated by vertical lines in Fig. 1(a) for the low  $\nu^*$  and Figs. 7(a-c) for the other discharges respectively.

As an example of low impurity levels in a low density ELM-free plasma, the core  $Z_{\rm eff}$  was much lower in an ELM-free QH-mode discharge than in the low density RMP ELM suppressed case, possibly due to the Edge Harmonic Oscillation (EHO) in the QH-mode discharge. Comparing  $Z_{\rm eff}$  profiles [Fig. 6] for a QH-mode discharge [Fig. 7(b,d-f)] and the low-density RMP discharge shows >2x lower impurity density on axis late in the ELM-free period of the QH-mode discharge. The transition to QH-mode and the EHO decreased the impurity density across the entire profile and high levels of impurities were not observed in the core during the QH-mode ELM-free phase.

Even in a low density RMP ELM-suppressed discharge, the impurity density was significantly lower when there was a low level of MHD activity in the core plasma

compared with a completely quiet ELM-suppressed discharge [Figs. 6, and 7(c-f)]. Here the discharge with lower impurity level had a significant n=2 core MHD mode, the amplitude of which increased with time during ELM suppression. Although  $Z_{\rm eff}$  did increase, the increase was less than in the reference RMP discharge.

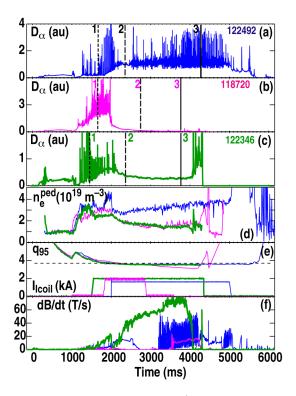


Fig. 7. Evolution of  $D_{\alpha}$  (au) for (a) the higher  $\nu^*$  (blue), (b) QH-mode (magenta) and (c) low density with core MHD (green) discharges. Evolution of (d)  $n_{\rm e}^{\rm ped}$  ( $10^{20}$  m<sup>-3</sup>), (e)  $q_{95}$  and  $I_{\rm Icoil}$  (kA) and (f) dB/dt (T/s) for all three discharges.

## 4. DISCUSSION

Complete suppression of transient particle and energy fluxes from Type-I ELMs, a very positive result for ITER, was achieved in low  $v^*$  plasmas in DIII-D, but some of the accompanying effects of the ELM suppression on divertor conditions and core plasma impurity content need further optimization. Many signatures during ELM suppression in the lowest density DIII-D plasmas suggest a sheath-limited divertor regime. This is consistent with high  $T_e$  (~2x increase to 90 eV) and large negative floating potential (~10x decrease to -120 V) measured at the target strikepoints [33] and with the observed toroidal localization of carbon and deuterium emission from the targets and increases in core carbon content. This condition arises because the only way to achieve low ITER values of collisionality in DIII-D is to operate at very low density and high power, producing hot divertor plasma at a density that is too low to sustain high recycling near the targets. However, these conditions would likely not be reproduced in low  $v^*$  ELM suppression in ITER. First, operation of ITER with RMPs for ELM control would of necessity be accompanied by a divertor target surfaces design optimized to match the expected field structure including the RMP. Second, the high power density in ITER achieves low  $v^*$  at much higher plasma density. In fact, for applicability to ITER, RMP ELM suppression would need to be effective with a partially detached divertor at very high density to keep the steady state peak heat flux below operating limits.

Modeling of RMP and ELM suppression effects on SOL and divertor conditions confirms that the divertor strikepoints in these low density experiments make a transition from a high recycling regime to a sheath limited regime as the density is reduced and ELMs eliminated. Axisymmetric 2D fluid modeling of the SOL and divertor with UEDGE [34] shows that the plasma very near the strikepoints (within 3 mm mapped to the outer midplane) is in the sheath-limited regime with little density increase or temperature decrease in the poloidal profile approaching the plates. Non-axisymmetric (3D) heat transport simulations in vacuum RMP fields [35] predict much higher transport than seen in the experiments suggesting that the response of the toroidally rotating high  $\beta$  plasma in these experiments is playing an important role in RMP field penetration. Finally, 3D vacuum field line tracing [36,37] predicts that a substantial population of field lines, with short connection length between the pedestal and the targets, would

produce radial perturbations of target heat and particle flux profiles with an n = 3 toroidal structure.

The goal of ELM control in ITER requires that the physics mechanisms producing complete ELM suppression in low  $v^*$  DIII-D experiments be understood well enough that extrapolation to an optimized ELM control scenario with a combination of RMP amplitude, operating density and power flow through the pedestal, SOL and divertor can be done. The density scan indicates that it should be possible to find a window in DIII-D operating space with small rapid ELMs during RMP application and sufficient density to keep a high recycling condition near the divertor strikepoints. This optimum will require combining higher injected power and RMP amplitude with controlled fuelling to maintain an optimum density, small, rapid ELMs and perhaps a low level of edge MHD activity to help keep impurities out of the core plasma.

### 5. CONCLUSIONS

Impulsive particle and heat loads to divertor targets and main chamber walls from large Type-I ELMs were completely suppressed in DIII-D, while retaining high core energy confinement, by applying steady-state n = 3 RMP fields from the DIII-D I-coil at low pedestal collisionalities expected in ITER. Scans of injected power, RMP amplitude and gas fueling rate in ELM-suppressed discharges suggest that it should be possible to demonstrate an optimum ELM control scenario for ITER in future DIII-D experiments through a combination of high injected power, high RMP amplitude and moderate gas fueling. Results indicate that optimum ELM control involves a balance between reduction of impulsive loads to the material surfaces, to reduce sputtering damage, with sufficient edge plasma transport to prevent core plasma penetration of impurities. The experiments reported here provide the existence proof that ELM suppression can be achieved at ITER pedestal collisionalities, but elevated core impurity levels are observed due to loss of the high recycling regime near divertor strikepoints at the extremely low density required to get ITER pedestal collisionalities in DIII-D. Future experiments will extend these results to an optimized scenario of RMP controlled small, rapid ELMs with low core impurity level in an ITER-like high-triangularity shape, with ITER-like low core plasma toroidal rotation.

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