

# Validation of Gyrokinetic Transport Simulations Using DIII-D Core Turbulence Measurements

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# Motivation and Overview

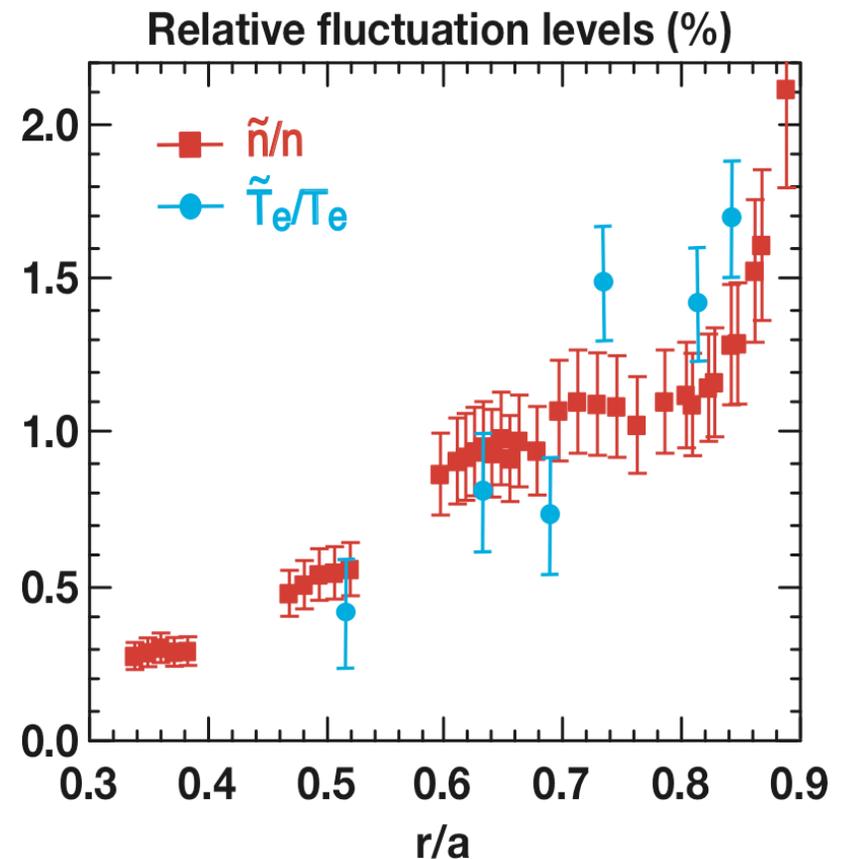
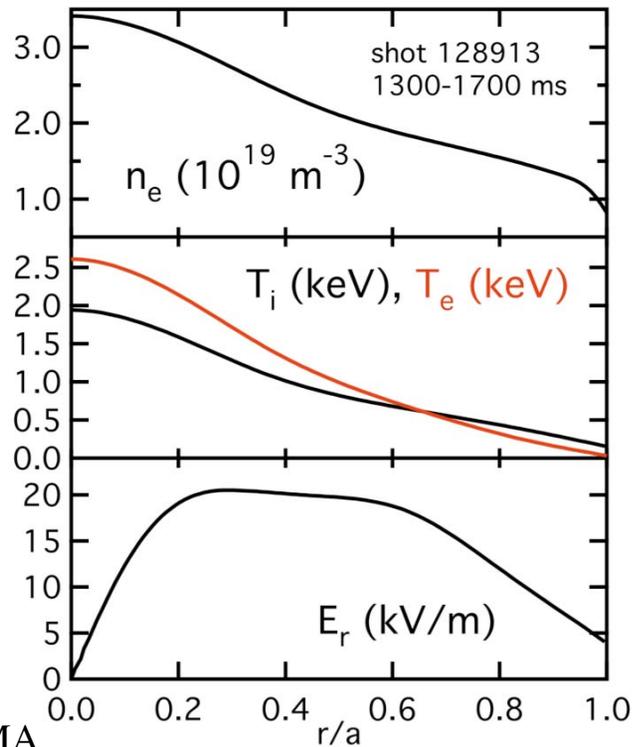
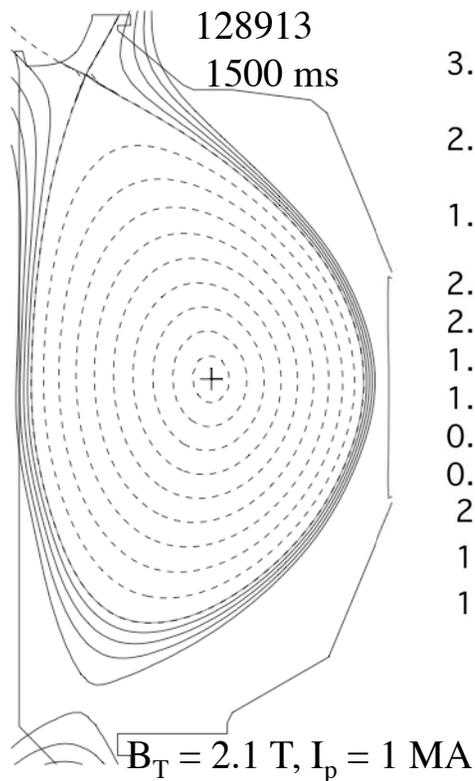
- The development of validated transport models is essential for predicting the performance of ITER and other future reactor devices with confidence
- Comparisons of turbulent transport predictions against “experimental” energy and particle flows are only weakly discriminating
  - the “experimental” flows are calculated via a power balance model with its own assumptions and limitations (e.g. for fast ion transport)
- Much better are comparisons against directly measured characteristics of the underlying turbulence (e.g. spectra and correlation functions)
- In this study, use the GYRO code to model a basic L-mode DIII-D discharge, and compare both predicted energy flows and fluctuation characteristics against experiment

# Summary of Results

- Local GYRO simulations match ion and electron energy flows at  $r/a < 0.6$ , but underpredict the flows at larger  $r/a$
- Local and global GYRO simulations give nearly identical predictions for the energy flows across the entire plasma
- Using synthetic diagnostics, the GYRO-predicted fluctuation spectra are shown to agree well with experimental measurements at  $r/a = 0.5$
- At  $r/a = 0.75$ , GYRO underpredicts fluctuation amplitudes by an amount consistent with the underprediction of the energy flows, but still achieves relatively good agreement in the density correlation functions
- Using the quasilinear TGLF transport model in conjunction with the new TGYRO transport code, the ability to perform nonlinear, predictive fixed-flow transport modeling is now available

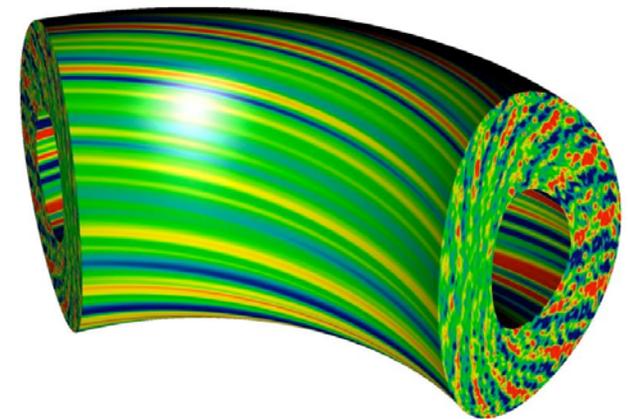
# Use Data From a Steady, Sawtooth-Free L-Mode Plasma for This Study

- Obtain profiles of long wavelength **density** and **electron temperature fluctuations** at outboard midplane via beam emission spectroscopy (**BES**) and correlation electron cyclotron emission (**CECE**) radiometry



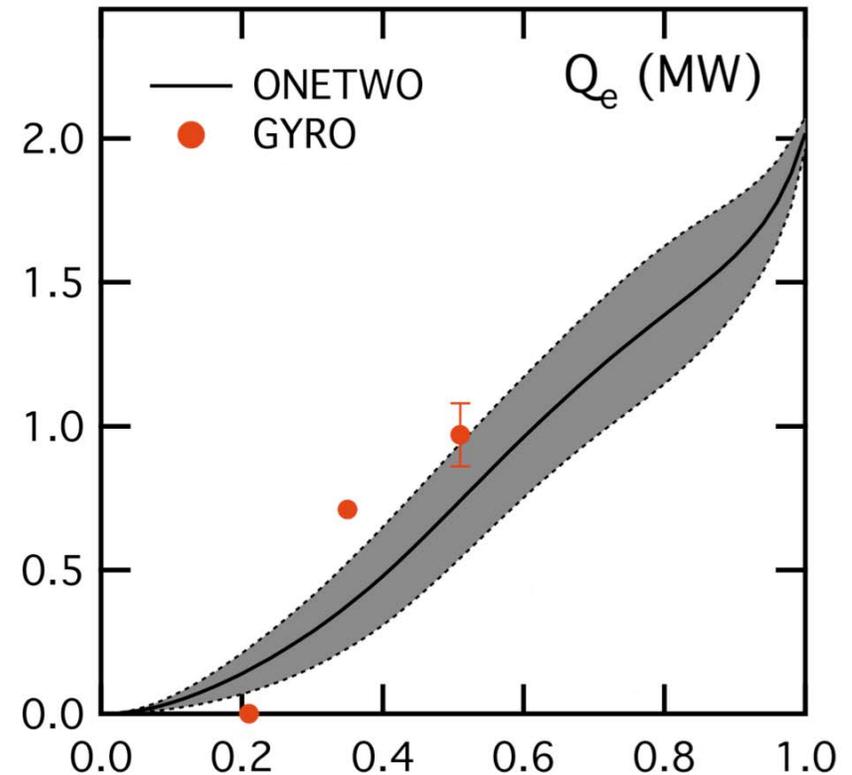
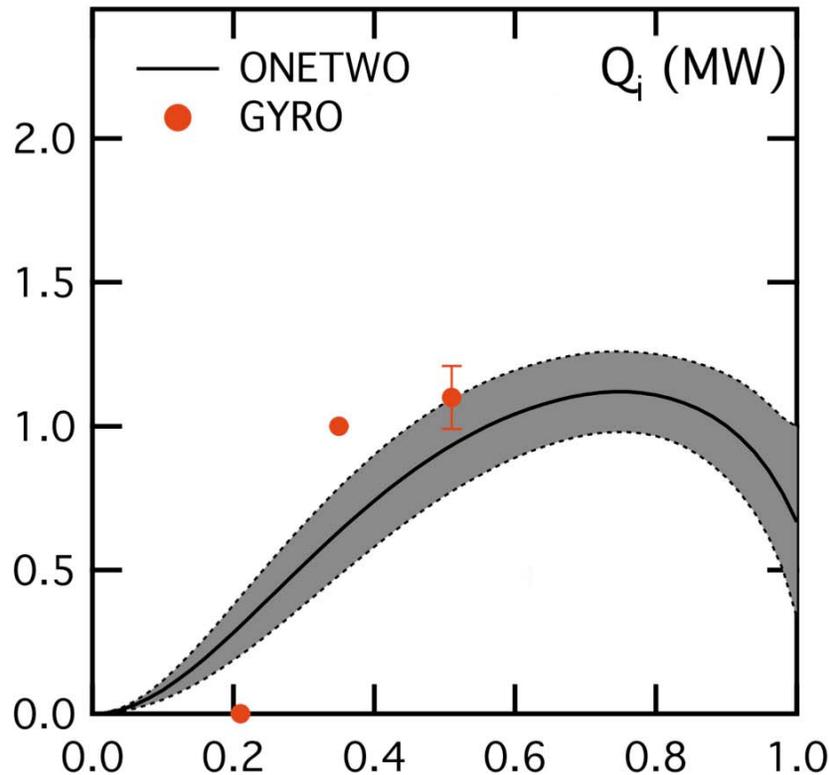
# Use GYRO Code to Predict Turbulent Fluctuations and Transport

- GYRO is an initial value Eulerian (continuum) 5D gyrokinetic  $\delta f$  code
  - Documentation at: <http://fusion.gat.com/theory/Gyro>
- GYRO can be run in a local (flux-tube) or nonlocal (global) mode:
  - **Local:** This case corresponds to the  $\rho^* = \rho_s/a \rightarrow 0$  limit of the GK equations, in which each equilibrium profile and gradient is taken to have a fixed (and independent) value across the box
  - **Nonlocal:** spatial variation of equilibrium profiles (and their gradients) is retained
- Believed to contain the necessary ingredients for quantitatively accurate core transport predictions
  - takes measured experimental profiles as inputs
  - equilibrium sheared ExB and toroidal rotation profiles
  - realistic geometry (Miller formulation)
  - trapped and passing electrons
  - e-i pitch angle collisions
  - finite beta (magnetic fluctuations)



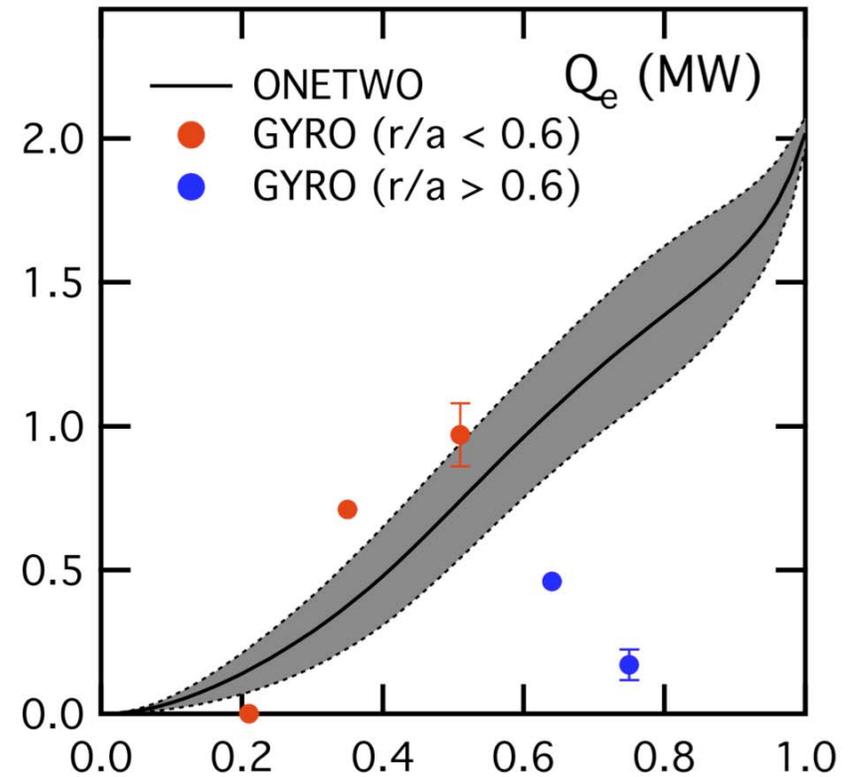
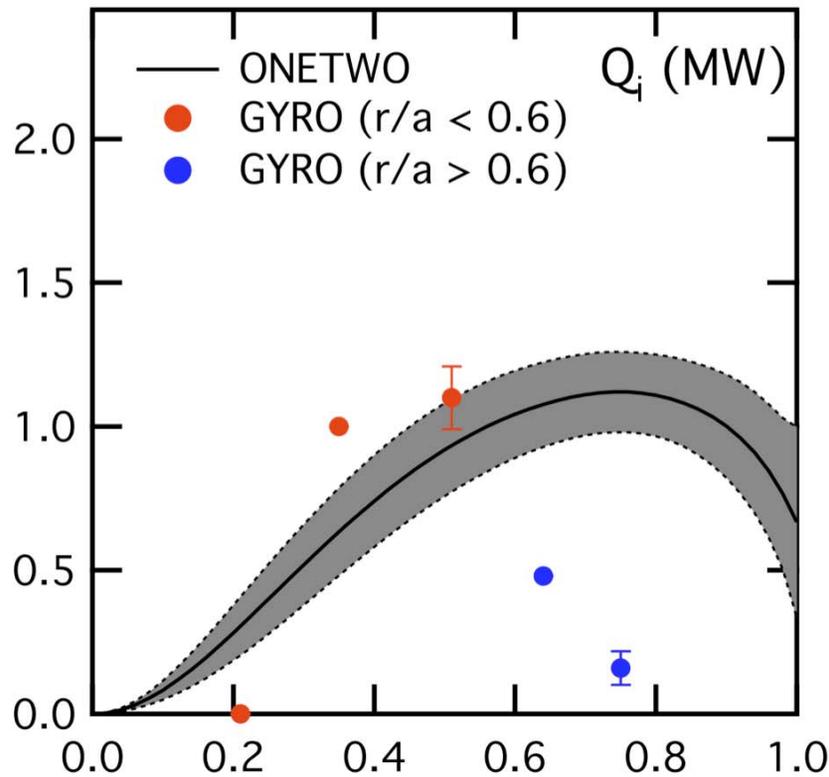
# Local GYRO Simulations Approximately Match Energy Flows for $r/a \leq 0.6$ In Magnitude and Trend With Radius

- Use the ONETWO code to calculate ion and electron energy flows  $Q_i$  and  $Q_e$ 
  - GYRO error bar shows magnitude of response to 20% change in  $\gamma_{\text{EXB}}$
  - ONETWO error bar shows magnitude of response to using different fits to Thomson electron density profile measurements



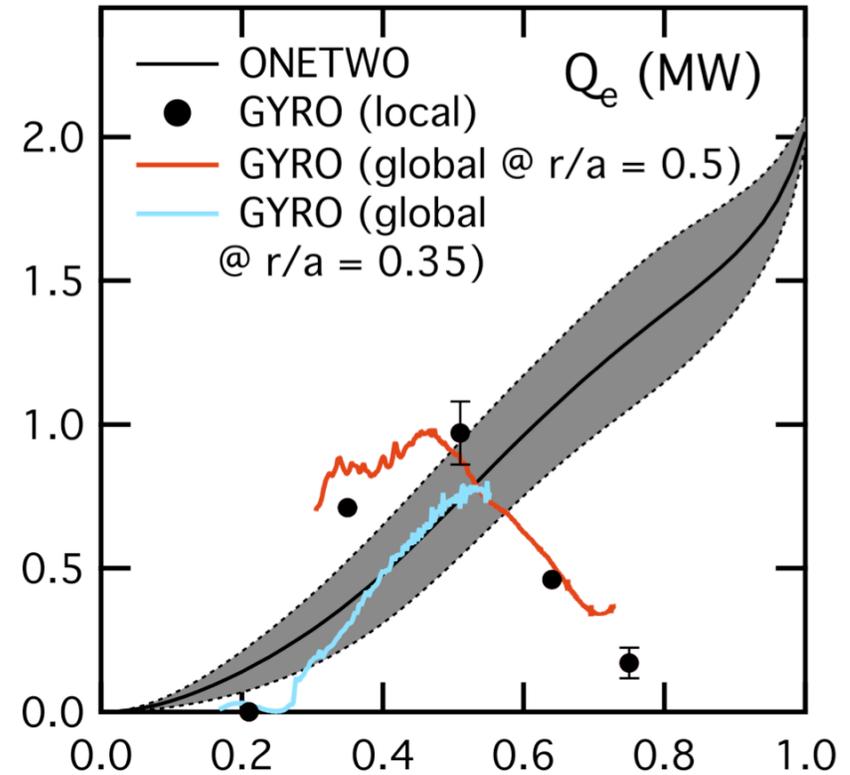
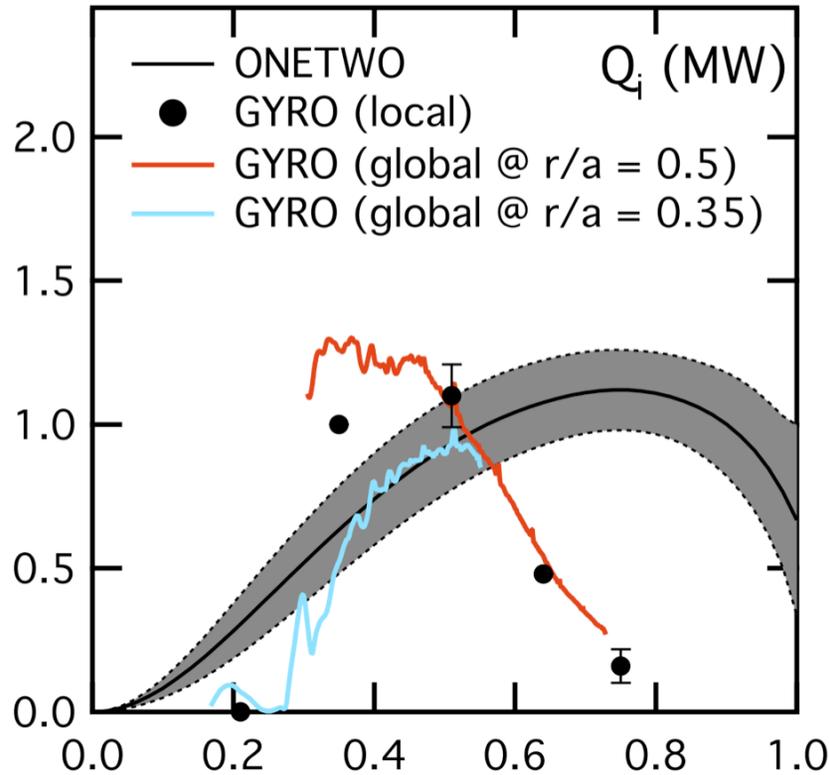
# Local GYRO Simulations Systematically Underpredict Energy Flows for $r/a > 0.6$ in This Discharge

- Mismatches at  $r/a > 0.6$  are too large to be reconciled with plausible uncertainties of local gradients
- Cause of mismatch at larger radii unknown at this time



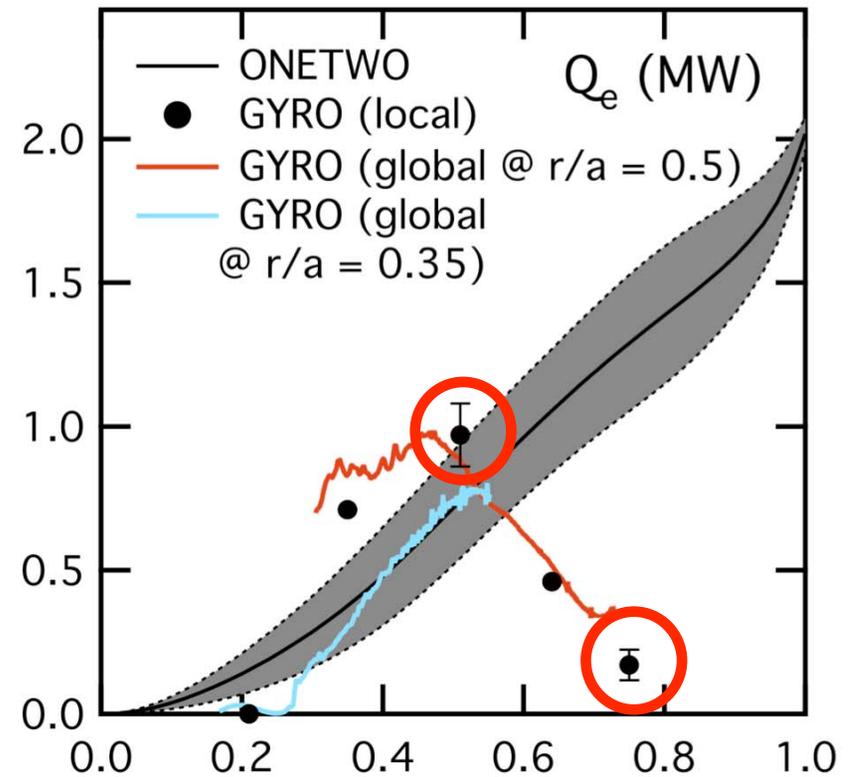
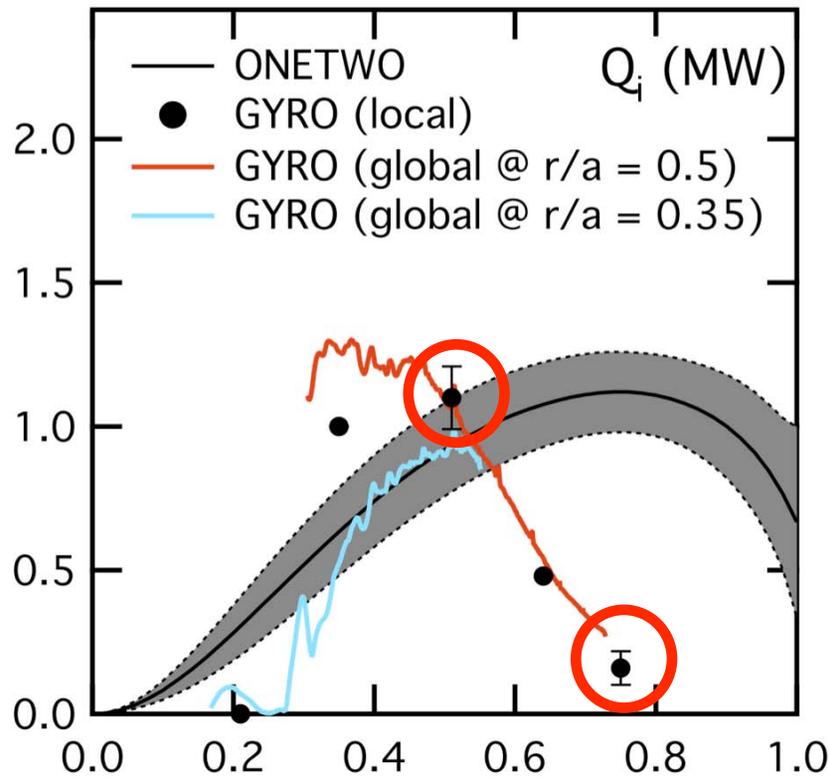
# Local GYRO Simulations in Very Good Agreement With Global Simulation Results Everywhere but $r/a = 0.35$

- **Red** global simulation centered at  $r/a = 0.5$  (local  $\rho^* = 0.0026$ )
- **Blue** global simulation centered at  $r/a = 0.35$  (local  $\rho^* = 0.0033$ )
- Nonlocality leads to reduction of local  $r/a = 0.35$  predictions, but does not meaningfully impact other local results
  - Decrease likely arises from proximity to inner stable region



# Next Step: Compare Predicted Fluctuation Characteristics at $r/a = 0.5$ and $0.75$ Against Experimental Measurements

- Use local GYRO simulation results for these comparisons

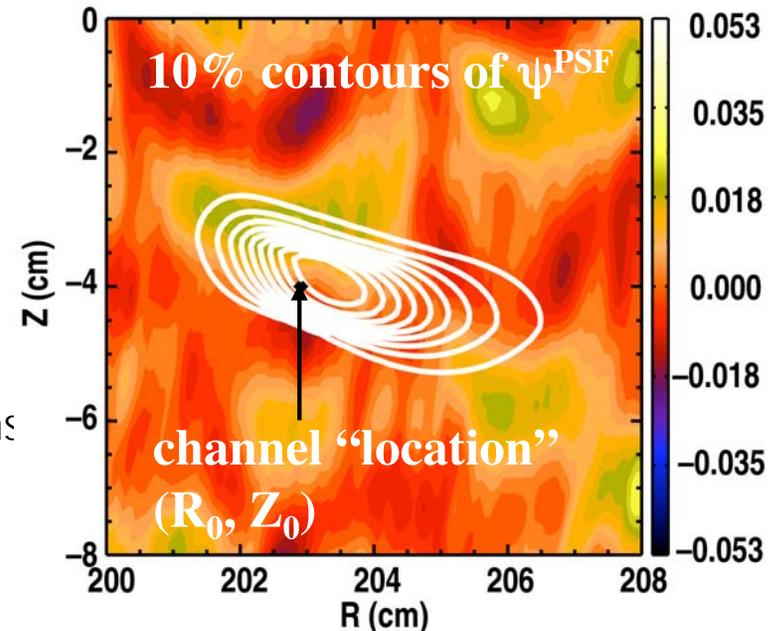


# Comparing Fluctuation Characteristics at $r/a = 0.5$

# Synthetic Diagnostics are an Essential Component of Quantitative Code-Experiment Comparisons

- In order to do “apples-to-apples” comparisons of simulation and experiment, need to not just model the turbulence, but also how a given diagnostic “sees” the turbulence

- This is done by creating a synthetic diagnostic which models what the diagnostic would have seen had it observed the simulation fluctuations
  - For the BES and CECE systems, this modeling is done by convolving point-spread functions (PSFs) that describe the spatial sensitivity of each diagnostic with the fluctuation fields



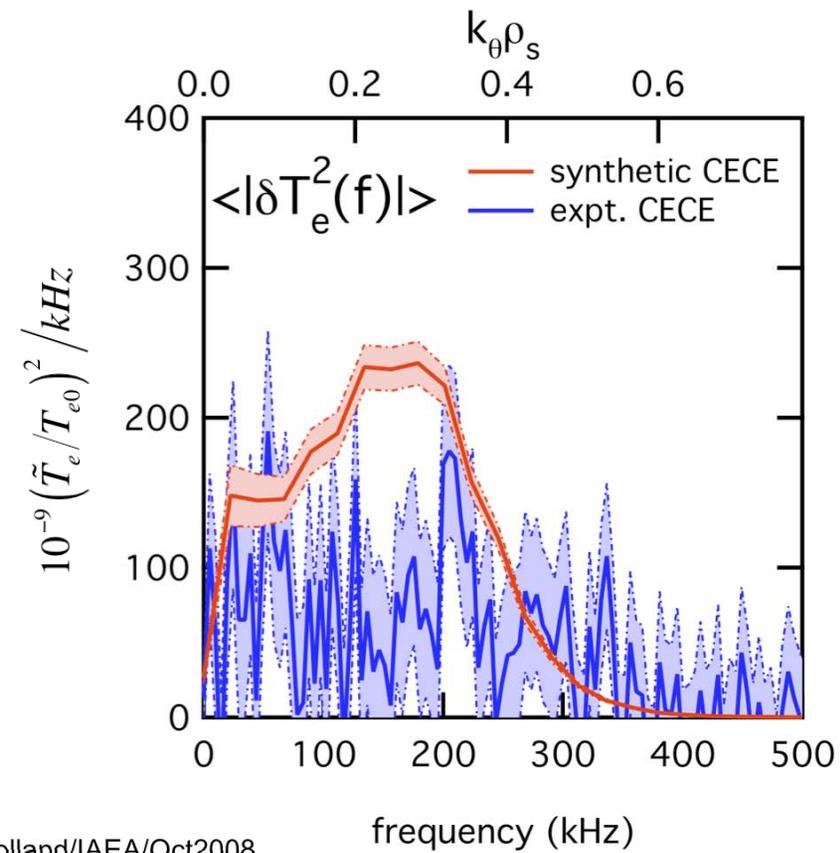
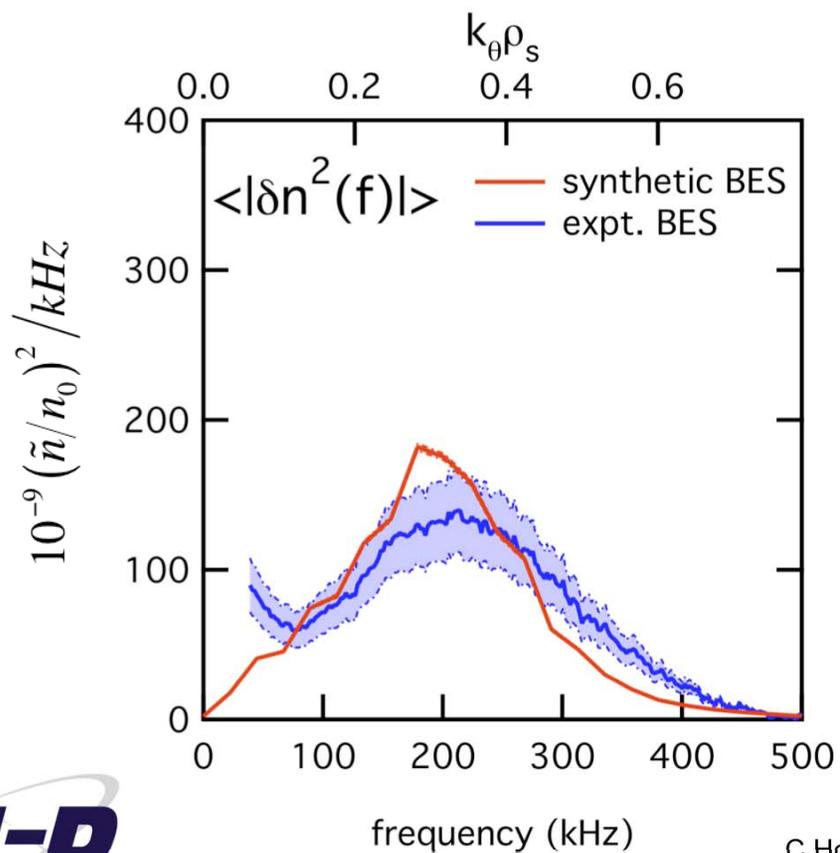
- For a synthetic diagnostic which measures fluctuations at  $(R_0, Z_0, \varphi_0)$ , record at each timestep in steady-state portion of simulation:

- A “unfiltered” reference signal  $\delta X_{GYRO}(R_0, Z_0, \varphi_0, t)$

- A synthetic signal 
$$\delta X_{synthetic}(t) = \frac{\int d^2r \psi^{PSF}(R - R_0, Z - Z_0) \delta X_{GYRO}(R - R_0, Z - Z_0, \varphi_0, t)}{\int d^2r \psi^{PSF}(R - R_0, Z - Z_0)}$$

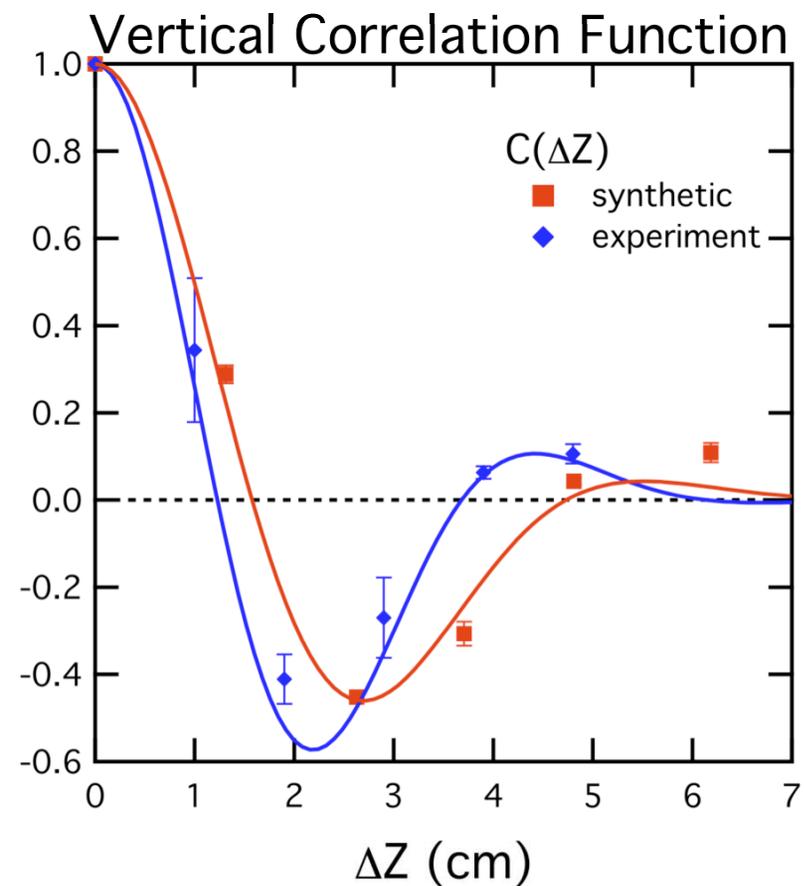
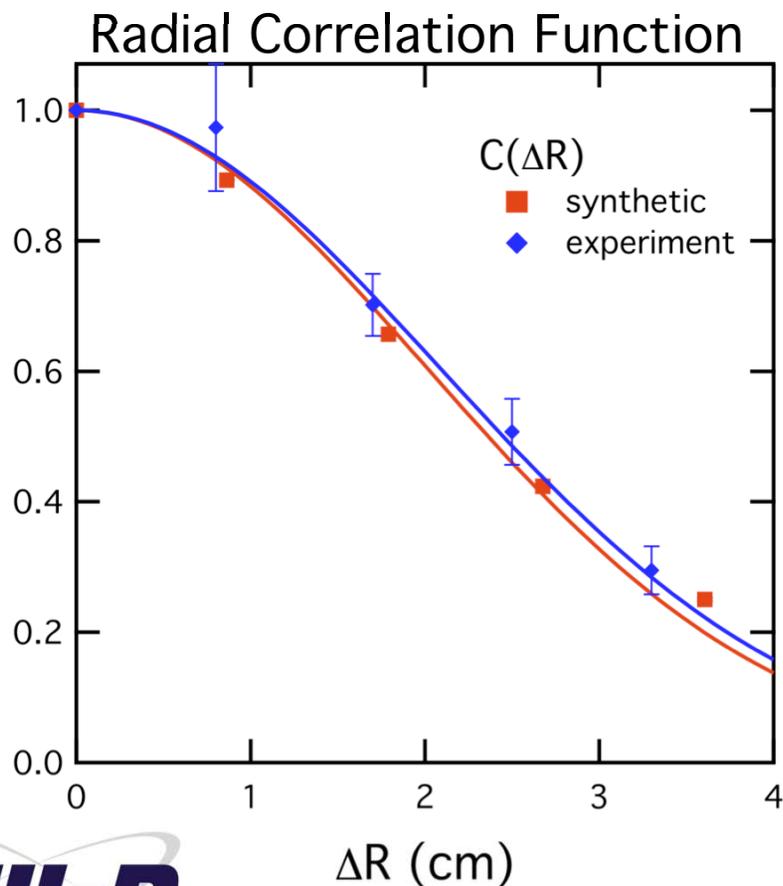
# Lab-Frame Spectra Comparisons Show GYRO in Excellent Agreement With BES ( $\delta n_e$ ), Overpredicts CECE ( $\delta T_e$ ) at $r/a = 0.5$

- Agreement between synthetic and experimental spectra requires that GYRO accurately reproduces both the fluctuation amplitudes and the poloidal mode spectra
  - Lab-frame frequency spectra is essentially Doppler-shifted poloidal mode spectrum
  - In this talk, always refer to normalized fluctuation levels  $\delta X \equiv \tilde{X}/X_0$



# Very Good Agreement is Found Between Synthetic and Experimental Density Correlation Functions at $r/a = 0.5$

- Agreement in vertical correlation function  $C(\Delta Z)$  consistent with agreement in lab-frame power spectra
- Solid lines are Gaussians fit to **experimental BES** and **synthetic BES**



# Comparing Fluctuation Characteristics at $r/a = 0.75$

# Synthetic Spectra Consistently Underpredict Experimental Measurements at all Frequencies at $r/a = 0.75$

- Magnitude of underprediction consistent with generic scaling of  $Q \propto \delta X^2$

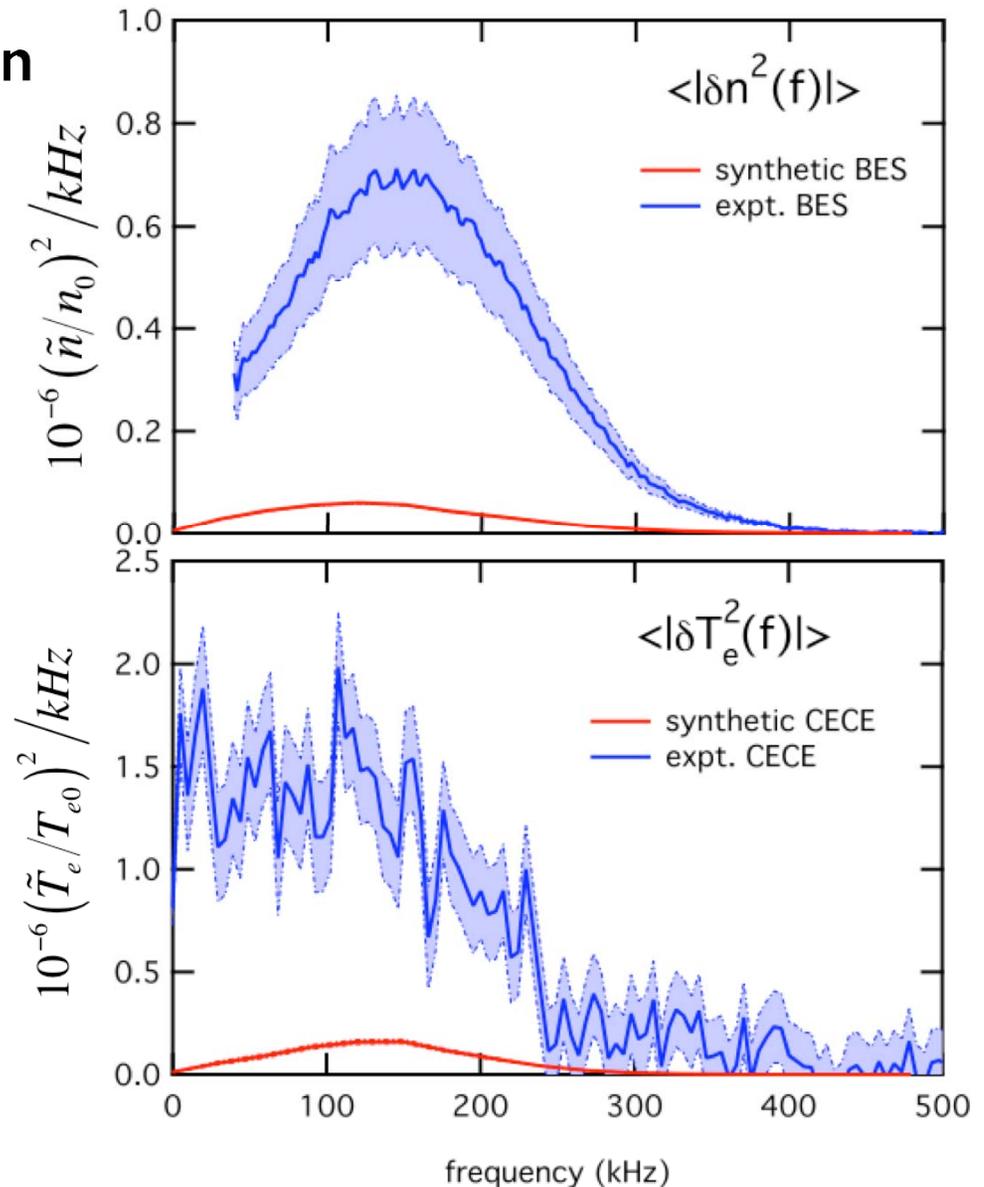
- Use  $\delta X_{RMS}^2 = \int_{40 \text{ kHz}}^{400 \text{ kHz}} df \langle |\delta X(f)|^2 \rangle$  to find

$$\sqrt{Q_i^{PB} / Q_i^{GYRO}} = 2.7$$

$$\sqrt{Q_e^{PB} / Q_e^{GYRO}} = 2.7$$

$$\delta n^{BES} / \delta n^{syn} = 3.3$$

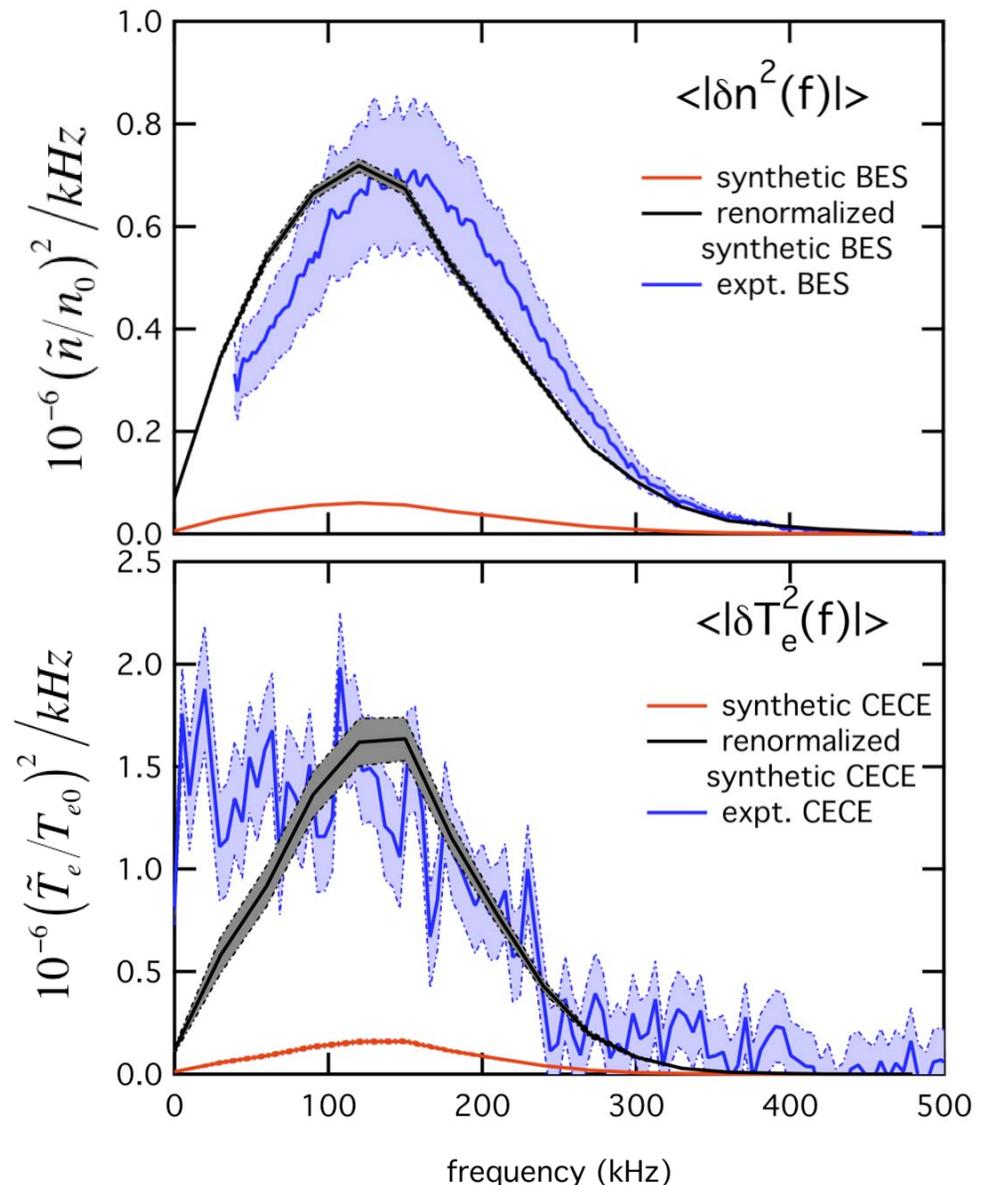
$$\delta T_e^{CECE} / \delta T_e^{syn} = 3.2$$



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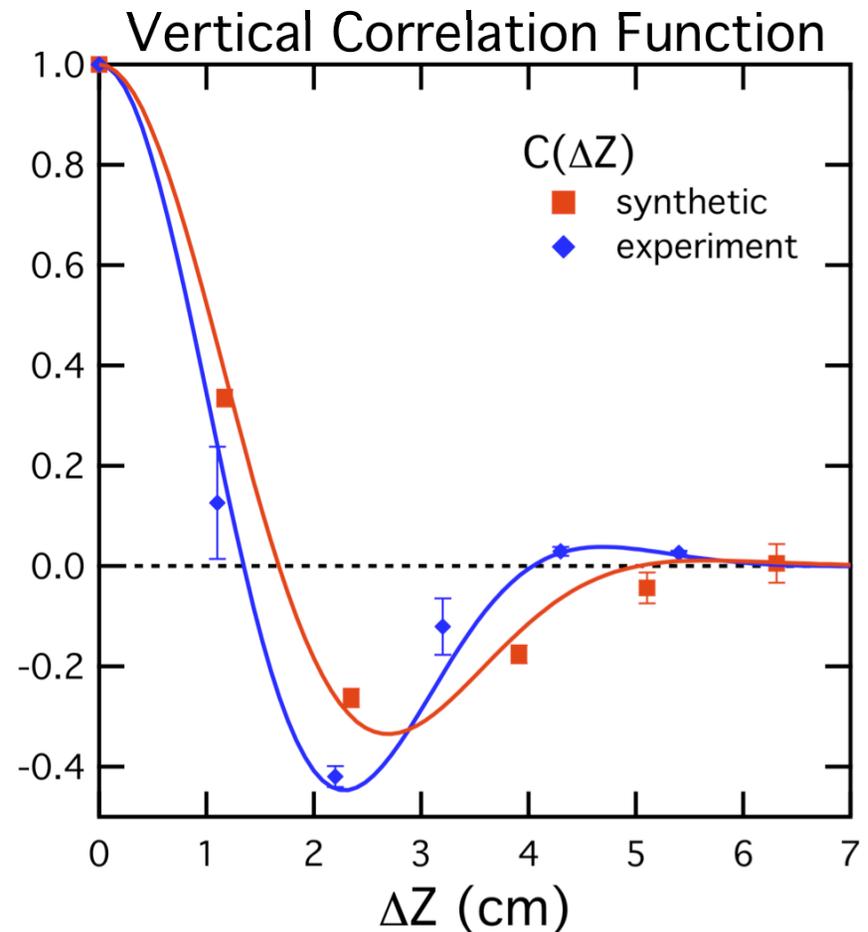
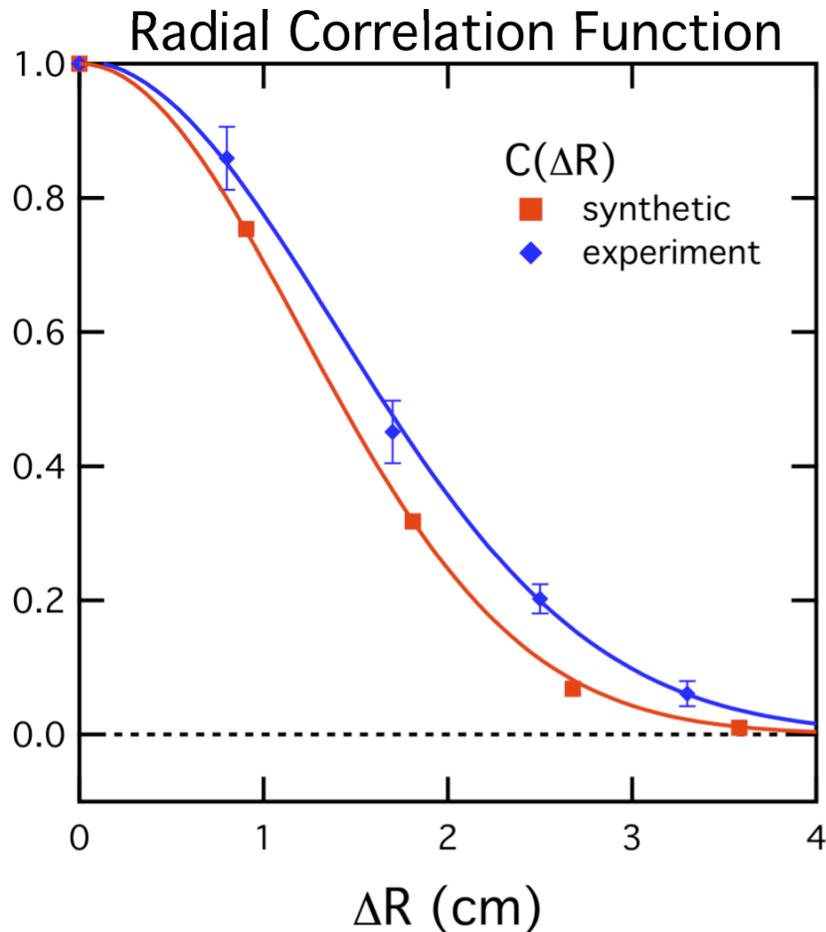
# Synthetic Spectra Match Experiment In Shape But Not Magnitude at $r/a = 0.75$

- If synthetic spectra are renormalized to contain same power as corresponding experimental spectra, find good agreement with measured BES and CECE spectral shapes over 40-400 kHz
  - Source of mismatch in  $\delta T_e$  spectra below 40 kHz unknown
- Is spectral shape more robust than magnitude?



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# Synthetic Density Correlation Functions at $r/a = 0.75$ Exhibit Similar Behavior and Agreement With Experiment as at $r/a = 0.5$



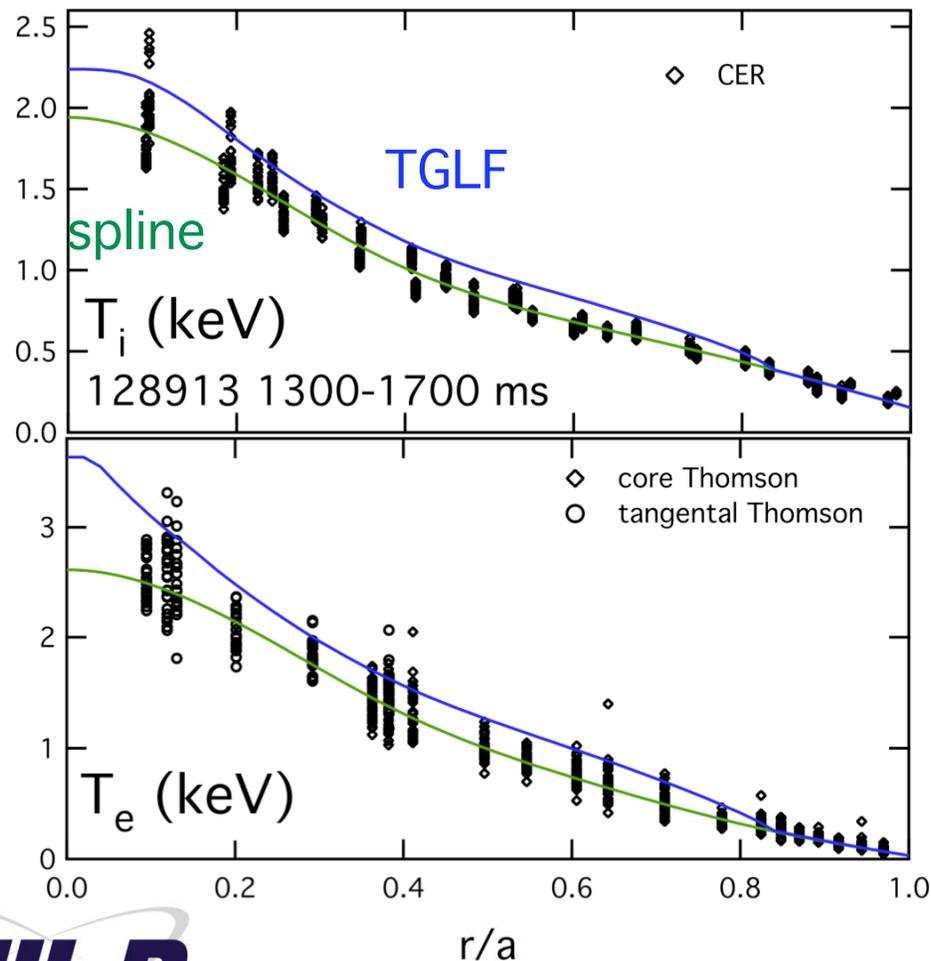
# Addressing Profile Uncertainties Via Fixed-Flow Simulations

# Stiff Transport Magnifies Gradient Uncertainties, Necessitating Flow-Matching Simulations

- Systematic uncertainties in fitting equilibrium profiles create large uncertainties in local equilibrium gradients, which are magnified further when the stiff turbulent flows are calculated
  - Ex: fitted profiles rely on diagnostic calibrations, analyst's selection of a non-unique fitting function
- One way of addressing this issue is to predict a set of profiles needed to match the energy flows calculated via power balance, and compare these predicted profiles against measurements
  - Because flows are volume integrals of (computed) sources, they have in general less uncertainty than local gradients
- **Caveat:** this approach assumes one has accurate models of the relevant sources

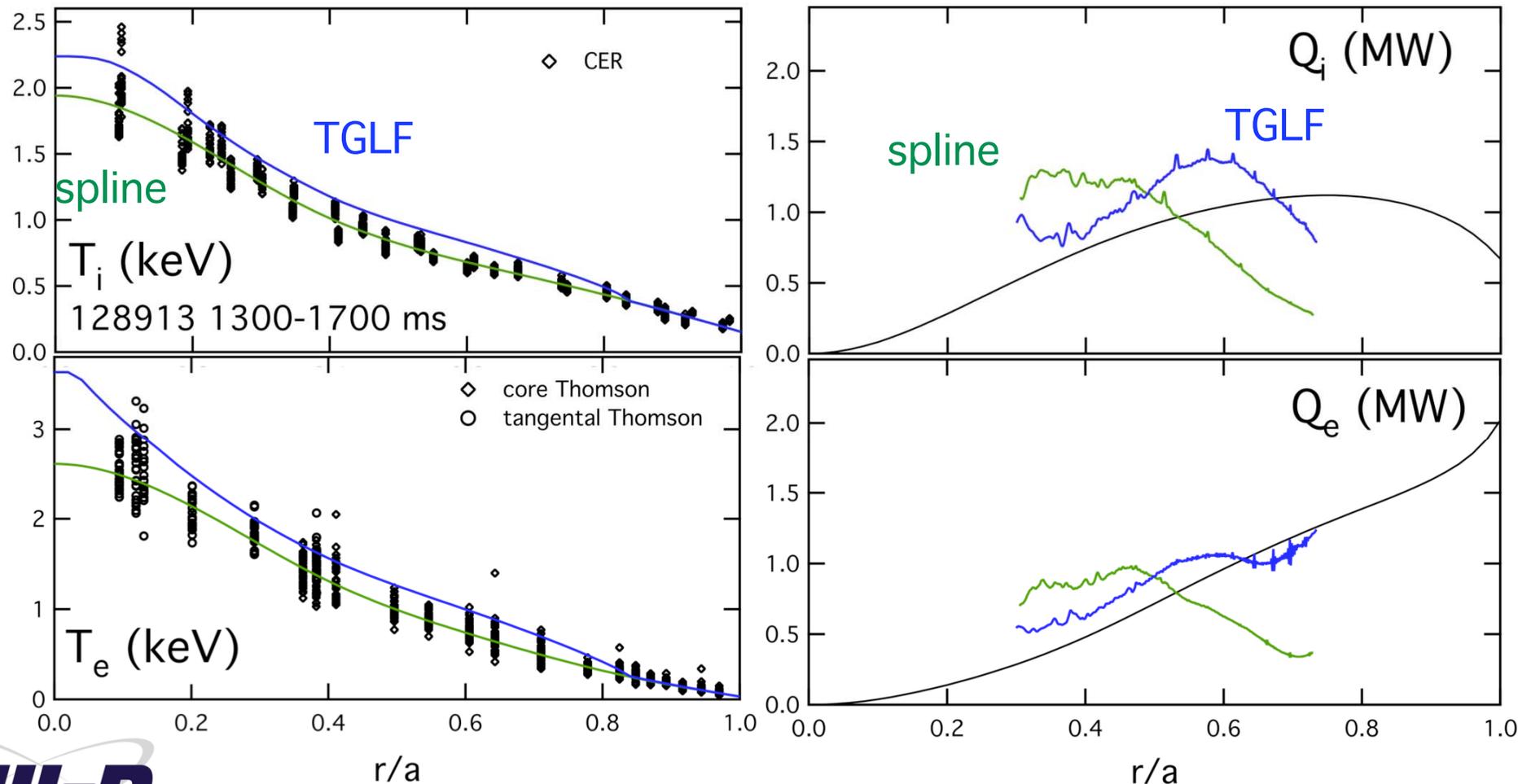
# Use the TGLF Model to Make Initial Profile Predictions

- TGLF is a quasilinear transport model fit against > 80 nonlinear GYRO runs
- TGLF predictions are outside statistical uncertainties of initial spline fit, but systematic uncertainties remain



# Global GYRO Simulation Using the TGLF Predicted Profiles Yields Significantly Improved Agreement with ONETWO Calculation

- Using **TGLF profiles**, improved agreement with ONETWO results achieved at all  $r/a$ , particular at  $r/a > 0.6$

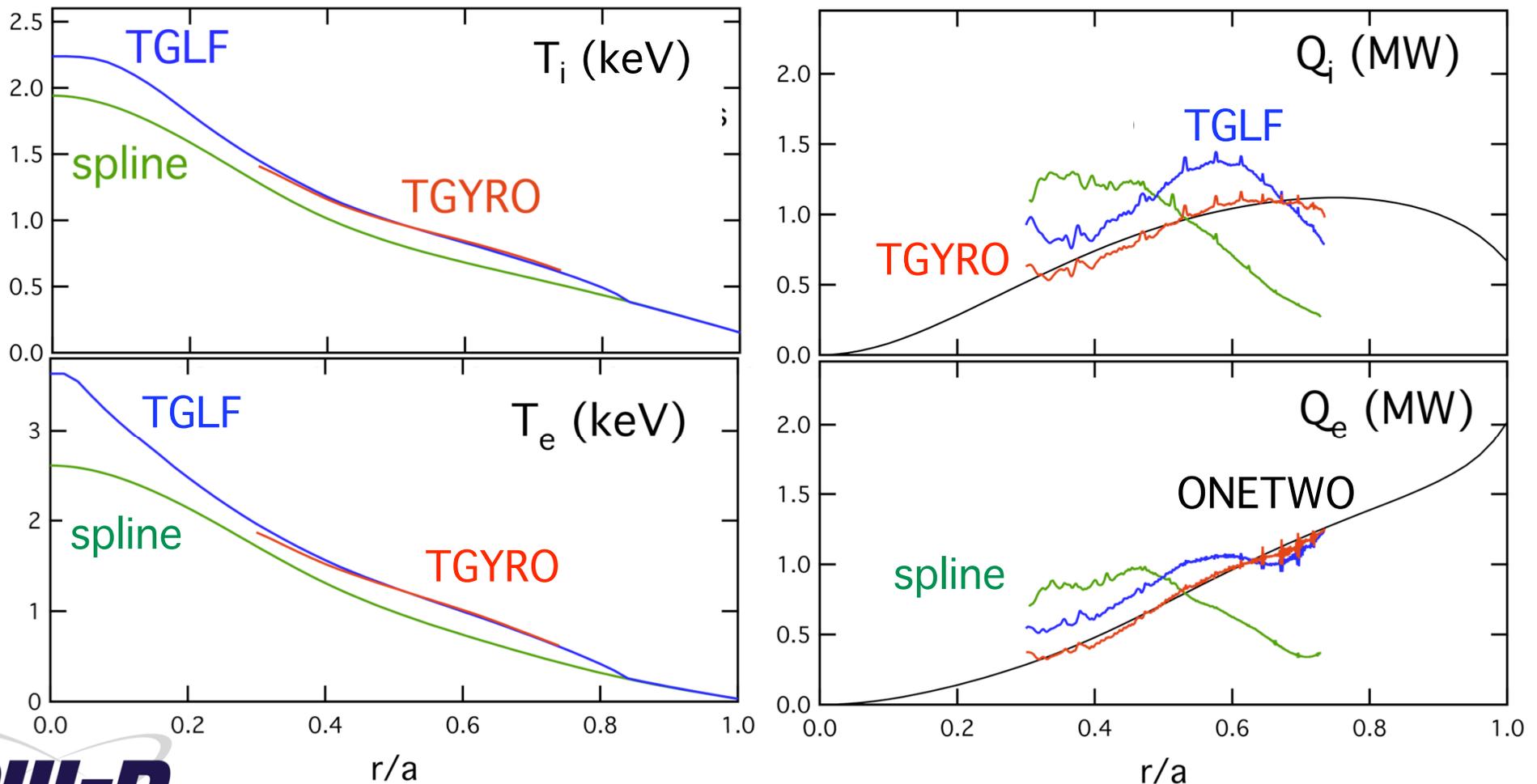


# Next Step: Flow-Matching Calculations Using the TGYRO Transport Driver Code

- A new TGYRO transport code has been development to predict flow-matching profiles using either a global GYRO or combination of multiple local GYRO and TGLF simulations in parallel
- Basic global simulation algorithm: every  $a/c_s$ , adjust local scale lengths by an amount proportional to difference between GYRO simulation and power balance flows at each radial location
  - Example:  $\Delta(a/L_{Ti}) \propto (Q_i^{GYRO} - Q_i^{PB})$
  - Keep  $T_i$  and  $T_e$  at center of simulation fixed, and pivot profiles about this point. Contrasts with traditional approach of specifying pivot at some large  $r/a$  near top of pedestal.
- First results from the local TGYRO algorithm for ITER plasmas available at this conference in poster TH/P8-28 by Nordman and Candy

# Tiny Changes to TGLF Profile Predictions by TGYRO Yield Exact Matches to Power Balance Flows

- Small but finite changes to local values of TGLF profile gradients by TGYRO translate into essentially equivalent temperature profile predictions

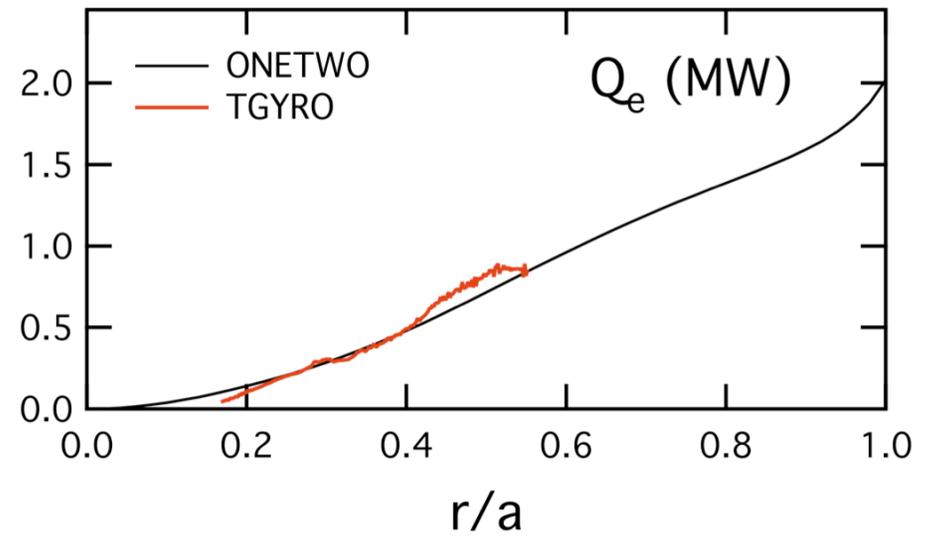
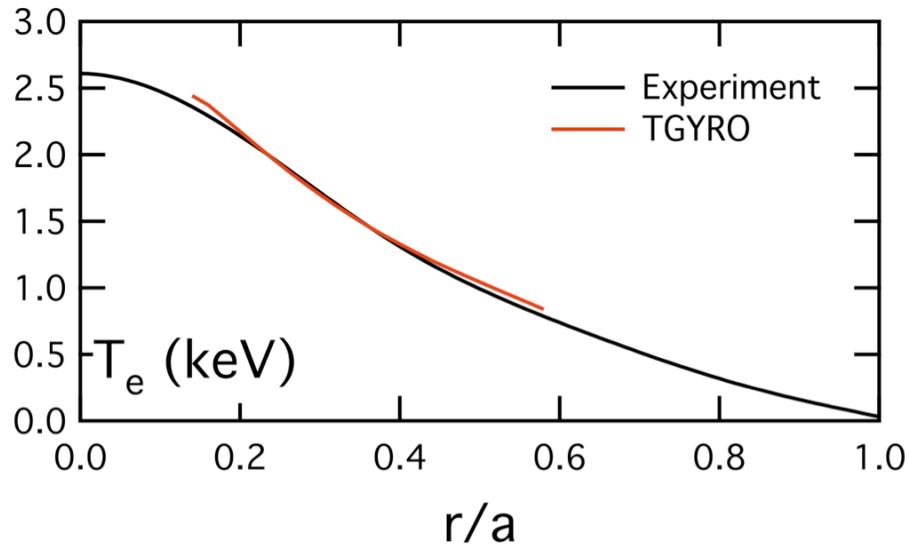
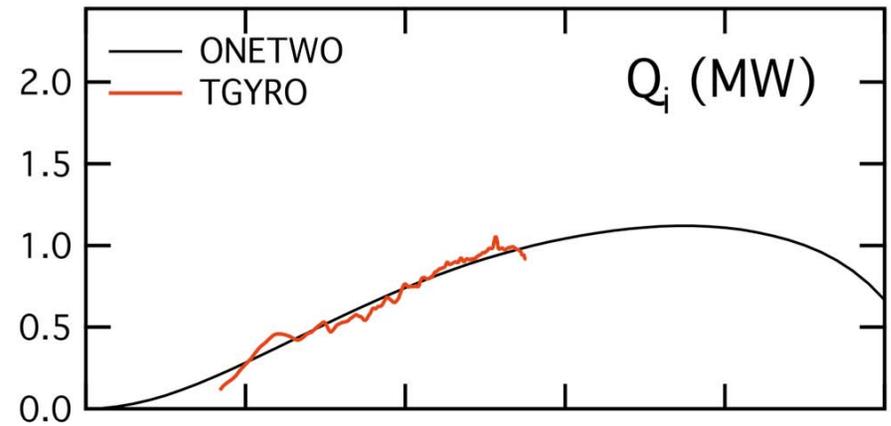
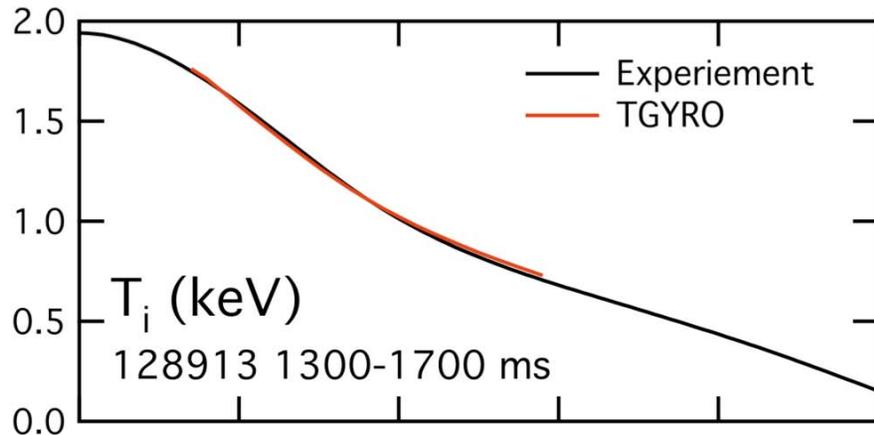


# Summary of Results

- **Local long-wavelength ( $k_{\perp}\rho_s < 1$ ) GYRO simulations of this particular discharge match ion and electron energy flows calculated via ONETWO at  $r/a < 0.6$  within experimental uncertainties, but underpredict the flows at larger  $r/a$ .**
  - Define flow as total amount of energy crossing a flux surface, specified in MW
- **Local and global GYRO simulations give nearly identical predictions for the energy flows, with the only meaningful difference at  $r/a = 0.35$ .**
- **Using synthetic diagnostics, the GYRO-predicted density and electron temperature fluctuation spectra are shown to agree well with experimental measurements at  $r/a = 0.5$ .**
  - Good agreement is also found for the density correlation functions.
- **At  $r/a = 0.75$ , GYRO underpredicts fluctuation amplitudes by an amount consistent with the underprediction of the energy flows, but still achieves relatively good agreement in the density correlation functions.**
- **Using the quasilinear TGLF transport model in conjunction with the new TGYRO transport code, the ability to perform nonlinear, predictive fixed-flow transport modeling is now available**

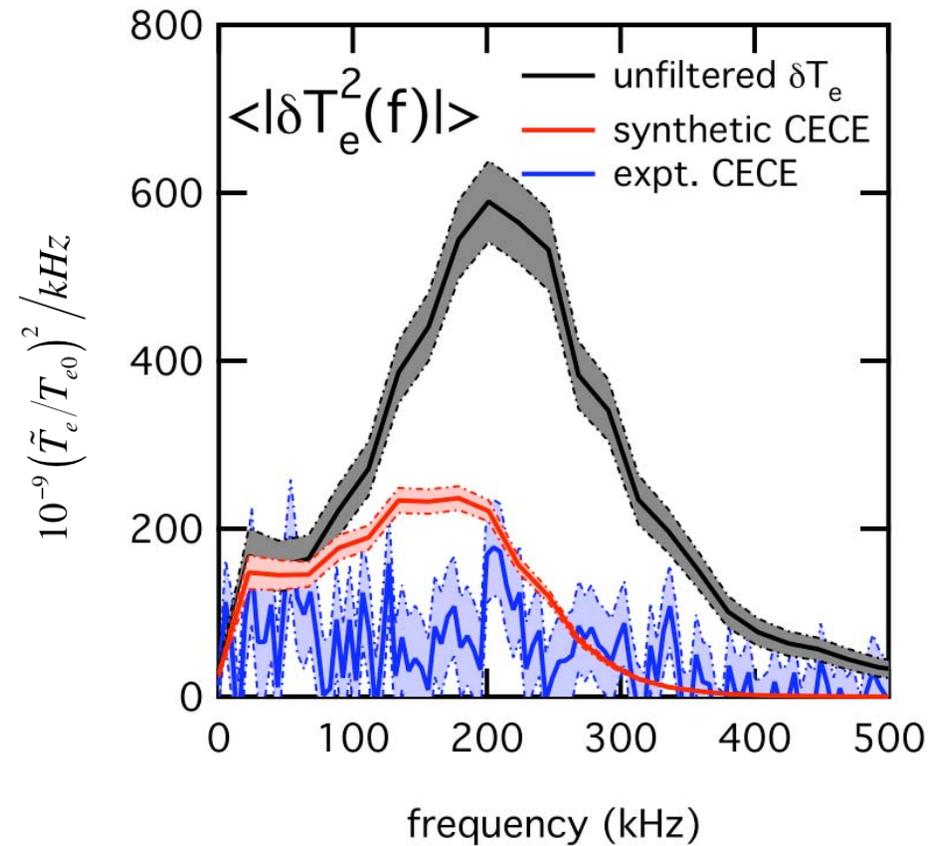
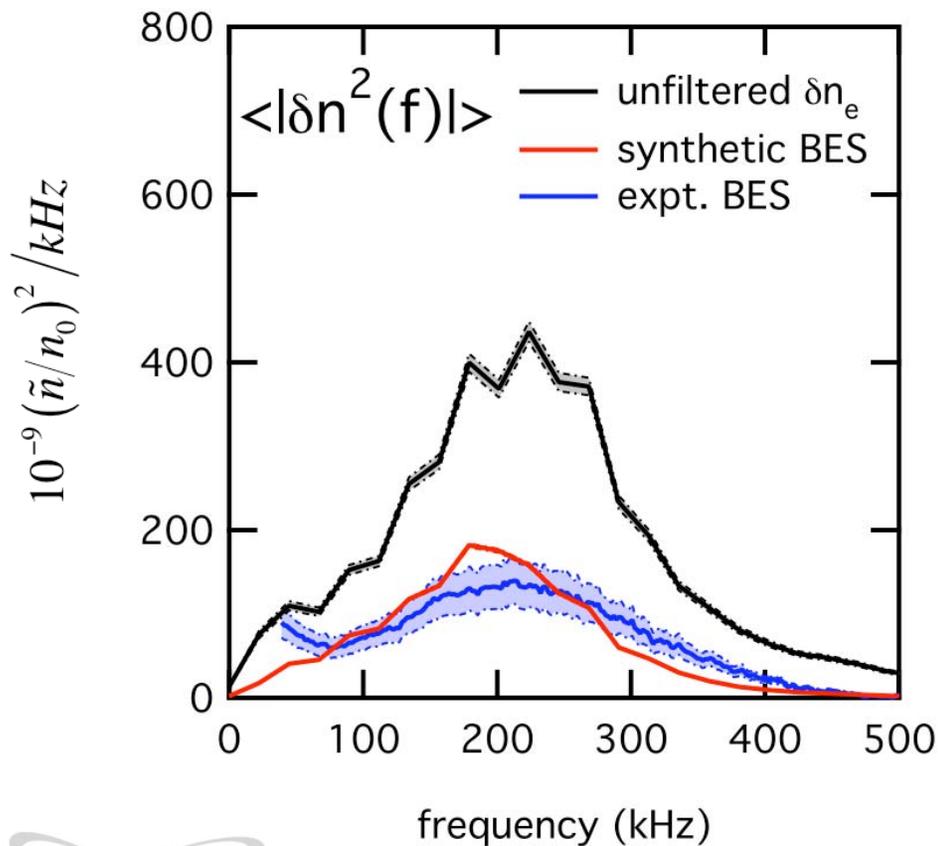
# Backups

# Need Only Small Changes to Fitted Profiles Inside $r/a = 0.6$ to Match ONETWO Energy Flows



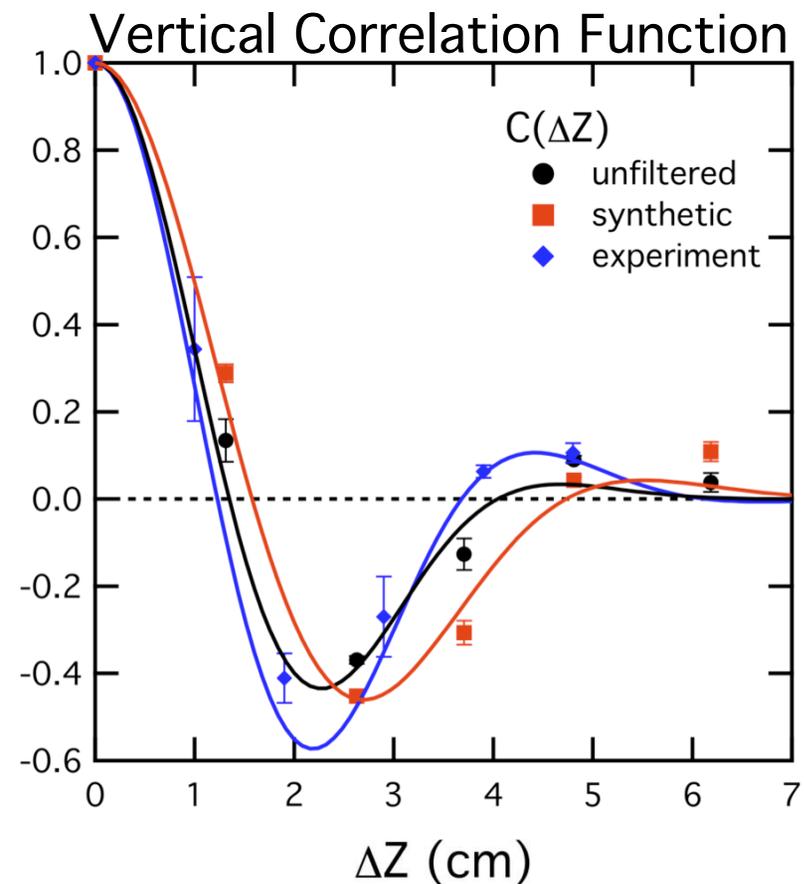
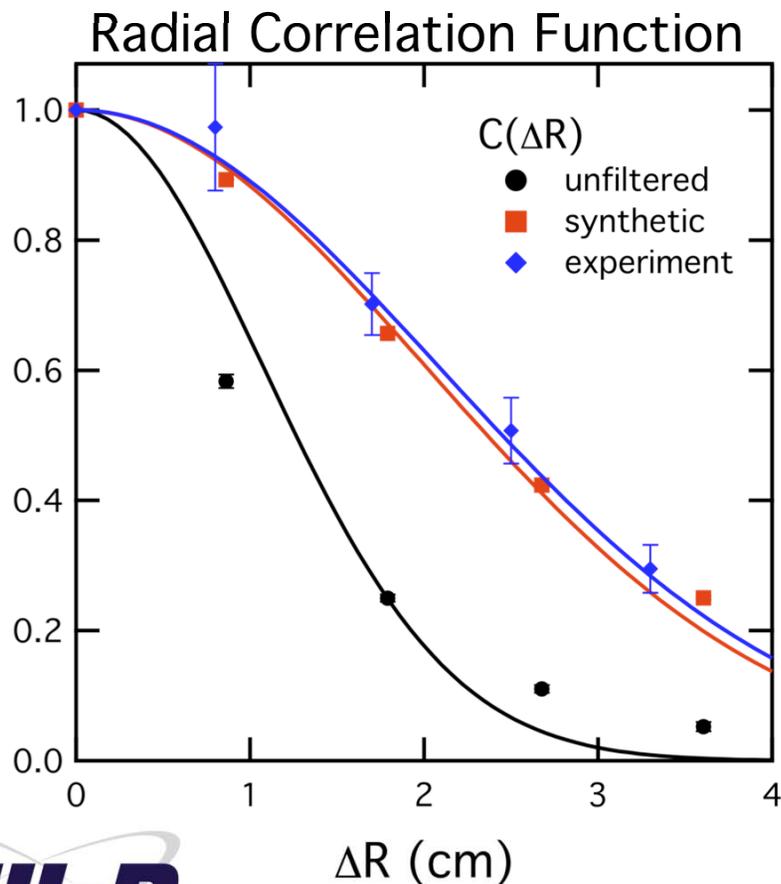
# Finite Wavenumber Sensitivities of Each Diagnostic Have Significant Impact on Measured Spectra

- Observe a 40%-50% attenuation of fluctuation amplitudes for both diagnostics



# Primary Impact of PSF Appears in Radial Correlation Function

- Agreement in vertical correlation function  $C(\Delta Z)$  consistent with agreement in lab-frame power spectra
- Solid lines are Gaussians fit to **experimental BES**, **synthetic BES**, and unfiltered signals



# Synthetic Spectra Consistently Underpredict Experimental Measurements at all Frequencies at $r/a = 0.75$

- Magnitude of underprediction consistent with generic scaling of  $Q \propto \delta X^2$

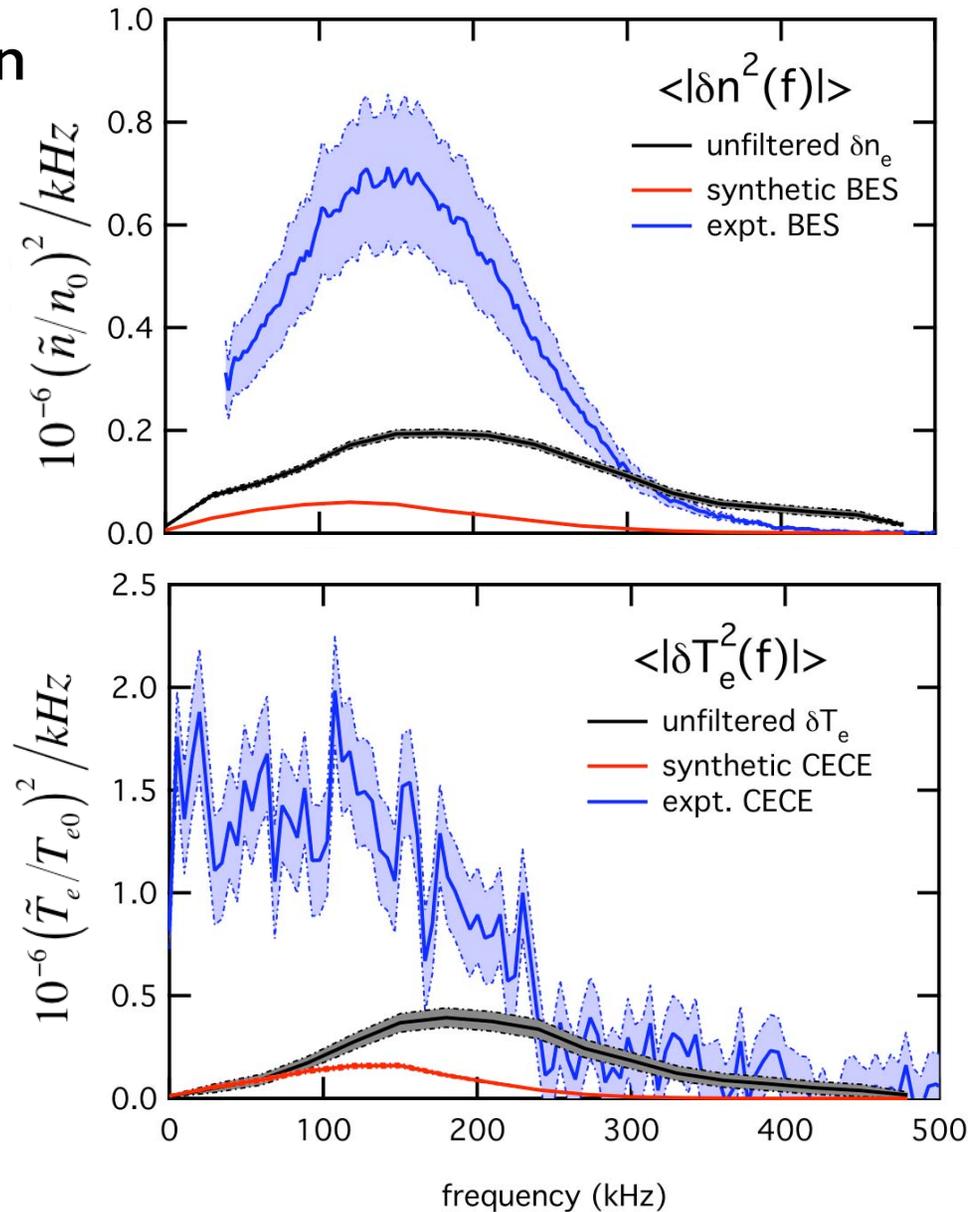
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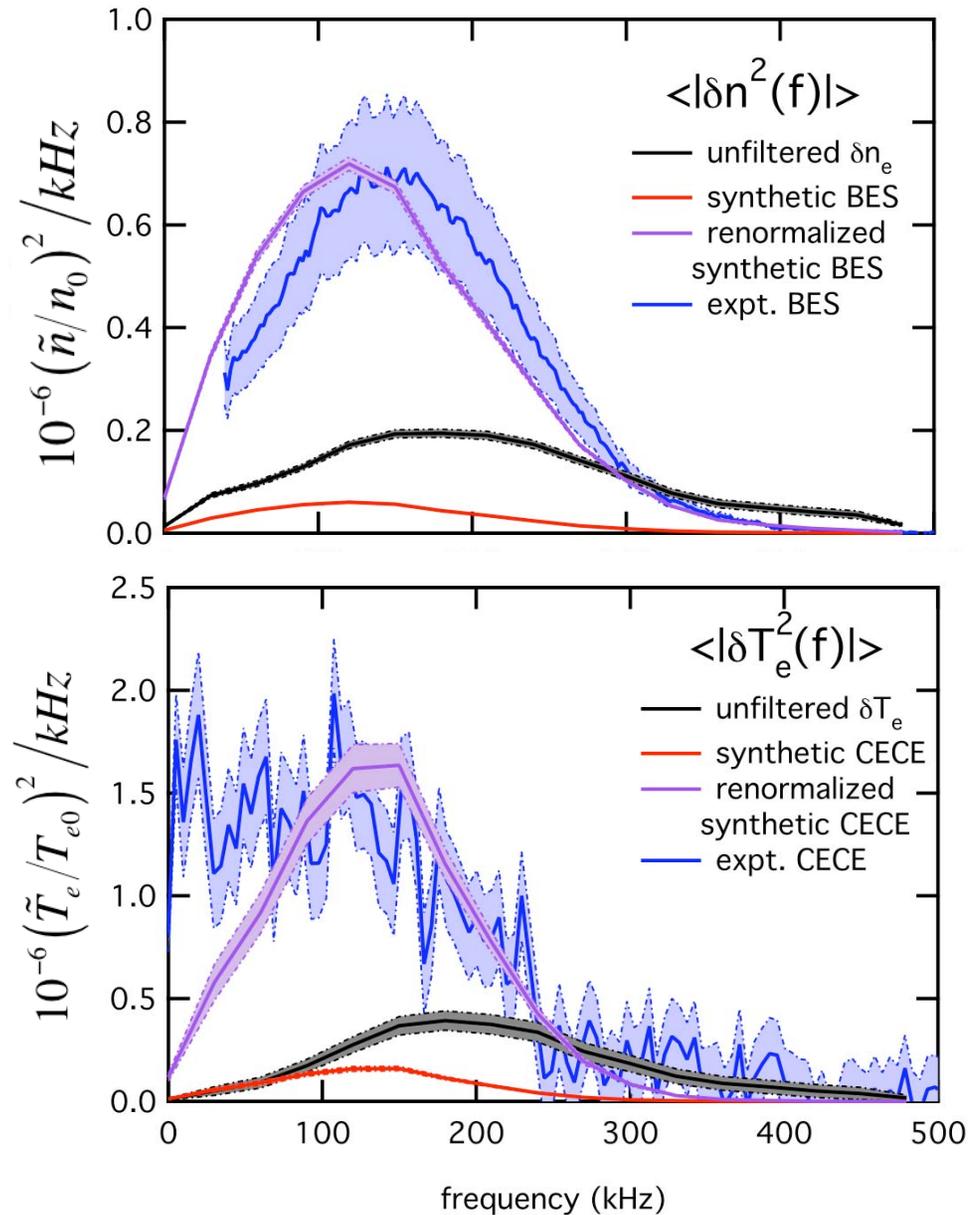
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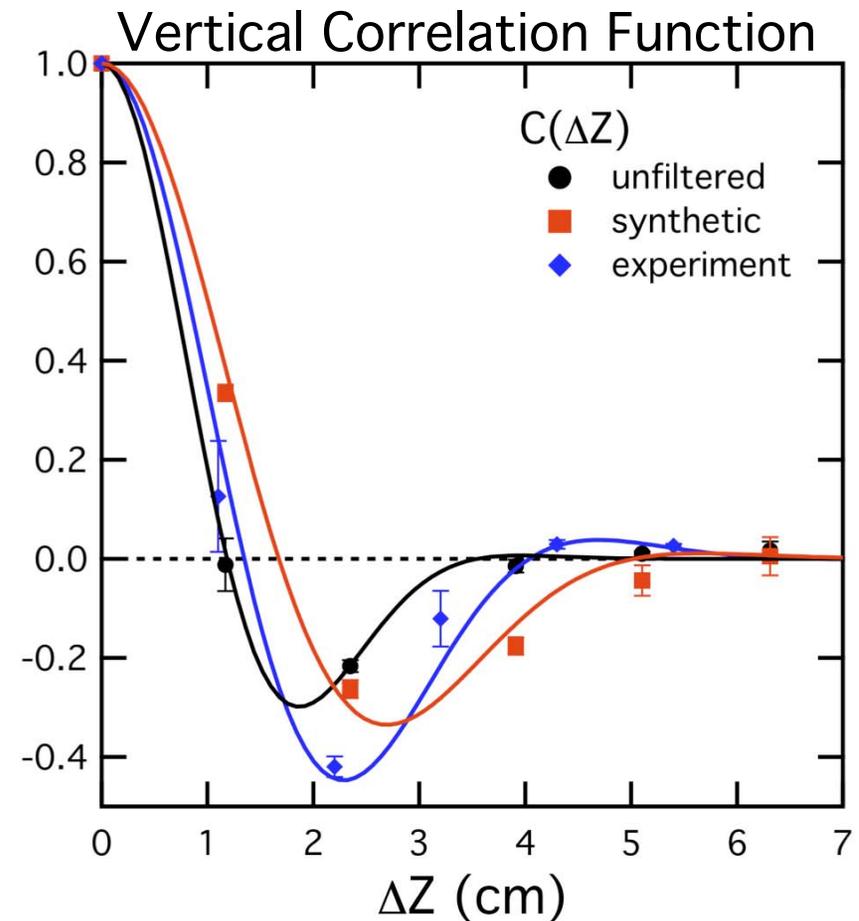
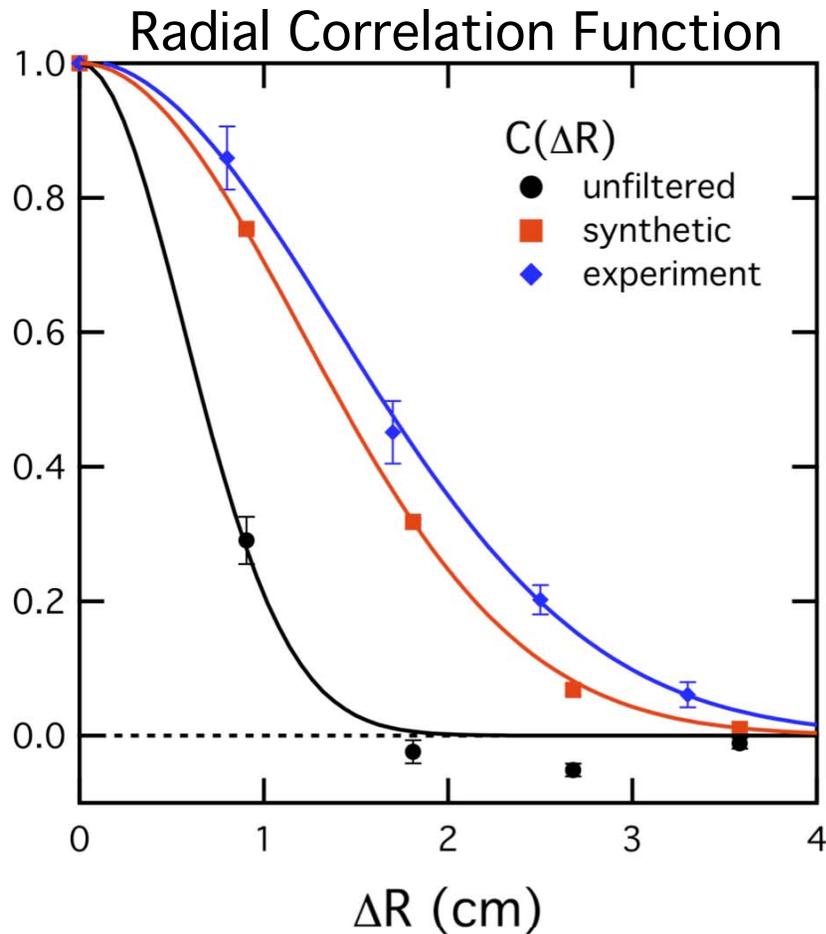


# Synthetic Spectra Match Experiment in Shape but not Magnitude at $r/a = 0.75$

- If synthetic spectra are renormalized to contain same power as corresponding experimental spectra, find good agreement with measured BES and CECE spectral shapes over 40-400 kHz
  - Source of mismatch in  $\delta T_e$  spectra below 40 kHz unknown
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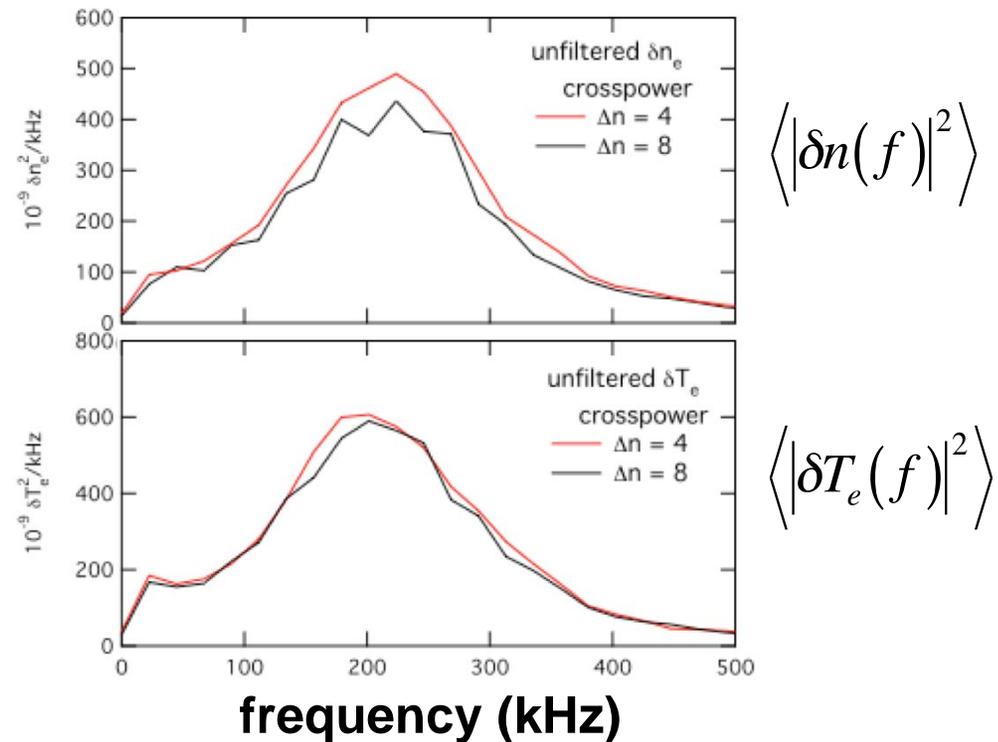
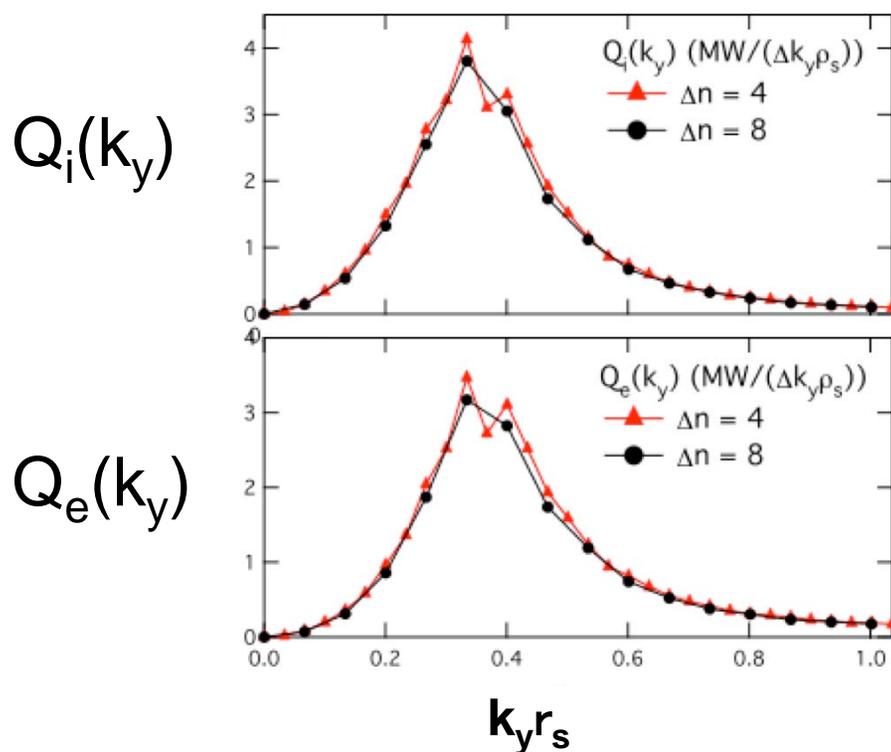


# Synthetic Density Correlation Functions at $r/a = 0.75$ Exhibit Similar Behavior and Agreement with Experiment as at $r/a = 0.5$



# Simulations Exhibit Excellent Convergence in $\Delta n$

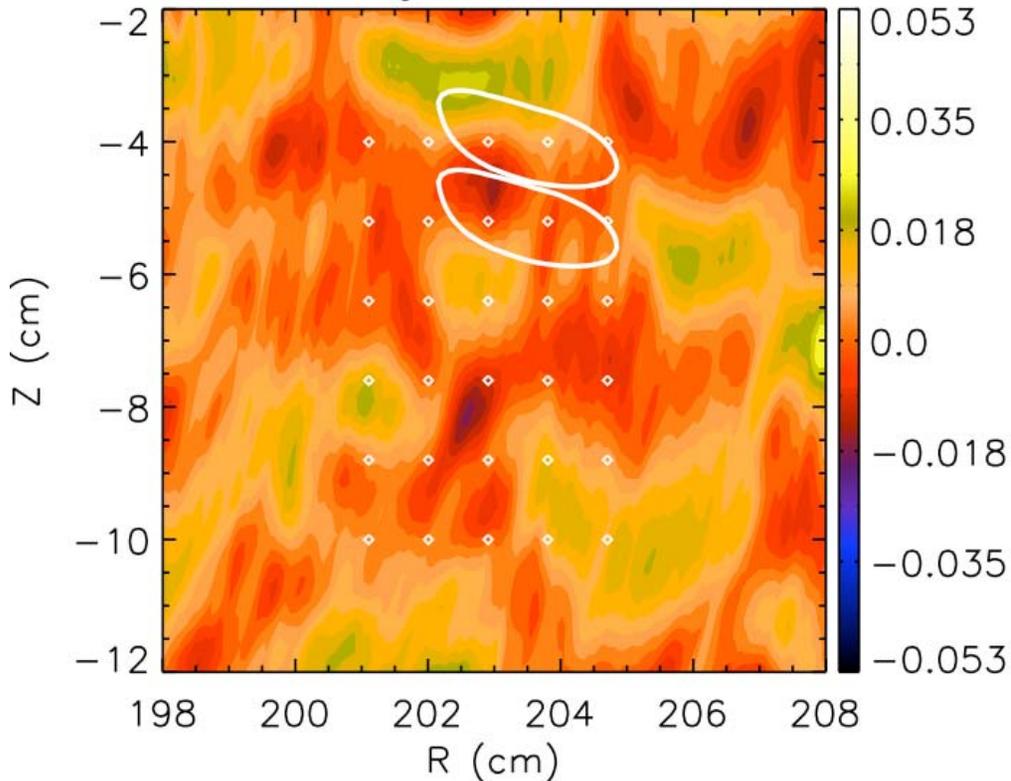
- A 32-mode simulation with  $\Delta n = 4$  exhibits excellent agreement with 16-mode  $\Delta n = 8$  results
  - Agreement in spectral shape as well as net flow and fluctuation levels



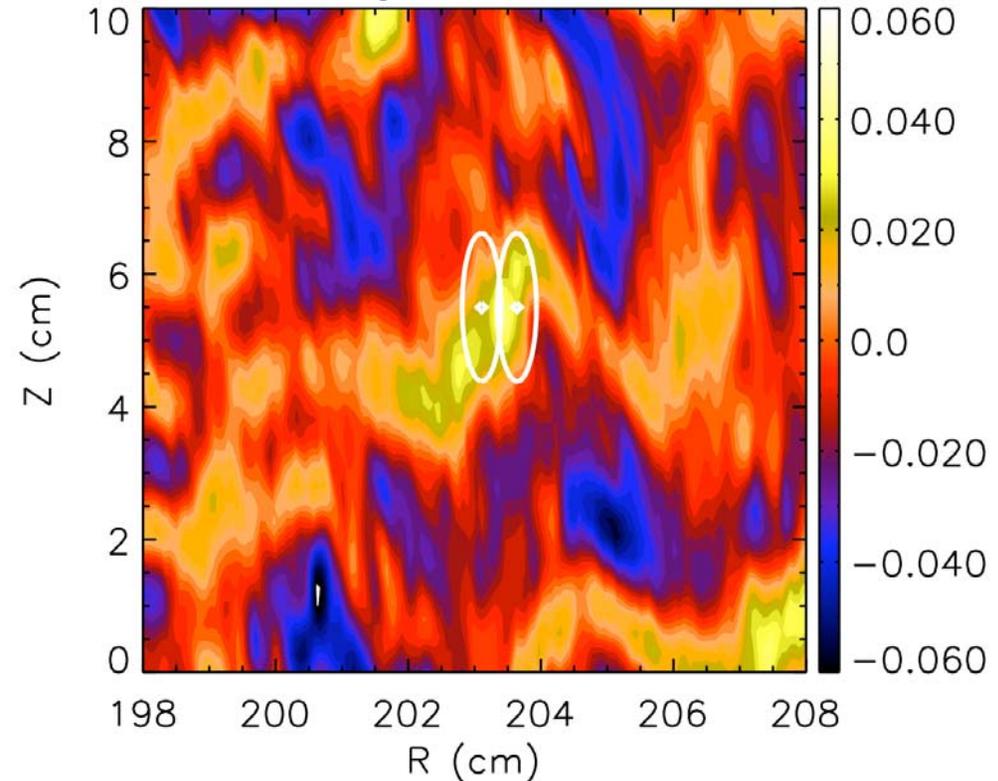
# BES and CECE Fluctuation PSF Visualizations in (R,Z) Plane Overlaid on Local $r/a = 0.5$ Fluctuations

- In this talk, always refer to normalized fluctuations labeled via  $\delta X \equiv \tilde{X}/X_0$

$\delta n_e, t = 350$



$\delta T_e, t = 350$



50% contours of BES and CECE PSFs

# Quasilinear TGLF Model Gives Quick and Accurate Approximations to Full GYRO Calculations

- TGLF ((T)rapped Gyro-Landau-Fluid) model uses a combination of linear phase information and a semi-analytic saturation rule to quickly predict turbulent flows
  - Model calculates linear eigenvalues for set of 15-moment gyro-fluid equations (per species)
  - Uses a mixing-length type saturation rule for fluctuation intensity  $\overline{V_k^2}$  which is fit to database of > 80 nonlinear GYRO runs
  - Includes both long-wavelength ITG/TEM transport and short-wavelength ETG-driven transport
  - By combining TGLF flow predictions with experimentally measured sources, one can predict a set of profiles necessary to match experimental flows
  - See G. Staebler's poster TH/P8-42 for latest info
- Simple approach: use TGLF to predict a set of steady-state flow-matching temperature profiles, then use those profiles in the GYRO calculation

$$\Gamma = n \sum_{k_y} \rho_s c_s \left[ \frac{\text{Re} \langle ik_y \tilde{\phi}_k^* \tilde{n}_k \rangle}{\tilde{V}_k^* \tilde{V}_k} \right] \overline{V_k^2}$$

$$Q = \frac{3}{2} p \sum_{k_y} \rho_s c_s \left[ \frac{\text{Re} \langle ik_y \tilde{\phi}_k^* \tilde{p}_{T,k} \rangle}{\tilde{V}_k^* \tilde{V}_k} \right] \overline{V_k^2}$$

$$\tilde{V}_k = (\tilde{n}_k, \tilde{u}_{\parallel,k}, \tilde{p}_{\parallel,k}, \tilde{p}_{T,k}, \tilde{q}_{\parallel,k}, \tilde{q}_{T,k})$$

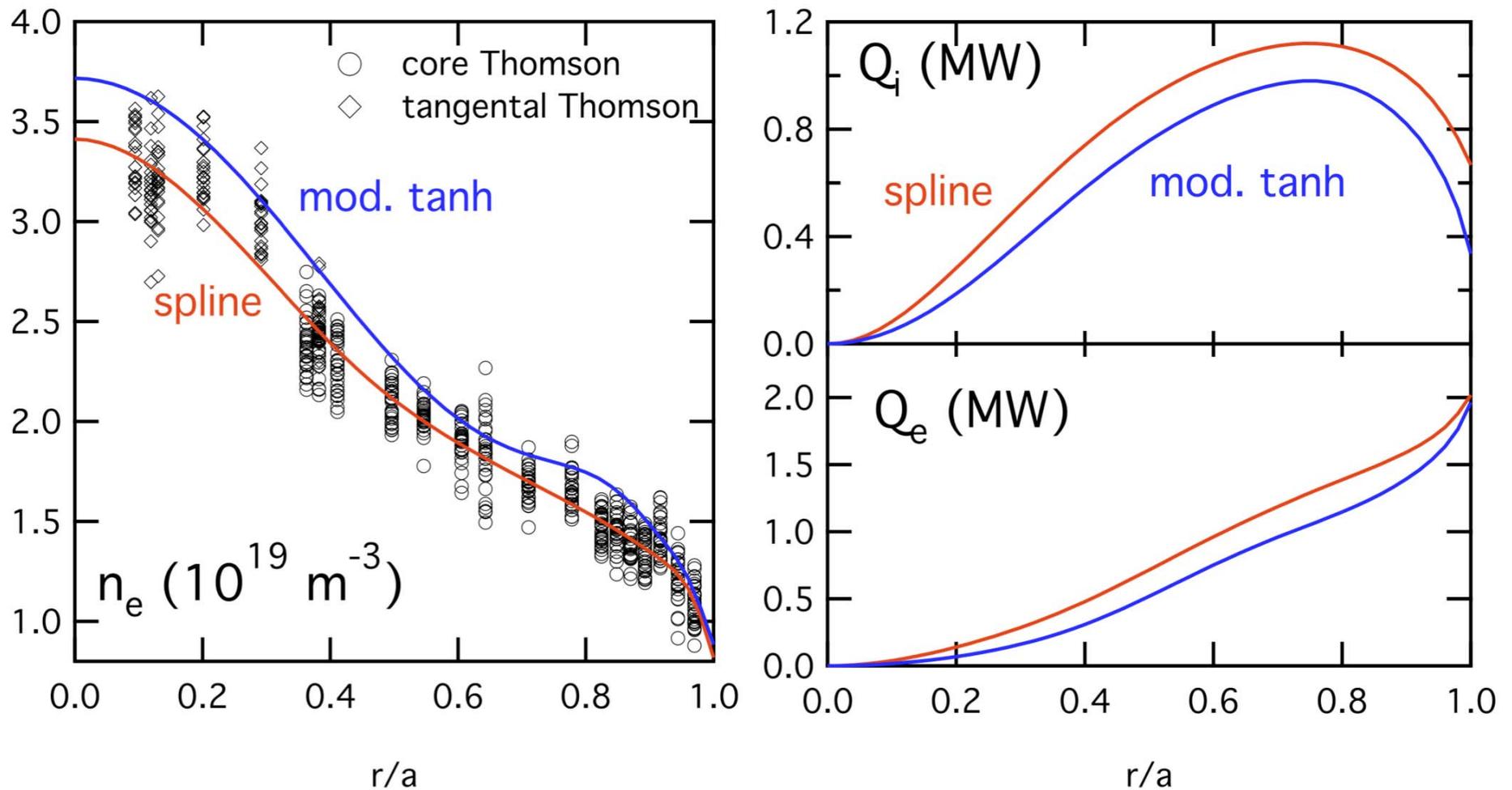
$$\frac{\partial n}{\partial t} + \frac{1}{V'} \frac{\partial}{\partial r} (V \Gamma) = S_n$$

$$\frac{\partial}{\partial t} \left( \frac{3}{2} n T \right) + \frac{1}{V'} \frac{\partial}{\partial r} (V' Q) = S_w$$

G. M. Staebler, J. E. Kinsey, and R. E. Waltz,  
 Phys. Plasmas **12** 102508 (2005), **14** 055909 (2007), **15** 055908 (2008)

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# Impact of Different Fit Choices to Electron Density on ONETWO Results



# Sensitivity Studies Indicate Only “Moderate” Stiffness of Transport at $r/a = 0.5$

- All simulations used a 20% too large  $\gamma_{\text{ExB}}$  value
- As for previous simulations, each column required ~3000 cpu-hours
- All diffusivities normalized to  $\chi_{\text{gB}} = 0.866 \text{ m}^2/\text{s}$

		$a/L_{\text{Ti}}$			$a/L_{\text{Te}}$			$a/L_{\text{ne}}$			box size			
	Expt.	base	+5%	-5%	-10%	+5%	-5%	-10%	+5%	-5%	-10%	$N_n=32$ $\Delta_n = 4$	$N_n=64$ $\Delta_n = 2$	$N_n=20$ (max ky +25%)
$\chi_i$	4.5	4.74	5.35	4.23	4.05	4.83	5.00	4.87	4.72	5.30	5.47	5.18	5.26	5.74
$\chi_e$	2.1	2.38	2.67	2.17	2.05	2.46	2.53	2.42	2.49	2.56	2.52	2.63	2.72	2.84
$D_{\text{ne}}$	0.05	0.75	0.89	0.64	0.58	0.71	0.87	0.90	0.77	0.84	0.87	0.86	0.88	0.92

$$Q = \frac{3}{2} \langle \tilde{p} \tilde{V}_r \rangle = -n\chi \frac{dT}{dr} \quad \Gamma = \langle \tilde{n} \tilde{V}_r \rangle = -D \frac{dn}{dr}$$

# Parameter Scans Show $r/a = 0.75$ Results Are Numerically Robust

- Each row used  $\geq 4096$  processor-hours on Jaguar
- No ExB shear used in these cases

	$\chi_i/\chi_{gB}$	$\chi_e/\chi_{gB}$	$D_{ne}/\chi_{gB}$
expt	<b>22.5</b>	<b>15.5</b>	<b>0.25</b>
base	$9.24 \pm 0.40$	$4.79 \pm 0.15$	$-0.46 \pm 0.083$
Inc. grad-Ti 10%	11.5	5.5	0.36
Half $\Delta t$ (short run)	11.3	5.3	0.31
$\mu=40$	9.77	5.43	-0.45
EM effects on	10.3	5.36	0.12
Inc. max $k_y$ 25%, $\Delta x$ 33%, red. $\Delta t$ 50%	9.69	4.72	0.11
Double max $k_y$ , half binormal box size	10.98	5.07	0.47
Inc. ORBIT_GRID	10.8	5.58	-0.76
Inc. ENERGY_GRID	9.84	5.04	-0.28
Inc. radial box size 50%	9.79	5.08	-0.39