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## METASTABLE BETA LIMIT IN DIII-D\*

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The long-pulse, slowly evolving single-null divertor (SND) discharges in DIII-D with H-mode, ELMs, and sawteeth are found to be limited significantly below (factor of 2) the predicted ideal limit  $\beta_N = 4 \ell_i$  by the onset of tearing modes. The tearing modes are *metastable* in that they are explained by the neoclassical bootstrap current (high  $\beta_\theta$ ) destabilization of a seed island which occurs even if  $\Delta' < 0$ , i.e., otherwise stable. For sufficiently high  $\beta_\theta$ , there is a region of the modified Rutherford equation such that  $dw/dt > 0$  for  $w$  larger than a threshold value; the plasma is *metastable*, awaiting the critical perturbation which is then amplified to the much larger saturated island.

Experimental results from a large number of tokamaks indicate that the high beta operational envelope of the tokamak is well defined by ideal magnetohydrodynamic (MHD) theory [1,2] and is given by  $\beta(\%) \lesssim 4\ell_i I_a B$  MA/m/T for a large range of conditions. The highest beta values achieved have historically been obtained in fairly short pulse discharges, often  $< 1-2$  sawteeth periods and  $< 1-2$  energy replacement times. The maximum operational beta in single-null divertor (SND), long-pulse discharges in DIII-D with a cross-sectional shape similar to the proposed ITER tokamak (Fig. 1) is found to be limited significantly below the threshold for ideal instabilities by the onset of resistive MHD instabilities. [A hard disruptive beta limit is usually considered to be due to ideal MHD instabilities, either the  $n=1$  kink or the  $n=\infty$  ballooning mode where  $n$  is the toroidal mode number.] The temporal evolution of a typical discharge is shown in Fig. 2; the beam power is increased gradually. There is a “soft” beta limit due to the onset of an  $m/n = 3/2$  rotating tearing mode which saturates at an amplitude that decreases energy confinement by  $\Delta\tau_E/\tau_E \approx -20\%$  [Fig. 2(b,c)] and a “hard” beta limit at slightly higher beta due to the onset of an  $m/n = 2/1$  rotating tearing mode which grows to an amplitude that destroys the confinement and induces a disruption [Fig. 2(b,d)]. (These plasmas are neutral beam heated ELMing H-mode with sawteeth; the safety factor  $q_{95}$  is just above 3.)

An explanation of the observed experimental results is consistent with the neoclassical bootstrap current destabilization of a seed island for otherwise stable plasmas, i.e.  $\Delta' < 0$  where  $\Delta'$  is a measure of the free energy available from the poloidal field. For this study,  $\Delta'$  is estimated from an analytical approximation using the MHD reconstruction EFIT [3,4]. The effect of the bootstrap current is increasingly more destabilizing with increased beta poloidal  $\beta_\theta$  as is seen from the the modified Rutherford equation for island of width  $w$  [5]

$$\left( \frac{\mu_0}{1.22 \eta_{nc}} \right) \frac{dw}{dt} = \Delta' + a_1 \epsilon^{1/2} \beta_\theta \left( \frac{L_q}{L_p} \right) \left[ \frac{w}{(w^2 + w_d^2)} \right] - a_2 g(\epsilon, \nu_i) \frac{(L_q/L_p)^2}{w^3} \rho_{\theta i}^2 \beta_\theta$$

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where the second term on the RHS is usually ( $L_q/L_p > 0$ ) destabilizing. ( $L_q \equiv q/dq/dr$  and  $L_p \equiv -p/dp/dr$  with  $a_1$  and  $a_2$  and constants of order one.) Other MHD events such as sawteeth or ELMs usually trigger the onset of the resistive modes, supporting the idea that they are neoclassically destabilized by a seed perturbation. The neoclassical destabilization of tearing modes requires the proper conditions, i.e., high beta and low collisionality, and a seed island. The collisionality can enter (for  $\Delta' < 0$ ) in either of two ways. In the “ $\chi_{\perp}/\chi_{\parallel}$ ” model [6], the pressure is not equilibrated on the perturbed flux surface when perpendicular transport  $\chi_{\perp}$  across a seed island dominates over that along the island  $\chi_{\parallel}$ , so that the critical island width  $w_D$  is an increasing function of collisionality. In the “ $\omega^*$ ” model [7], the toroidally enhanced ion polarization drift response of the plasma to the seed island due to inertial effects can add a stabilizing term to the modified Rutherford equation (the third term on the RHS) which dominates at small  $w$ . (Whether it is stabilizing depends on the mode frequency in the  $E_r = 0$  frame, here assumed stabilizing.) It has a collisional factor  $g(\epsilon, \nu_i) = \epsilon^{3/2}$  for  $\nu_i/\epsilon\omega_{*e} \ll 1$  and  $g(\epsilon, \nu_i) = 1$  for  $\nu_i/\epsilon\omega_{*e} \gg 1$  that can increase the critical island size a factor of 2–3. [Bootstrap current also requires  $\nu_* \equiv (\nu_i/\epsilon)/\omega_{bi}$  be well below one where  $\omega_{bi} = \epsilon^{1/2} \nu_i/qR$ .]

The ITER-like discharges in DIII-D have both sawteeth and ELM perturbations with the sawteeth period 10 to 20 times that of the ELMs. Examination of the databases of the onset of  $m/n=3/2$  and  $2/1$  modes shows: (1) in 16 of 17 cases of the onset of the  $3/2$  mode, the mode clearly starts on a sawtooth crash with the remaining case on what may be an impurity burst, (2) the onset of the  $2/1$  mode is uncorrelated with a sawtooth crash but instead appears coincident with an ELM in 18 of 18 cases. For discharge #86144 of Fig. 2, as  $\beta_{\theta}$  slowly increases and collisionality [here  $\nu_* \equiv (\nu_i/\epsilon)/\omega_{bi}$ ] decreases, a sawteeth crash induces the onset of the  $3/2$  mode as shown in Fig. 3(a).

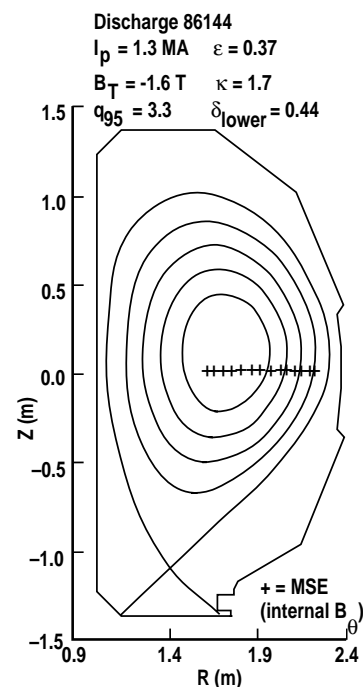


Fig. 1. Equilibrium cross section in DIII-D similar to that proposed for ITER. The 16 radial positions of the MSE diagnostic of poloidal field profile are also shown.

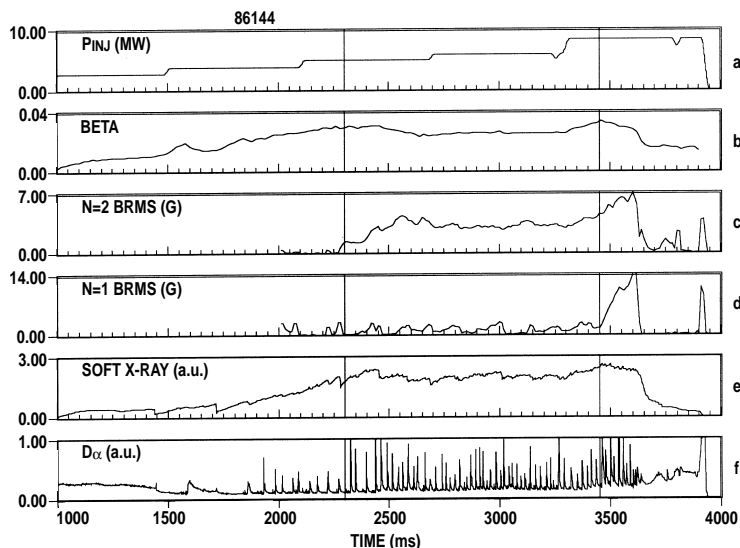


Fig. 2. Discharge #86144. (a) Injected beam power, (b)  $\beta$  from MHD reconstruction code EFIT, (c) rms amplitude of  $n = 2$  rotating tearing mode ( $m = 3, n = 2$ ), (d) rms amplitude of  $n = 1$  rotating tearing mode ( $m = 2, n = 1$ ), (e) central soft x-ray chord showing periodic sawteeth, and (f)  $D_{\alpha}$  photodiode signal at divertor showing frequent edge localized modes. Note onset of  $3/2$  mode at 2250 rms and  $2/1$  mode at 3450 ms.

Upon further heating,  $\beta_\theta$  again slowly rises,  $v_*$  decreases and an ELM induces the 2/1 mode as shown in Fig. 3(b).

If  $\Delta' < 0$ , the neoclassical stability depends on the size of the seed perturbation  $w_{\text{seed}}$  relative to critical islands  $w_d = (L_s/k\theta)^{1/2} (\chi_\perp/\chi_\parallel)^{1/4}$  and/or  $w_g = [g(\epsilon, v_i)(L_q/L_p)/\epsilon^{1/2}]^{1/2} \rho_{\theta i}$ . For typical DIII-D parameters  $w_d \approx 0.5$  cm and  $w_g \approx 2.4$  cm compared to minor radius  $a = 61$  cm. The metastable region of the modified Rutherford equation is shown as the shaded region in Fig. 4. If a seed island  $w_{\text{seed}}$  exceeds the critical island  $w_{\text{crit}}$ , the metastable state is destabilized and  $w_{\text{seed}}$  grows to saturated size  $w_{\text{sat}}$ . Otherwise  $w_{\text{seed}}$  decays away.

As the neoclassical destabilization with beta depends on collisionality in different ways, empirical fits of critical beta for onset of 3/2 or 2/1 tearing were made to  $v_*$ ,  $\rho_*$ , etc. for as wide a range of variables as possible. The database of discharges at the onset of 3/2 tearing or 2/1 tearing scans  $B_T = 0.9\text{--}2.1$  T at  $I_p = 0.65\text{--}1.5$  MA with  $q_{95} < 4$ ,  $\bar{n}_{14} = 0.26\text{--}0.82$ , with critical  $\beta = 1.73\text{--}5.16\%$ . The radial scale lengths at  $q=m/n$  for  $q$ ,  $T_e$ , and  $T_i$  at the 3/2 and 2/1 mode onsets, respectively, do not vary significantly. The H-mode core density profile is fairly flat in all cases. For the 3/2 mode onset, the mean  $L_q/a = 0.55 \pm 0.05$ ,  $L_{T_e}/a = -0.39 \pm 0.06$ , and  $L_{T_i}/a = -0.33 \pm 0.03$ . The mean  $\Delta'$  using the high  $m$  approximation [4] is  $-9.4 \pm 1.5$  m $^{-1}$ . For the 2/1 mode onset, the mean  $L_q/a = 0.40 \pm 0.03$ ,  $L_{T_e}/a = -0.41 \pm 0.08$ , and  $L_{T_i}/a = -0.38 \pm 0.10$ . The mean  $\Delta'$  using the high  $m$  approximation is  $-8.0 \pm 1.8$  m $^{-1}$ . Thus the principal experimental variables for the tearing mode destabilization are beta, collisionality, and gyroradius. A fit to  $\beta_{\text{crit}} \sim v_*^x \rho_*^y$  was done in the spirit of dimensionless transport scaling and the dependence on the local parameters of the soft 3/2 tearing mode beta limit is shown in Fig. 5(a). For the 3/2 mode, the range in  $v_*$  is only 3.1 and in  $\rho_*$  only 1.4. At low B, the 2/1 mode turns on first and the discharges disrupt. For the onset of the 2/1 mode shown in Fig. 5(b),  $v_*$  varies a factor of 16 while  $\rho_*$  varies a factor of 1.6. The  $\rho_*$  dependence is  $0 \sim 1/3$  within the uncertainty. The scaling with  $v_i/\epsilon\omega_{*e}$  which is more relevant for the  $\omega^*$  model instead of  $v_*$  was almost as good as for  $v_*$ .

Similar and even lower collisionality discharges in DIII-D were successfully run for 1.5 seconds at  $\beta_N = 3$  without tearing modes by applying weak early beam heating in the current rampup so as to maintain  $q(0) \gtrsim 1$  in the  $I_p$  flattop, with no sawteeth. Removing the sawteeth

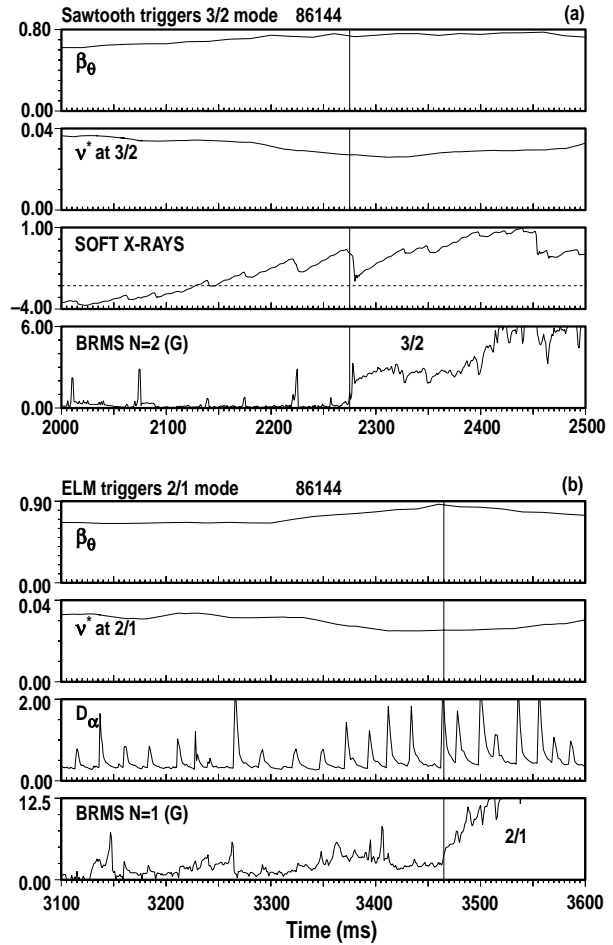


Fig. 3. (a) Correlation of a sawtooth crash (and 2/2 mode “gong”) with the growth of a 3/2 tearing mode. (b) correlation of an ELM (and broad  $m/n$  “gong”) with the growth of a 2/1 tearing mode.

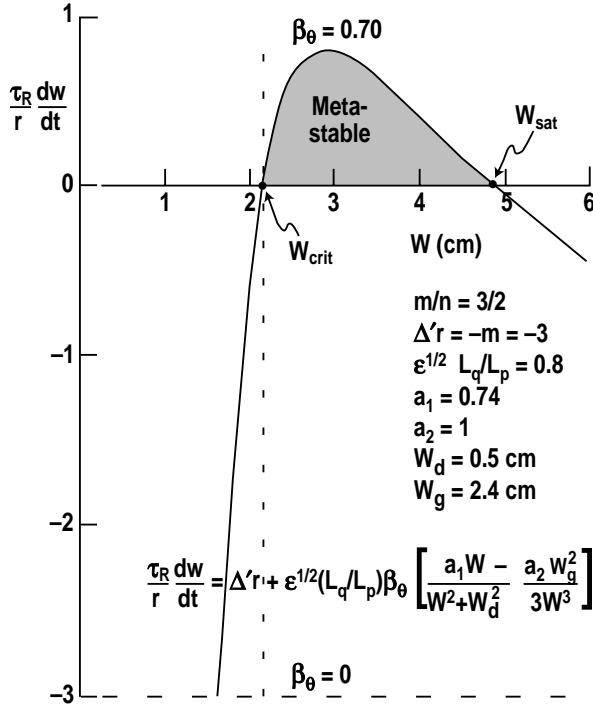


Fig. 4. Modified Rutherford equation for island growth versus island size. If seed  $w_s < w_c$ , island decays. If seed  $w_s > w_c$ , island grows to size  $w_{sat}$

perturbation  $w_{seed}$  can explain avoiding the 3/2 metastable mode but surprisingly the ELMs remained large but did not destabilize the 2/1 mode.

Replacing the perturbed bootstrap current “missing“ in the island O-point by radially localized ECCD has been proposed to suppress and/or stabilize the modes [8]. Experiments to evaluate this stabilization are planned for this year on DIII-D.

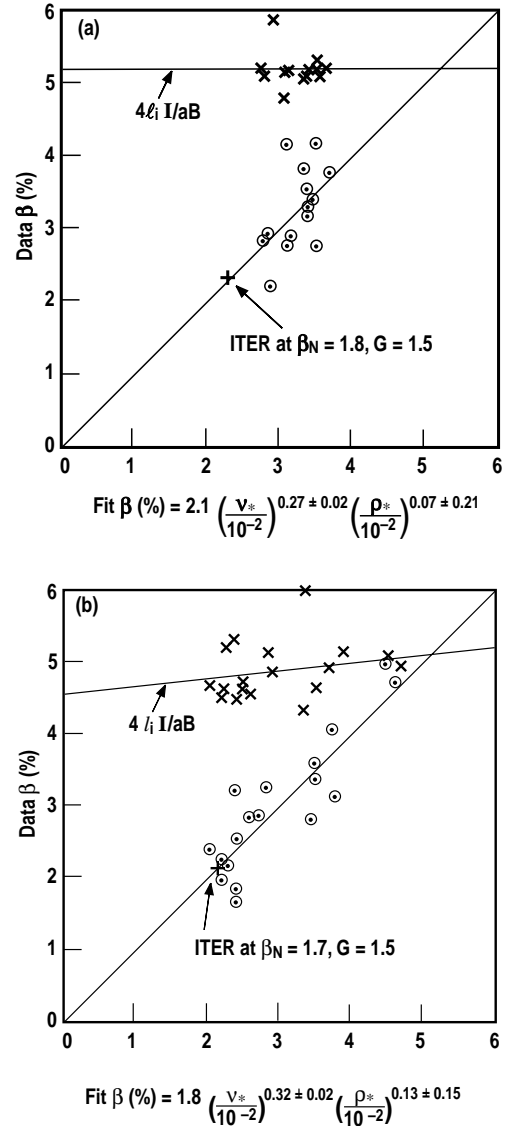


Fig. 5 (a) Onset of 3/2 tearing ( $\odot$ ) in DIII-D fitted to local parameters. (b) Onset of 2/1 tearing ( $\odot$ ) in DIII-D fitted to local parameters. Expected ITER beta limit is also shown (+) as well as expected ideal limit ( $\times$ ).

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