

# Prediction of Sawtooth Periods in Fast-Wave Heated DIII-D Experiments Using Extensions of the Porcelli Model

by  
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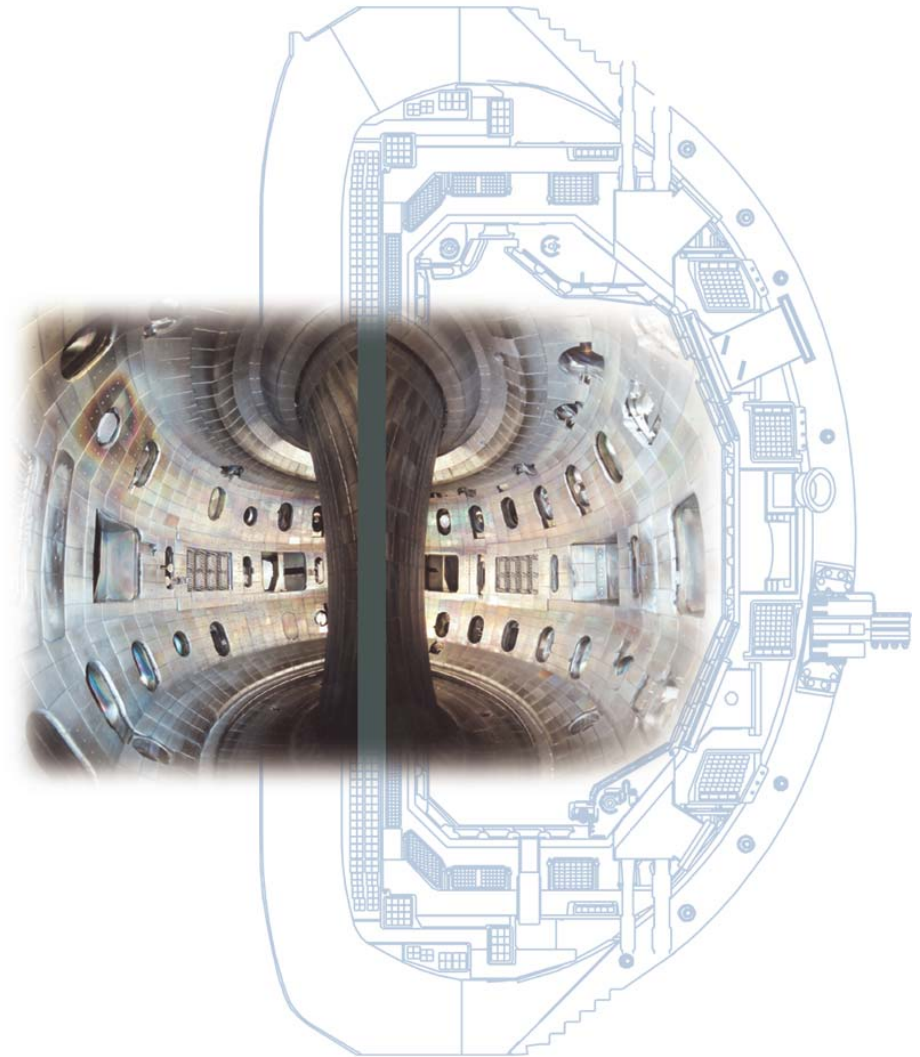
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# Kinetic Fast Ion Pressure Can Stabilize Ideal Internal Kink Mode Involved in Sawteeth

- Experiments with large fast ion pressure exhibit long sawtooth periods followed by a giant sawtooth:
  - ⇒ Interpreted as stabilization of ideal internal kink by fast ion kinetic effects

## Porcelli model developed for deciding when a sawtooth is triggered

- Contributions from ideal and kinetic effects from fast and thermal ions
- Intended to be used in conjunction with 1 1/2 D transport models:
  - Model is semi-phenomenological and ad-hoc
  - Original form utilizes simplified models for the individual contributions
- **Porcelli model successfully predicts qualitative sawtooth behavior in JET, TCV, and ASDEX experiments:**
  - Average sawtooth periods in transport simulations
  - Changes in sawtooth period with evolving discharge conditions

**Can the Porcelli Model be used quantitatively to predict a specific sawtooth crash in an actual discharge?**

# Porcelli Model Can Account For Change in Sawtooth Behavior and Predict the Giant Sawtooth Period

- We quantitatively tested the Porcelli model in DIII-D using:
  - Reconstructed DIII-D discharge equilibria from several times within a sawtooth cycle
  - More realistic numerical models for the individual contributions
- Porcelli sawtooth crash criteria evaluated over first giant sawtooth cycle reproduces the time of crash quantitatively:
  - Discharge equilibria reconstructed from EFIT
  - Numerically calculated  $\gamma_{\text{ideal}}$  from GATO for the reconstructed equilibria
  - Numerically calculated pressure from:
    - ORBIT-RF using non-Maxwellian ion distribution with finite orbits (ORBIT : R.White, Phys. Plasma 2 (1995)) and
    - RF wave field from TORIC (M. Brambilla, Plasma Phys. Control. Fusion 41 (1999))

**Key is accurate evaluation of the discharge equilibria**

# The Porcelli Sawtooth Trigger Model Is Based on the Linear Stability Threshold Against the 1/1 MHD Mode

Basic Model: unstable ideal kink stabilized by kinetic effects

Condition for instability of the ideal internal kink mode:  $\delta\hat{W}_{MHD} < 0$

Ideal internal kink mode stabilized by  $\delta\hat{W}_{Th} > 0$  and  $\delta\hat{W}_{fast} > 0$

Sawtooth instability is triggered when one of three criteria is satisfied:

((Porcelli, Plasma Phys. Control. Fusion 38 (1996))

$$\delta\hat{W} = \delta\hat{W}_{MHD} + \delta\hat{W}_{Th} + \delta\hat{W}_{fast}$$

Ideal mode not stabilized by fast ions

$$-(\delta\hat{W}_{MHD} + \delta\hat{W}_{Th}) > c_h \omega_{Dh} \tau_A \quad \text{Fast trapped ion effect}$$

Ideal mode not stabilized by fast ion+ion diamagnetic frequency

$$-\delta\hat{W} > 0.5\omega_{*i}\tau_A \quad \text{Diamagnetic effect}$$

Non-ideal resistive or ion kinetic effects

$$\left\{ \begin{array}{l} -c_\rho \hat{\rho} < -\delta\hat{W} < 0.5\omega_{*i}\tau_A \\ s_1 \equiv r_1 \left. \frac{dq}{dr} \right|_{r_1} > s_{crit} \end{array} \right\} \quad \text{Nonideal layer effect}$$

Modified Ideal Mode

Resistive/ Ion-kinetic Mode

# Porcelli Model Evaluated Using Ideal Contribution to Perturbed Energy and Numerical Fast Ion Pressure

$$\delta\hat{W} = \delta\hat{W}_{MHD} + \delta\hat{W}_{Th} + \delta\hat{W}_{fast}$$

Ideal MHD:

**GATO**

$$\delta\hat{W}_{MHD} = -\gamma_I \tau_A$$

$\gamma_I$  = Numerically calculated growth rate for n=1

Trapped Thermal ion:

$$\begin{aligned} \delta\hat{W}_{Thermal} &= \delta\hat{W}_{KO} \\ &= 0.6c_p \epsilon_1^{1/2} \beta_{i0}/s_1 \\ c_p &= (5/2) \int_0^1 x^{3/2} p_i(x)/p_{i0} \\ x &= r/x_1 \end{aligned}$$

Kruskal-Oberman

Valid if  $\delta\hat{W}_{KO} > \omega_{*i} \tau_A$

Trapped Fast ion :

**ORBIT-RF**

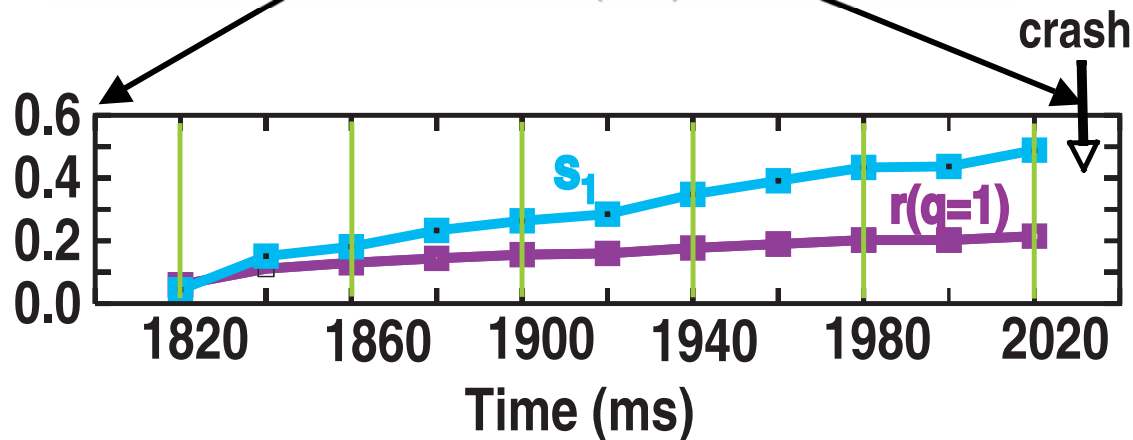
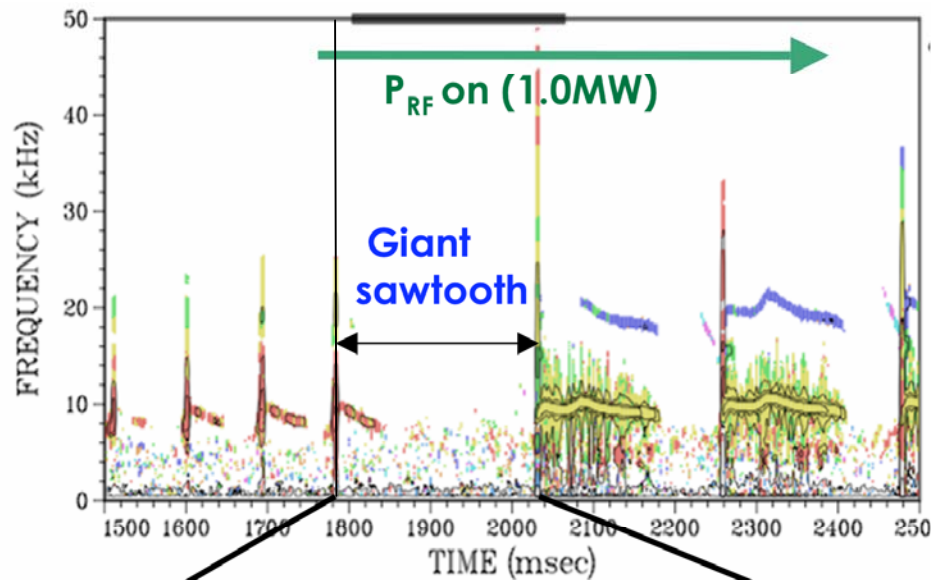
$$\begin{aligned} \delta\hat{W}_{fast} &= c_f \epsilon_1^{3/2} \beta_{ph} / s_1 \\ \beta_{ph} &= -\frac{2\mu_0}{B_p^2} \int_0^1 x^{3/2} \frac{dp_h}{dx} dx \\ &= -\frac{2\mu_0}{B_p^2} \left[ p(\psi_1) - \frac{\int_0^{\psi_1} \psi^{-1/4} p_h d\psi}{\int_0^{\psi_1} \psi^{-1/4} d\psi} \right] \\ p_h &= \frac{2}{3} \sum_i (E_i - e\phi_i) \quad c_f \approx 1 \\ \epsilon_1 &\equiv r_1/R \quad s_1 \equiv r_1 \left. \frac{dq}{dr} \right|_{r_1} \end{aligned}$$

Original Porcelli model used:

$$\begin{cases} \delta\hat{W}_{MHD} & \text{from Bussac analytic internal kink model} \\ \delta\hat{W}_{fast} & \text{from model for fast ion pressure } p_h \end{cases}$$

# Fast Wave Accelerates Beam Ions In DIII-D Discharge #96043 Leading to Modified Sawtooth Behavior

DIII-D 96043: 60 MHz Fast Wave at  $4\Omega_D$



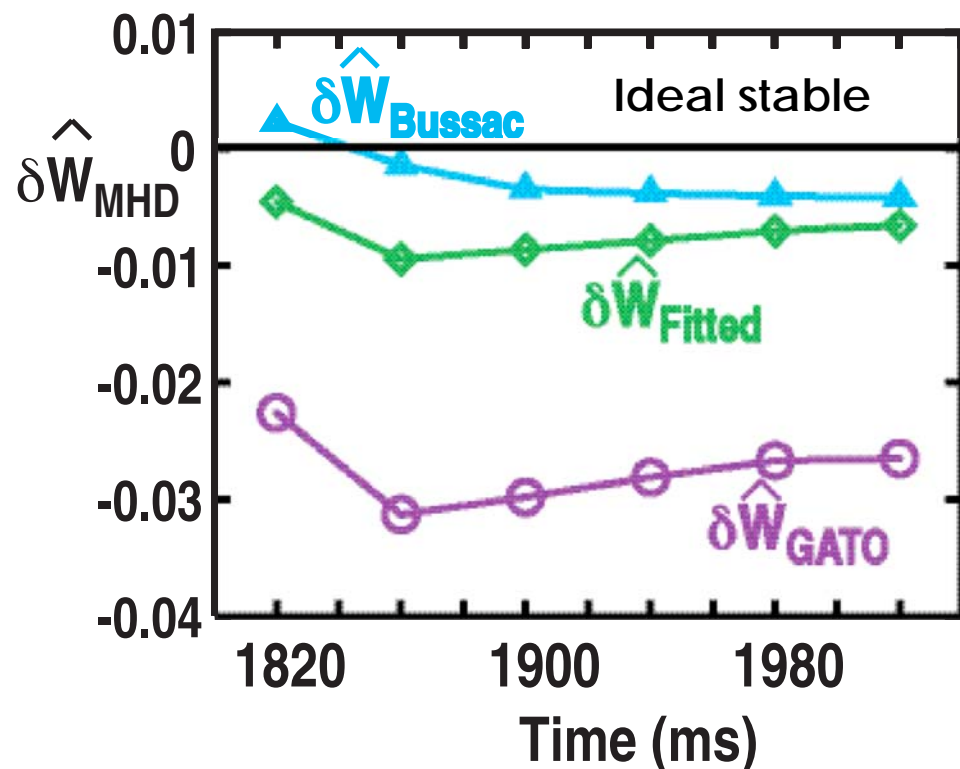
Conventional interpretation:

Fast ions stabilize ideal kink  
Sawtooth is delayed and occurs after internal energy has built up, producing a delayed, much larger "giant sawtooth"

Porcelli sawtooth crash criteria are evaluated for discharge equilibria every 40 msec during the first giant sawtooth cycle

# Ideal and Fast Particle Contributions to Porcelli Model Numerically Calculated for Reconstructed Equilibria

- GATO ideal result more unstable than analytic or numerically fitted formulas normally used in Porcelli model



- Fast particle contribution from numerically computed fast ion pressure:

- TORIC code calculates

$$E_+^m(\rho) \quad k_{||}^m \quad k_{\perp}^m$$

- Resonant kicks from RF wave are modeled in ORBIT-RF using stochastic quasi-linear diffusion operator:

$$D_{\perp l}(k_{||}) = \mu \delta(\omega_l) \frac{\pi B}{m_i} K \times \sum_{m'} [E_+^{m'} J_{l-1}(k_{\perp}^{m'} \rho_i)]^* \sum_m [E_+^m J_{l-1}(k_{\perp}^m \rho_i)]$$

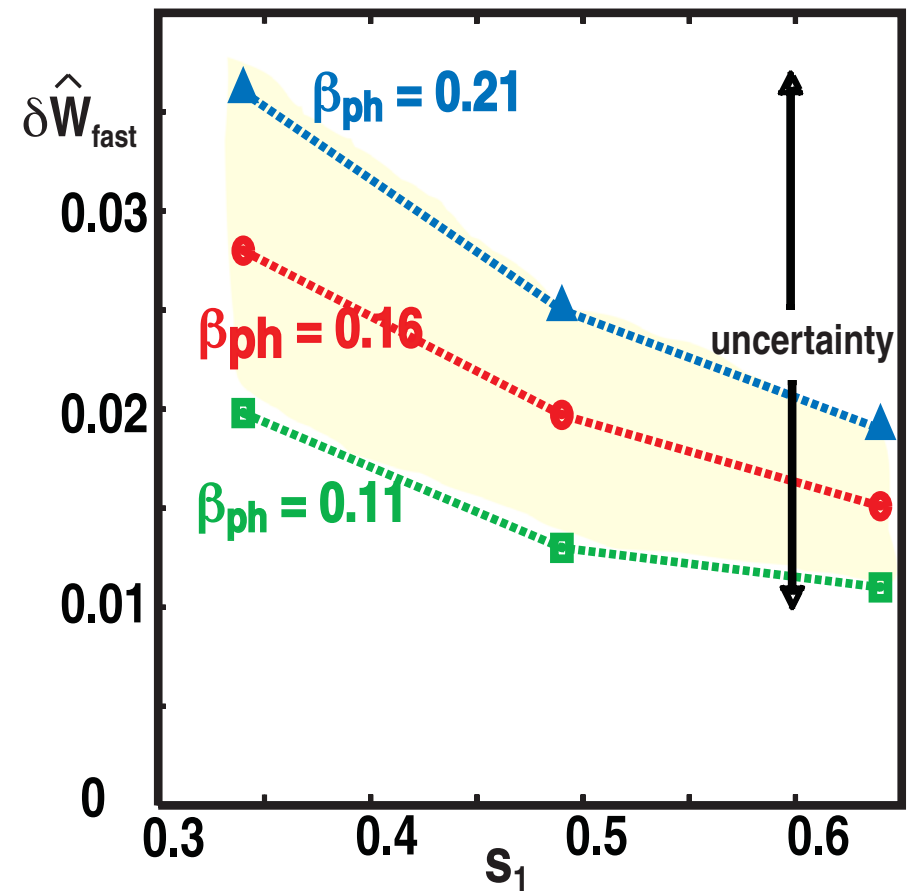
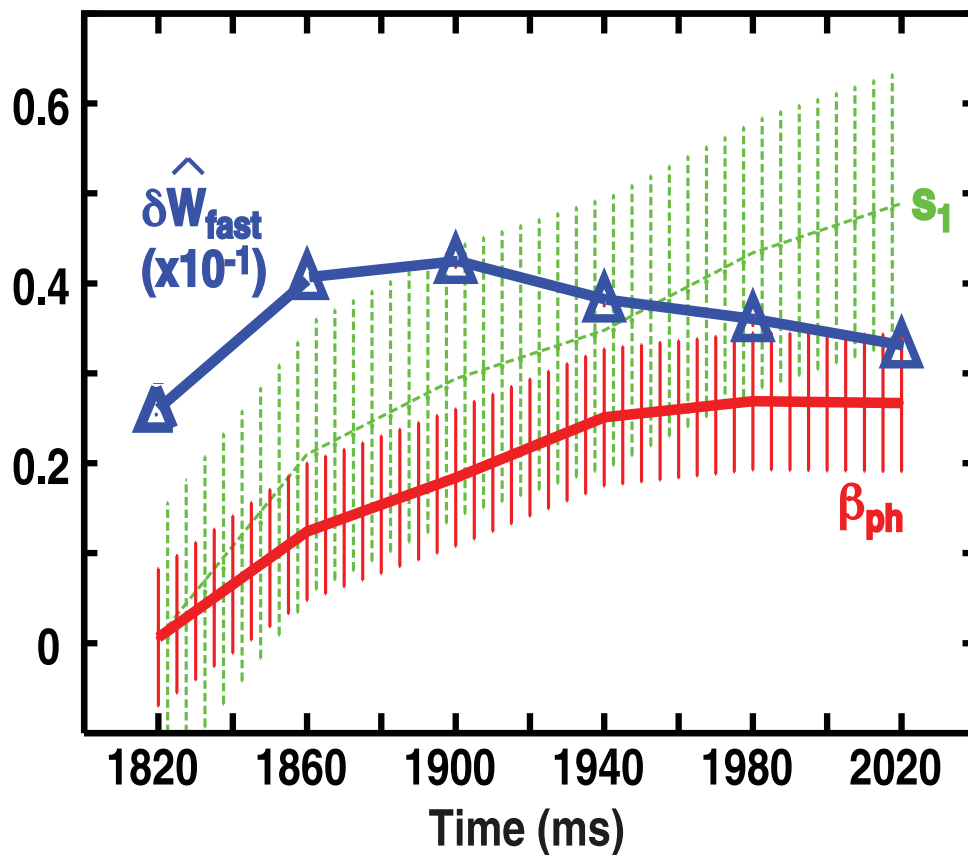
- Diffusion in velocity space treated as random walk in  $\mu$ :
  - kicks from resonant interaction between ions and wave

# $\hat{\delta W}_{fast}$ is Sensitive to Calculated $\beta_{ph}$ due to Monte-Carlo Noise and Uncertainty in Reconstructed $s_1$

- $s_1$  increases as  $\beta_{ph}$  saturates  
 $\Rightarrow \delta W_{fast}$  decreases

- Uncertainty in  $s_1$  and  $\beta_{ph}$  translates into uncertainty in  $\delta W_{fast}$

Estimated 30% uncertainty in  $s_1$  and  $\beta_{ph}$

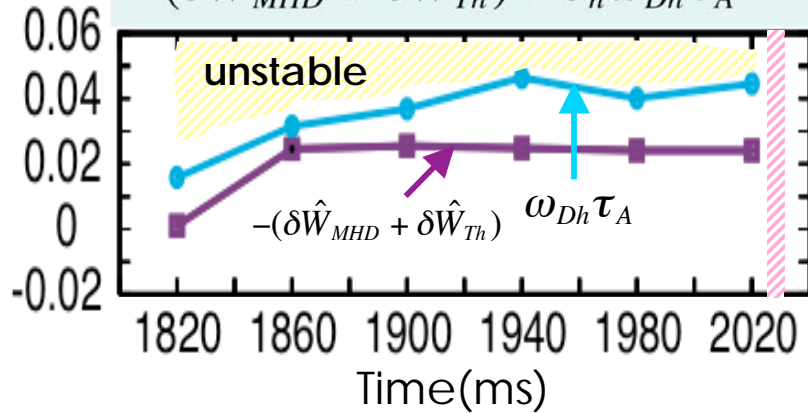




# Stability Evaluation Using ORBIT-RF/GATO For First Giant Sawtooth In Agreement With Experimental Crash Time

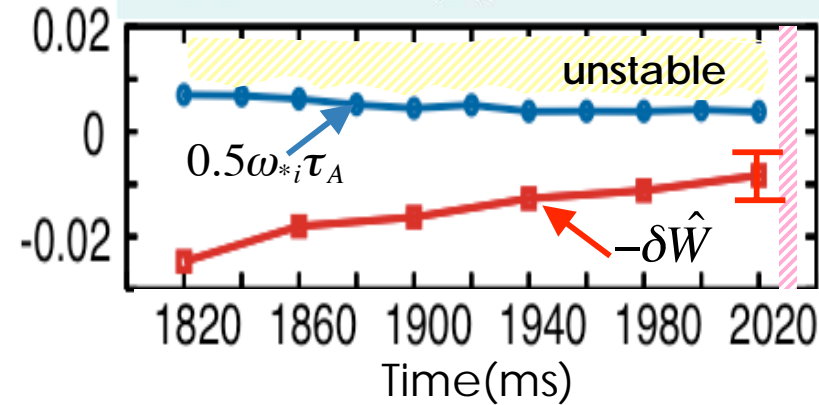
**Fast ion effect: Never satisfied**

$$-(\delta\hat{W}_{MHD} + \delta\hat{W}_{Th}) > c_h \omega_{Dh} \tau_A$$

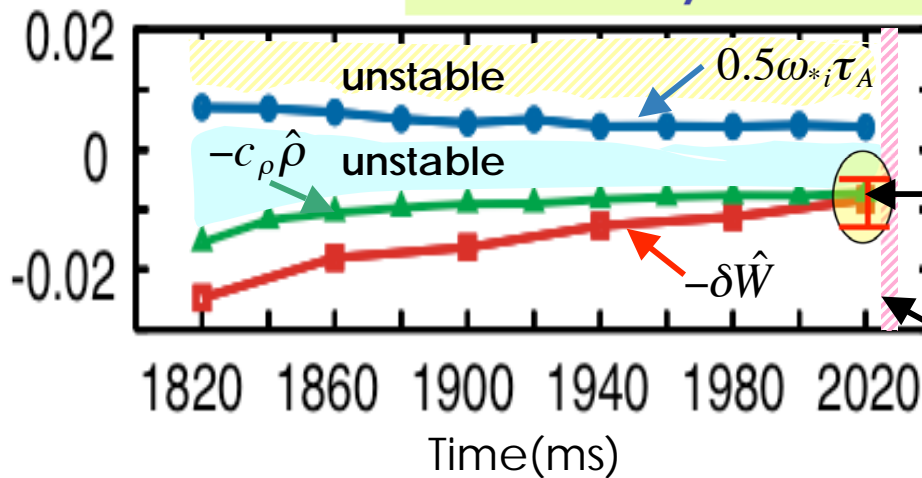


**Diamagnetic effect: Never satisfied**

$$-\delta\hat{W} > 0.5\omega_{*i}\tau_A$$



**Nonideal layer effect:**



$$\left\{ \begin{array}{l} -c_\rho \hat{\rho} < -\delta\hat{W} < 0.5\omega_{*i}\tau_A \quad \text{Satisfied at 2020 ms} \\ s_1 \equiv r_1 \left. \frac{dq}{dr} \right|_{r_1} > s_{crit} \quad \text{Satisfied each time} \end{array} \right.$$

Sawtooth crash predicted from ion kinetic regime mode just after 2020 ms

Actual sawtooth crash at 2035 ms

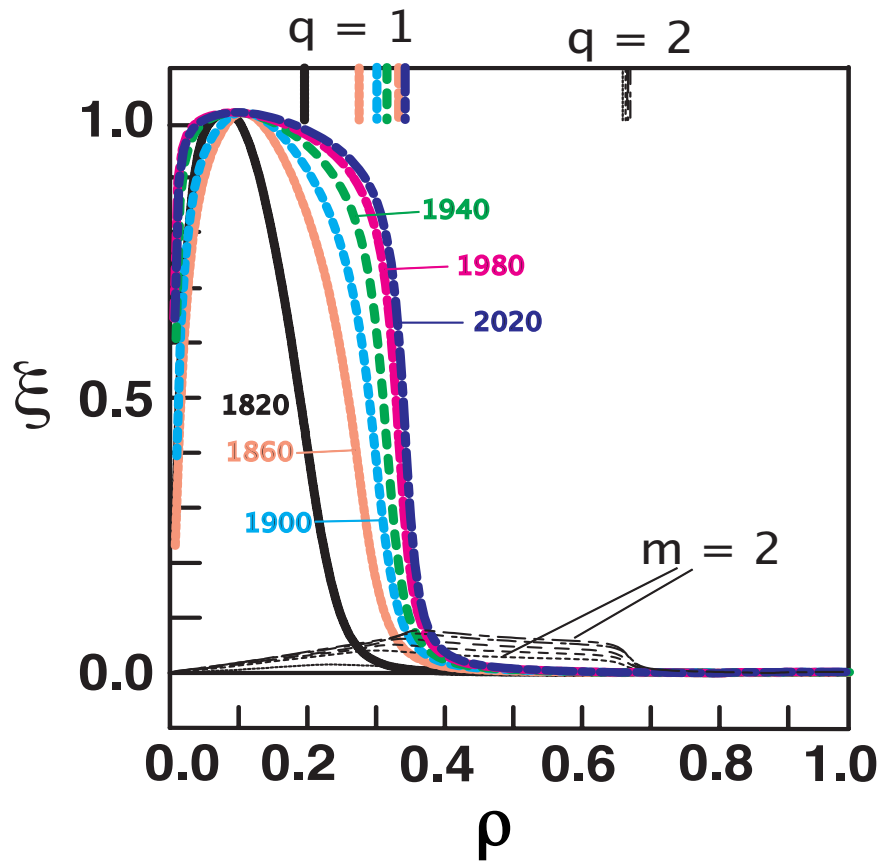
# Porcelli Model Applied to Sequence of Reconstructed DIII-D Discharge Equilibria Works Remarkably Well

- Model using ORBIT-RF/TORIC/GATO numerical input yields results quantitatively in agreement with experimental sawtooth crash:
    - Trigger quantitatively agrees with crash time for first giant sawtooth
  - Sawtooth trigger is the ion kinetic regime mode:
    - From decreasing fast ion stabilization as  $s_1$  increases faster than  $\beta_{ph}$ :
    - Kinetic stabilizing contribution of fast ions is sensitive to:
      - Magnetic shear at  $q = 1$  surface
      - Poloidal beta of trapped fast ions inside  $q=1$  surface
- $$\left. \begin{array}{l} \text{○ Magnetic shear at } q = 1 \text{ surface} \\ \text{○ Poloidal beta of trapped fast ions inside } q=1 \text{ surface} \end{array} \right\} \delta \hat{W}_{fast} \propto \beta_{ph} / s_1$$
- Predictions using analytic formula or formula fitted to ideal kink numerical stability calculation database **do not** yield quantitative agreement
  - Stability evaluation for subsequent sawtooth crash is also consistent with experimental sawtooth crash time:
    - $-\delta \hat{W} \sim 0.5 \omega_{*i} \tau_A$  through most of the cycle within 30% nominal uncertainty
    - Analysis is ongoing to evaluate uncertainties and quantitatively check crash criteria

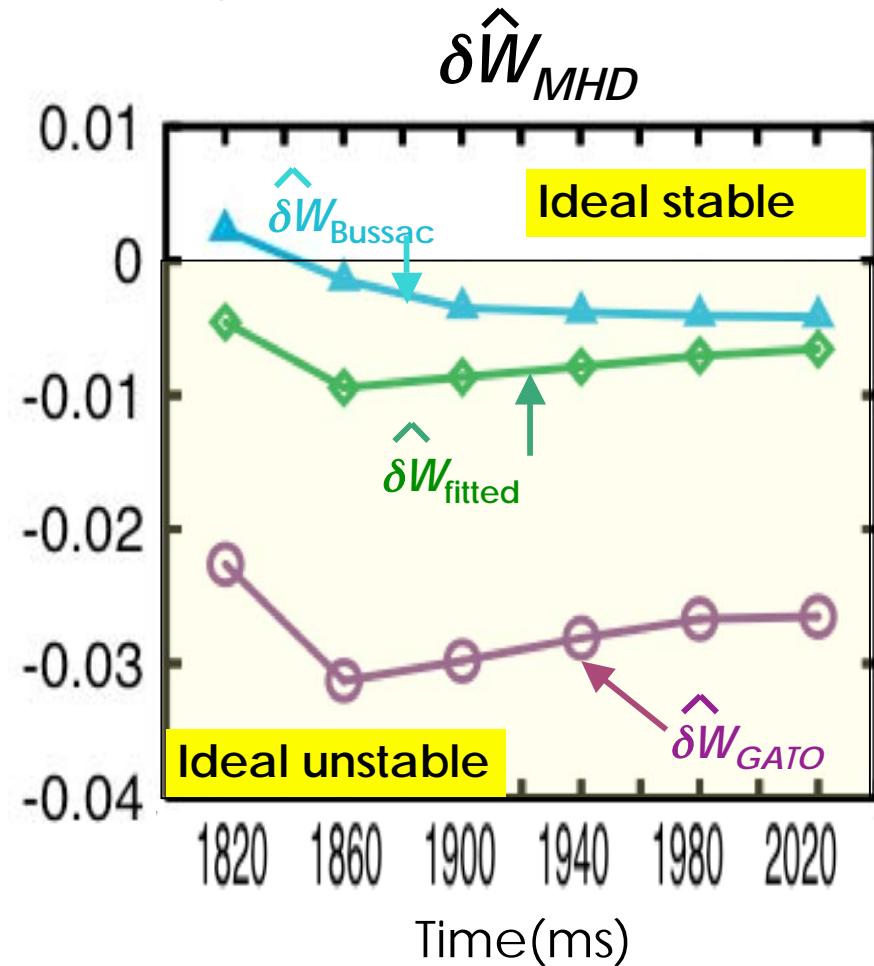
**NOVA-K simulations planned to verify conclusions from Porcelli model**

# Ideal Kink Mode Unstable Through Sawtooth Cycle With Mode Structure Only Approximately "Top-Hat"

"Top-Hat" structure  
assumed in Bussac model



GATO result more unstable than  
analytic or numerically fitted formulas  
normally used in Porcelli model



# Resonant Kicks From RF Are Modeled In ORBIT-RF Using Stochastic Quasi-Linear Diffusion Operator

- TORIC calculates wave fields  $E_+^m(\rho)$   $k_{||}^m$   $k_{\perp}^m$

from antenna carrying unit current:

- Single toroidal mode
- $-15 \leq m \leq +15$
- $E_+^m(\rho)$  from TORIC scaled to match experimental input power:

⇒ Complete absorption of input power according to linear theory

$$|E_+|^2(\rho, \theta) = \sqrt{\frac{P_{\text{exp}}}{R_{\text{Toric}}}} \times \text{Re} \left[ \sum_{m'} (E^{m'}(\rho) e^{im'\theta})^* \sum_m E^m(\rho) e^{im\theta} \right]$$

- Quasi-linear RF Diffusion operator in ORBIT-RF due to wave field:

$$D_{\perp l}(k_{||}) = \frac{\pi B \mu}{m_i} K \delta(\omega_l) \sum_{m'} \left[ E_+^{m'} J_{l-1}(k_{\perp}^{m'} \rho_i) \right]^* \sum_m \left[ E_+^m J_{l-1}(k_{\perp}^m \rho_i) \right]$$

Parallel wavenumber

Left-hand polarized field

Perpendicular wavenumber

Resonance condition:  
Single wave harmonic  $l$

Bessel Function Larmor radius

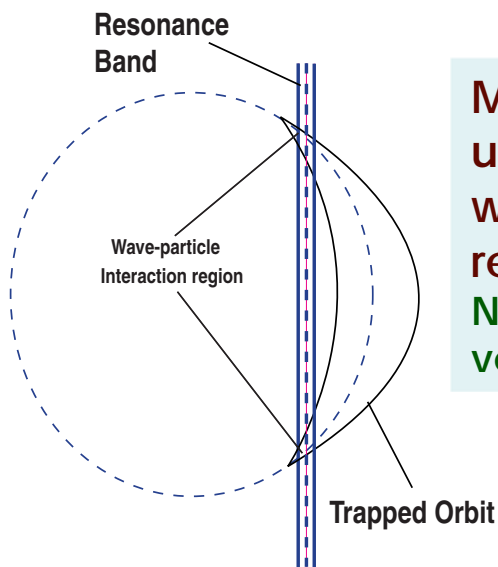
$$\omega_l = \omega - l\Omega - k_{||} v_{||} \quad \Omega = \frac{qB}{m}$$

$$\dot{\omega}_l = 0 - l v \cdot \nabla \Omega - \dot{k}_{||} v_{||} - k_{||} \dot{v}_{||}$$

$$K = K(\zeta, \dot{\omega}_l, \ddot{\omega}_l, \dot{\Omega}, \ddot{\Omega})$$

# Magnetic Moment Undergoes Quasi-Linear Diffusion on Each Interaction With Resonant Wave Field

- Quasi-linear diffusion in velocity space modeled as random walk with kicks from resonant interaction between ions and wave
  - Kicks are random and small at typical experimental power levels



Magnetic moment undergoes random walk in interaction region:  
No spatial or parallel velocity diffusion

"kick" in magnetic moment

Random number

$$\Delta\mu_{rf} = \overline{\Delta\mu_{rf}} + R_s \sqrt{\langle \overline{\Delta\mu_{rf}}^2 \rangle}$$

mean change

Fluctuating change

$$\overline{\Delta\mu_{rf}} = \int_{\Delta t} dt \frac{q^2 l^2 \Omega^2}{\omega^2 B^2} \frac{\partial D_l(k_{||})}{\partial \mu}$$

$$\langle \overline{\Delta\mu_{rf}}^2 \rangle = \int_{\Delta t} dt \frac{2q^2 l^2 \Omega^2}{\omega^2 B^2} D_l(k_{||})$$

$\Delta t$  = interaction time in resonance region