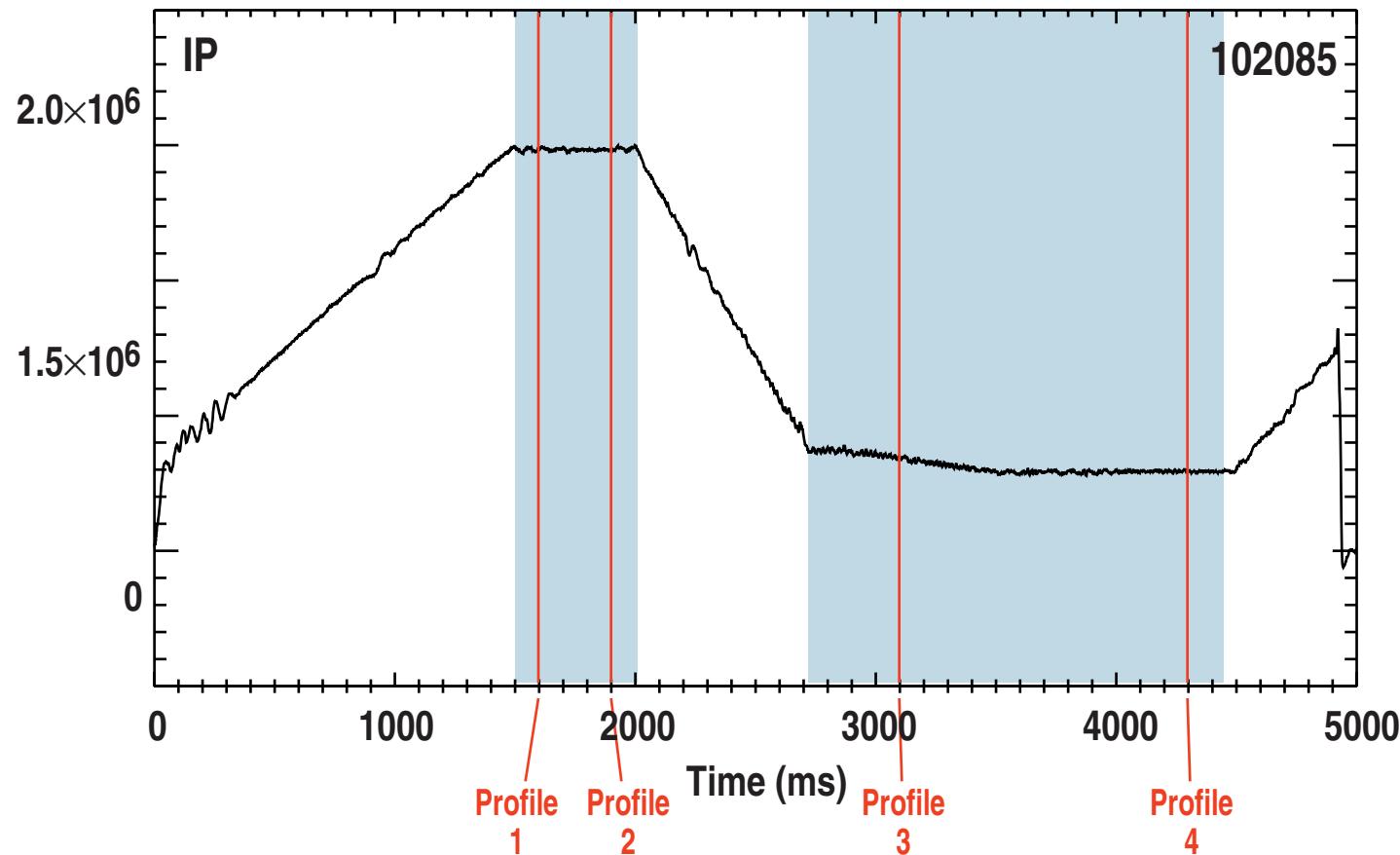


Need a Model to Predict Experimentally Observed Density Profiles

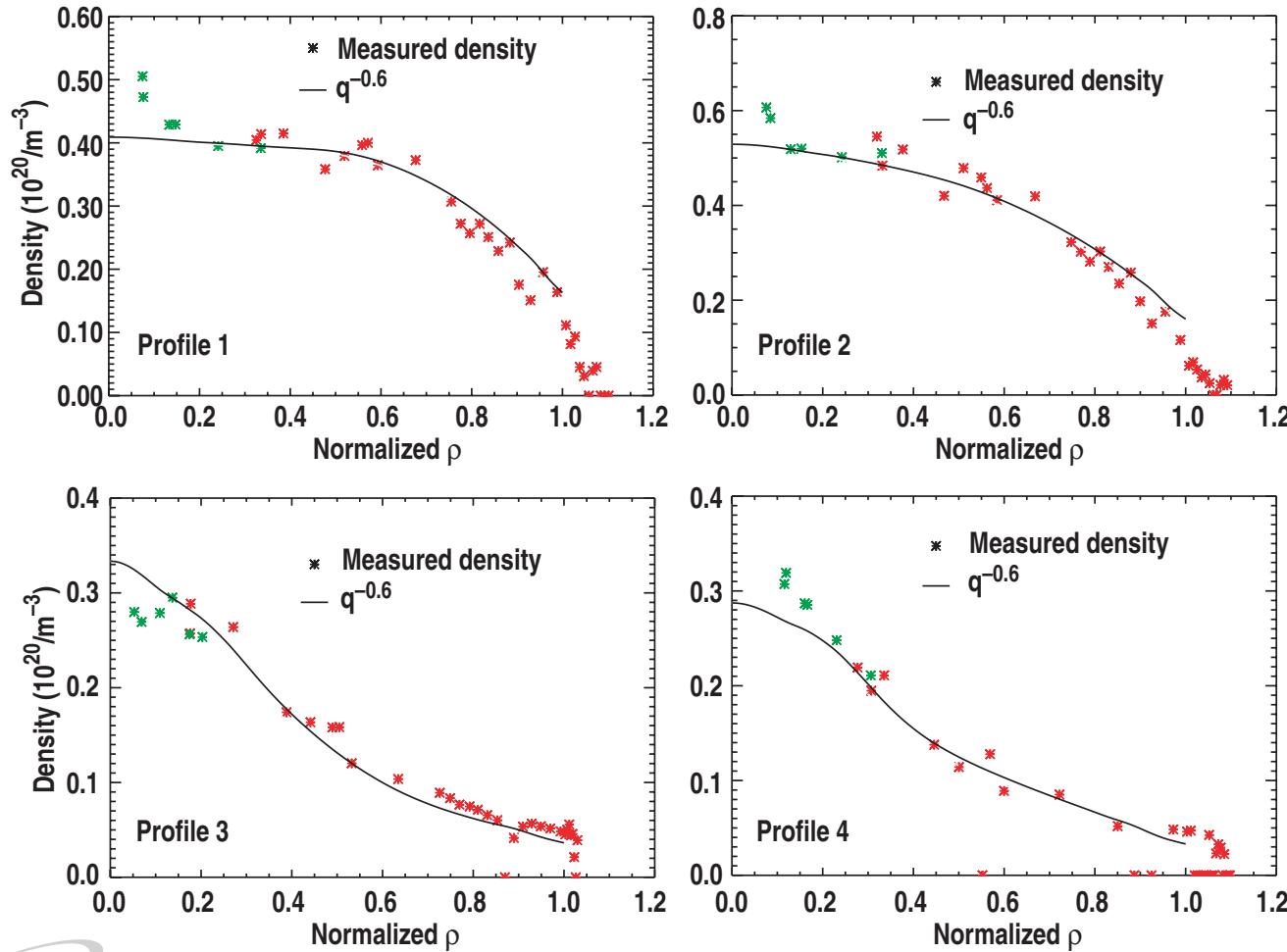
DENSITY PROFILE SHAPES IN DIII-D L-MODE PLASMAS DEPEND ON THE q PROFILE

- Change q by current ramps



DENSITY PROFILES IN DIII-D L-MODE PLASMAS HAVE A SHAPE LIKE $(q)^{-0.6}$

- q is the usual safety factor



This experimentally observed result can be explained if,

$$\Gamma = -Dn \left[\frac{1}{n} \frac{\partial n}{\partial \rho} + \xi \frac{1}{q} \frac{\partial q}{\partial \rho} \right],$$

Then with large D and small Γ ,

$$n_e \propto (q)^{-\xi}$$

This result can be obtained from the Drift Kinetic Equation using a certain ordering and certain set of assumptions

(It is well known that this relation for the particle transport can be obtained by expressing the Kinetic Equation in terms of the actions and action angles)

Detailed Theory For Model

The Drift Kinetic Equation is given by, (We consider only the ions)

$$\frac{\partial \bar{f}}{\partial t} + (\vec{v}_{\parallel} + \vec{v}_d) \cdot \nabla \bar{f} + e(\vec{v}_{\parallel} + \vec{v}_d) \cdot \vec{E} \frac{\partial \bar{f}}{\partial K} = C(\bar{f}). \quad \text{with,}$$

$$\bar{f} = \langle \bar{f} \rangle_{ens} + \tilde{f}$$

$$\vec{v}_d = \langle \vec{v}_d \rangle_{ens} + \tilde{v}_d \equiv \vec{v}_D + \tilde{v}_E \equiv \vec{v}_{d0} + \vec{v}_{E0} + \tilde{v}_E$$

$$\vec{v}_D = \frac{\hat{\mathbf{b}}}{\sqrt{1 - v_{\parallel}^2/v^2}} \left(\frac{eB_0}{2B} + \frac{eB_0}{m} \right) \hat{\mathbf{b}} \quad \tilde{v}_E = \frac{c \tilde{B}}{B} \hat{\mathbf{b}}$$

Then we make the following ordering assumptions;

$$\frac{\tilde{f}}{\bar{f}} \sim \frac{f_1}{f_M} \sim \frac{eB_0}{T} \sim \frac{\tilde{n}}{n} \sim \frac{a}{qR} \sim \epsilon \ll 1, \quad \epsilon \sim L/a, \quad \text{and} \quad k_{\parallel}/k_{\perp} \sim \epsilon, \quad \epsilon \sim \epsilon^2$$

$$\bar{f} = \langle \bar{f}_0 \rangle_{ens} + \langle \bar{f}_1 \rangle_{ens} + \tilde{f} + \epsilon(\epsilon) \equiv f_M + f_1 + \tilde{f} + \epsilon(\epsilon); \quad C(f) \sim \epsilon \quad \text{and} \quad \langle E_{\parallel} \rangle \sim \epsilon$$

The Drift Kinetic Equation for the Ions then becomes,

$$\vec{v}_{\parallel} \cdot \nabla f_1 + \vec{v}_{d0} \cdot \nabla f_M = \left\langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \right\rangle_{ens} + e v_{\parallel} \left\langle \tilde{E}_{\parallel} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens} + e \left\langle \vec{v}_{d0} \cdot \tilde{\mathbf{E}} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens}$$

and,

$$\frac{\partial \tilde{f}}{\partial t} + (\vec{v}_{\parallel} + \vec{v}_d) \cdot \nabla \tilde{f} + \tilde{\mathbf{v}}_E \cdot \nabla f_M - e(\vec{v}_{\parallel} + \vec{v}_d) \cdot \nabla \tilde{f}_M = 0$$

The radial component of the particle flux is given by,

$$J = n d^3 v \left\langle \left\langle \vec{v}_d \cdot \nabla f \right\rangle_{ens} \right\rangle_{fs} / A, \text{ or}$$

$$J = J_a + J_E = n d^3 v \left\langle \vec{v}_{d0} \cdot \nabla f_1 \right\rangle_{fs} / A + n d^3 v \left\langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \right\rangle_{ens} / A .$$

Note: $J_E = \left\langle \tilde{n} \tilde{\mathbf{v}}_E \right\rangle_{ens, fs} \cdot \hat{\mathbf{r}}$

Need to obtain more explicit expression for \square_a

Take v_{\parallel}/B moment of equation for f_1 and orbit average

$$\left\langle \frac{v_{\parallel}}{B} \vec{v}_{\parallel} \cdot \square f_1 \right\rangle_o + \left\langle \frac{v_{\parallel}}{B} \vec{v}_{d0} \cdot \square f_M \right\rangle_o =$$

$$\square \left\langle \frac{v_{\parallel}}{B} \left| \tilde{\vec{v}}_E \cdot \square \tilde{f} \right\rangle_{ens} + ev_{\parallel} \left\langle \tilde{E}_{\parallel} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens} + e \left\langle \vec{v}_{d0} \cdot \tilde{\vec{E}} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens} \right\rangle_o$$

Use annihilation operator $\langle \vec{v}_{\parallel} \cdot \square F \rangle_o = 0$, and

$$\left\langle \frac{v_{\parallel}}{B} \vec{v}_{\parallel} \cdot \square f_1 \right\rangle_o = \square \frac{IB}{IB} \left\langle \vec{v}_{d0} \cdot \square \square f_1 \right\rangle_o, \quad (\text{using } \square \vec{v}_d \cdot \square \square = \square v_{\parallel} I \vec{B} \cdot \square (v_{\parallel}/B))$$

and

$$\langle \square d^3 v F \rangle_{fs} = \square d^3 v \frac{v_{\parallel}}{B} \left\langle \frac{B}{v_{\parallel}} \right\rangle_b \langle F \rangle_o,$$

to obtain,

$$\square_a = \frac{IB}{\square} \left\langle \square d^3 v \frac{v_{\parallel}}{B} \left| \tilde{\vec{v}}_E \cdot \square \tilde{f} \right\rangle_{ens} + ev_{\parallel} \left\langle \tilde{E}_{\parallel} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens} + e \left\langle \vec{v}_{d0} \cdot \tilde{\vec{E}} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens} \right\rangle_{fs} / A$$

small *small*

Particle Flux Has Two Terms

$$\boxed{\text{Particle Flux}} = \boxed{J_a} + \boxed{J_E} = \boxed{\frac{IB}{2\pi}} \boxed{d^3 v} \left\langle \frac{v_{||}}{B} \left\{ \langle \tilde{v}_E \cdot \nabla \tilde{f} \rangle_{ens} \right\} \right\rangle_{fs} - \boxed{d^3 v} \left\langle \langle \tilde{v}_E \cdot \nabla \nabla \tilde{f} \rangle_{ens} \right\rangle_{fs} \boxed{A}$$

Need expression for \tilde{f} from,

$$\frac{\partial \tilde{f}}{\partial t} + (\vec{v}_{||} + \vec{v}_d) \cdot \nabla \tilde{f} + \tilde{v}_E \cdot \nabla f_M - e(\vec{v}_{||} + \vec{v}_d) \cdot \nabla \frac{\partial f_M}{\partial K} = 0$$

Fourier transform in time and perpendicular space

$$v_{||} \frac{\partial \tilde{f}_{\perp,k}}{\partial \ell} - i(\omega \nabla_d) \tilde{f}_{\perp,k} = i \omega_{*d} \frac{e \tilde{\omega}_{\perp,k}}{T} f_M v_{||} \frac{\partial}{\partial \ell} \frac{e \tilde{\omega}_{\perp,k}}{T} f_M$$

with

$$\omega_d = \vec{k} \cdot \vec{v}_d ; \quad \omega_{*d} = \omega_* \nabla_d ; \quad \omega_* = k \frac{cT}{eB} \frac{1}{L_n} + \frac{K}{T} \frac{3}{2} \frac{1}{\omega_i}$$

Replace $\partial/\partial\ell$ with $\square 1/\square qR$, (neglect $k_{\parallel}v_{\parallel}$ with respect to \square_d)

$$\square i(\square_k \square \square_d) \tilde{f}_{\square,k} \square \frac{v_{\parallel}}{\square qR} \tilde{f}_{\square,k} = i \square *_d \frac{e \tilde{\square}_k}{T} f_M + \frac{v_{\parallel}}{\square qR} \frac{e \tilde{\square}_{\square,k}}{T} f_M,$$

then

$$\begin{aligned} \tilde{f}_{\square,k} &= \square \frac{e \tilde{\square}_{\square,k}}{T} f_M + \frac{(\square_k \square \square_d)^2 \square \square *_d (\square_k \square \square_d)}{(\square_k \square \square_d)^2 + (v_{\parallel}/\square qR)^2} \frac{e \tilde{\square}_{\square,k}}{T} f_M \\ &\quad - \frac{i(\square_k \square \square *) v_{\parallel}/\square qR}{(\square_k \square \square_d)^2 + (v_{\parallel}/\square qR)^2} \frac{e \tilde{\square}_{\square,k}}{T} f_M. \end{aligned}$$

Then when $\square_k \sim \square_d$, the non-adiabatic part is,

$$\tilde{f}_{\square,k} \square \square i(\square_k \square \square *) \frac{\square qR}{v_{\parallel}} \frac{e \tilde{\square}_{\square,k}}{T} f_M.$$

The inverse transform of the non-adiabatic part can be written as,

$$\tilde{f}_{non\text{-}adiabatic} \sim i \frac{qR}{v_{\parallel}} \frac{e\tilde{\phi}(t)}{T} f_M.$$

Where i is a notational reminder that $\tilde{f}_{non\text{-}adiabatic}$ is approximately 90° out of phase with respect to $\tilde{\phi}$.

Then (after some manipulation)

$$\langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \rangle_{ens} = -\frac{cRB}{B^2} e \langle \tilde{E}_B i \nabla \cdot \tilde{\phi} \rangle_{ens} \frac{1}{T} \left[\frac{qR}{v_{\parallel}} \frac{\partial f_M}{\partial B} + f_M \frac{\partial}{\partial B} \left(\frac{qR}{v_{\parallel}} \right) \right]$$

Remember,

$$\nabla = \nabla_a + \nabla_E = \left[\begin{array}{c} \frac{IB}{2B} \\ \frac{IB}{2B} \\ \frac{IB}{2B} \end{array} \right] d^3v \left\langle \frac{v_{\parallel}}{B} \left\{ \langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \rangle_{ens} \right\} \right\rangle_{fs} d^3v \left\langle \langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \rangle_{ens} \right\rangle_{fs} \left[\begin{array}{c} \frac{IB}{2B} \\ \frac{IB}{2B} \\ \frac{IB}{2B} \end{array} \right] A$$

Particle Flux is then given by,

$$A = \frac{IB}{2\Omega} d^3 v \left\langle \frac{cRB}{B^2} e \left\langle \tilde{E}_\parallel i \square \square * \tilde{\square} \right\rangle_{ens} \frac{1}{T} \frac{qR}{v_\parallel} \frac{\partial f_M}{\partial \square} + f_M \frac{\partial}{\partial \square} \frac{qR}{v_\parallel} \right\rangle_{fs}$$

$$+ Ic d^3 v \left\langle \frac{B}{B^2} \frac{1}{T} \frac{qR}{v_\parallel} e \left\langle \tilde{E}_\parallel i \square \square * \tilde{\square} \right\rangle_{ens} f_M \right\rangle_{fs}$$

If we assume that $\left\langle \tilde{E}_\parallel i \square \square * \tilde{\square} \right\rangle_{ens}$ is independent of velocity,
then the last term velocity integrates to zero and,

$$= \frac{IB}{2\Omega} \left\langle \frac{cRB}{B^2} e \left\langle \tilde{E}_\parallel i \square \square * \tilde{\square} \right\rangle_{ens} \frac{1}{T} \frac{qR}{B} \frac{\partial n}{\partial \square} + n \frac{\partial}{\partial \square} \frac{qR}{B} \right\rangle_{fs} / A,$$

We can then approximate,

$$D \frac{q}{q} \frac{\partial n}{\partial \square} + n \frac{\partial q}{\partial \square}$$

Outline Of Particle Transport Model

In the drift approximation, the radial component of the particle flux is given by,

$$\bar{J}_r = \bar{J}_d d^3 v \left\langle \left\langle \vec{v}_d \cdot \bar{\nabla} \bar{f} \right\rangle_{ens} \right\rangle_{fs} / A, \text{ or}$$

$$\bar{J}_r = \bar{J}_a + \bar{J}_E = \bar{J}_d d^3 v \left\langle \vec{v}_{d0} \cdot \bar{\nabla} \bar{f}_1 \right\rangle_{fs} / A + \bar{J}_d d^3 v \left\langle \left\langle \tilde{\vec{v}}_E \cdot \bar{\nabla} \tilde{f} \right\rangle_{ens} \right\rangle_{fs} / A .$$

$$\bar{J}_a$$

$$\bar{J}_E$$

Note: $\bar{J}_E = \left\langle \tilde{n} \tilde{\vec{v}}_E \right\rangle_{ens, fs} \cdot \hat{\vec{r}}$, $\tilde{\vec{v}}_E$ is the fluctuating ExB velocity

Derive Expression for ∇_a

We will show that

$$\nabla_a \equiv \nabla d^3 v \left\langle \left\langle \vec{v}_{d0} \cdot \hat{\mathbf{r}} f_1 \right\rangle_{ens} \right\rangle_{fs} = \frac{IB}{2\pi} d^3 v \left\langle \frac{v_{||}}{B} \left\{ \left\langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \right\rangle_{ens} \right\} \right\rangle_{fs}$$

$I(\nabla) \equiv BR$; $\nabla \equiv Ion Cyclotron Frequency$

∇_a is a neoclassical type of turbulent flux which is due to the drifts of the particles which are perturbed by the turbulence.

f_1 is the quasi stationary first order distribution function which is generated by the fluctuations. f_1 can be formally solved for using the Drift Kinetic Equation (see detailed theory or outline below)

The Drift Kinetic Equation for the Ions has two parts,

$$\vec{v}_{\parallel} \cdot \square f_1 + \vec{v}_{d0} \cdot \square f_M = \left\langle \tilde{\mathbf{v}}_E \cdot \square \tilde{f} \right\rangle_{ens} + e v_{\parallel} \left\langle \tilde{E}_{\parallel} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens} + e \left\langle \vec{v}_{d0} \cdot \tilde{\mathbf{E}} \frac{\partial \tilde{f}}{\partial K} \right\rangle_{ens}$$

and,

$$\frac{\partial \tilde{f}}{\partial t} + (\vec{v}_{\parallel} + \vec{v}_d) \cdot \square \tilde{f} + \tilde{\mathbf{v}}_E \cdot \square f_M - e(\vec{v}_{\parallel} + \vec{v}_d) \cdot \square \tilde{E} \frac{\partial f_M}{\partial K} = 0$$

Use annihilation operator $\langle \vec{v}_{\parallel} \cdot \square F \rangle_o = 0$, and

$$\frac{1}{IB} \langle \vec{v}_{d0} \cdot \square f_1 \rangle_o = \left\langle \frac{v_{\parallel}}{B} \vec{v}_{\parallel} \cdot \square f_1 \right\rangle_o, \quad (\text{using } \square \vec{v}_d \cdot \square = v_{\parallel} I \vec{B} \cdot \square (v_{\parallel}/B))$$

This then yields,

$$\square_a = d^3 v \left\langle \left\langle \vec{v}_{d0} \cdot \hat{\mathbf{r}} f_1 \right\rangle_{ens} \right\rangle_{fs} - \frac{IB}{2\square} d^3 v \left\langle \frac{v_{\parallel}}{B} \left\{ \left\langle \tilde{\mathbf{v}}_E \cdot \square \tilde{f} \right\rangle_{ens} \right\} \right\rangle_{fs}$$

$I(\square) \equiv BR$; $\square \equiv \text{Ion Cyclotron Frequency}$

The perturbed part of the Drift Kinetic Equation

$$\frac{\partial \tilde{f}}{\partial t} + (\vec{v}_{\parallel} + \vec{v}_d) \cdot \nabla \tilde{f} + \tilde{\mathbf{v}}_E \cdot \nabla f_M - e(\vec{v}_{\parallel} + \vec{v}_d) \cdot \nabla \tilde{f} \frac{\partial f_M}{\partial K} = 0$$

also provides an approximate value for

$$\langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \rangle_{ens} \approx -\frac{cRB}{B^2} e \langle \tilde{E}_\perp i \nabla \cdot \tilde{f} \rangle_{ens} \frac{1}{T} \frac{qR}{v_{\parallel}} \frac{\partial f_M}{\partial \perp} + f_M \frac{\partial}{\partial \perp} \frac{qR}{v_{\parallel}}$$

The q dependence comes from that fact that $v_{\parallel} \sim 1/qR$

The velocity integral then yields

$$I_a \approx \frac{IB}{2\perp} \left\langle \frac{cRB}{B^2} e \langle \tilde{E}_\perp i \nabla \cdot \tilde{f} \rangle_{ens} \frac{1}{T} \frac{qR}{B} \frac{\partial n}{\partial \perp} + n \frac{\partial}{\partial \perp} \frac{qR}{B} \right\rangle_{fs}$$

We can then approximate,

$$I_a \approx \frac{D}{q} \frac{q}{\perp} \frac{\partial n}{\partial \perp} + \eta \frac{\partial q}{\partial \perp}$$

Particle Transport Model

$$\frac{\partial n}{\partial t} = \nabla_a n + \nabla_E n = D \frac{\partial^2 n}{\partial \mathbf{r}^2} + \frac{1}{q} \frac{\partial q}{\partial \mathbf{r}} n \hat{\mathbf{r}} + \langle \tilde{n} \tilde{\mathbf{v}}_E \rangle \cdot \hat{\mathbf{r}}$$

$$\nabla_a \quad \nabla_E$$

D, ∇ , \tilde{n} , and $\tilde{\mathbf{v}}_E$ can probably only be obtained by a nonlinear turbulence simulation. Or ∇_a can be obtained directly from a turbulence simulation code which can calculate

$$\nabla_a = \frac{IB}{2\pi} d^3 v \left\langle \frac{v_{||}}{B} \left\{ \langle \tilde{\mathbf{v}}_E \cdot \nabla \tilde{f} \rangle_{ens} \right\} \right\rangle_{fs}$$

CONCLUSIONS

There are two contributions to the particle flux

$$\text{flux} = \text{flux}_a + \text{flux}_E = d^3 v \left\langle \vec{v}_{d0} \cdot \hat{\mathbf{r}} f_1 \right\rangle_{fs} + d^3 v \left\langle \left\langle \tilde{\vec{v}}_E \cdot \hat{\mathbf{r}} \tilde{f} \right\rangle_{ens} \right\rangle_{fs}$$

With certain approximations, flux_a yields pinch velocities dependent on q

$$\text{flux}_a \approx \frac{D}{q} \left[q \frac{\partial n}{\partial \ln q} + n \frac{\partial q}{\partial \ln q} \right], \quad \text{i.e. } V_{\text{pinch}} \sim -\frac{1}{2} \ln q$$

Other approximations yield pinch terms of the types

$$V_{\text{pinch}} \sim 1/2 \ln T \quad \text{or} \quad V_{\text{pinch}} \sim \ln T q$$

Empirical Studies of Particle Transport Model

- Use total particle flux from TRANSP or ONETWO run
- Use Particle Transport Model to match the DIII-D density profiles
- Gain insight into the relative importance of \square_a and \square_E
- Determine where the pinch terms are important

$$\nabla^2 = \nabla_a + \nabla_E = \nabla D_a \frac{\partial n}{\partial \nabla} + \nabla \frac{1}{q} \frac{\partial q}{\partial \nabla} n \nabla + \langle \tilde{n} \tilde{\mathbf{v}}_E \rangle \cdot \hat{\mathbf{r}}$$

$$\nabla_a \quad \nabla_E$$

Assume $\nabla_E \equiv \nabla D_E \frac{\partial n}{\partial \nabla}$, $D_a = \frac{2}{3} D_i$, and $D_E = \nabla D_a$

then adjust ∇ , and ∇ to match density profile

Need to solve

$$\nabla = \nabla D_a \frac{\partial n}{\partial \nabla} + \nabla \frac{1}{q} \frac{\partial q}{\partial \nabla} n \nabla D_E \frac{\partial n}{\partial \nabla},$$

for $n(\nabla)$ with ∇ and ∇_i from ONETWO or TRANSP

L-mode Plasmas

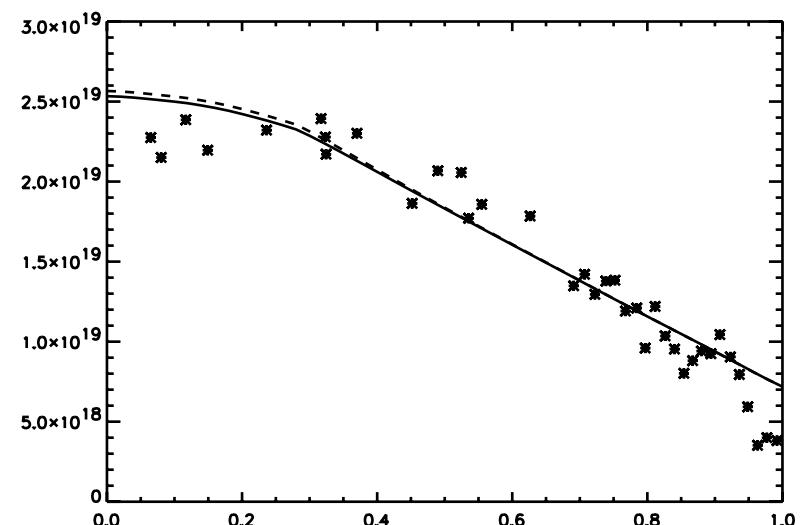
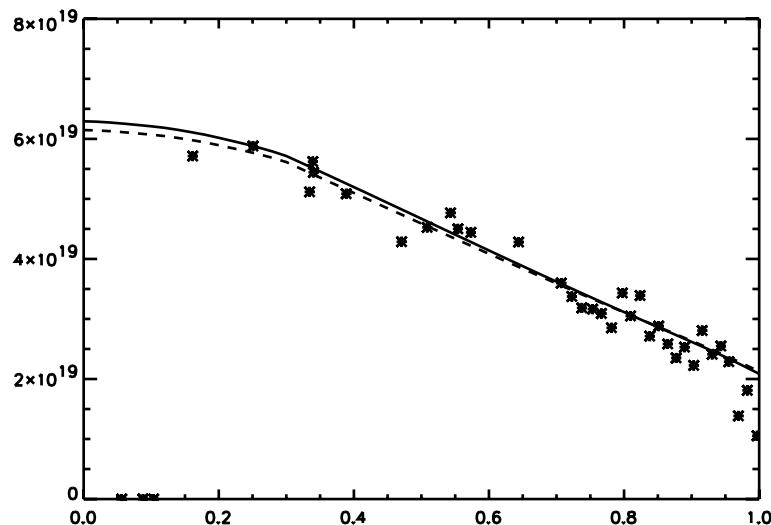
- Most L mode density profiles can be matched with $\beta = 1.0$ and $0.25 < \beta < 0.35$ or $\beta = 0.0$ and $0.7 < \beta < 0.75$

High density:

$$\beta = 1.0 \text{ and } \beta = 0.35$$
$$\beta = 0.7 \text{ and } \beta = 0.0$$

Low density:

$$\beta = 1.00 \text{ and } \beta = 0.25$$
$$\beta = 0.75 \text{ and } \beta = 0.0$$

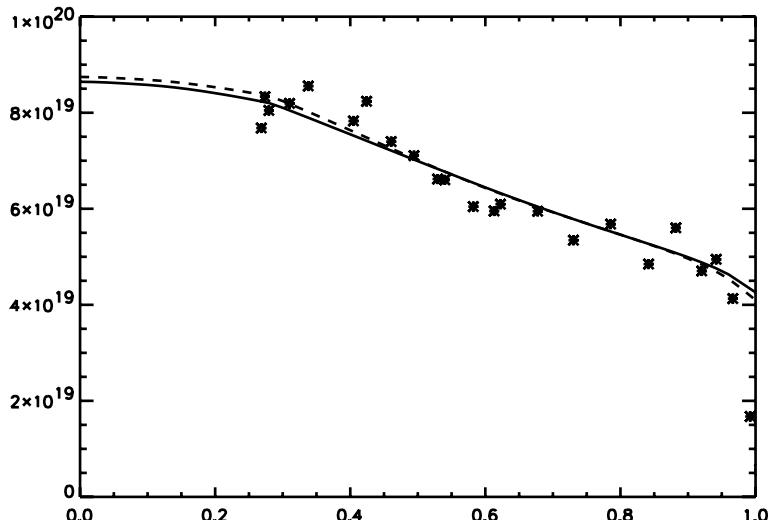


Sawtoothing ELM My H-mode Plasmas

- Many sawtoothing ELM My H-mode density profiles are matched with $\bar{\rho} = 1.0$ and $2.0 < \bar{\rho} < 3.5$ or $\bar{\rho} = 0.0$ and $0.15 < \bar{\rho} < 0.3$

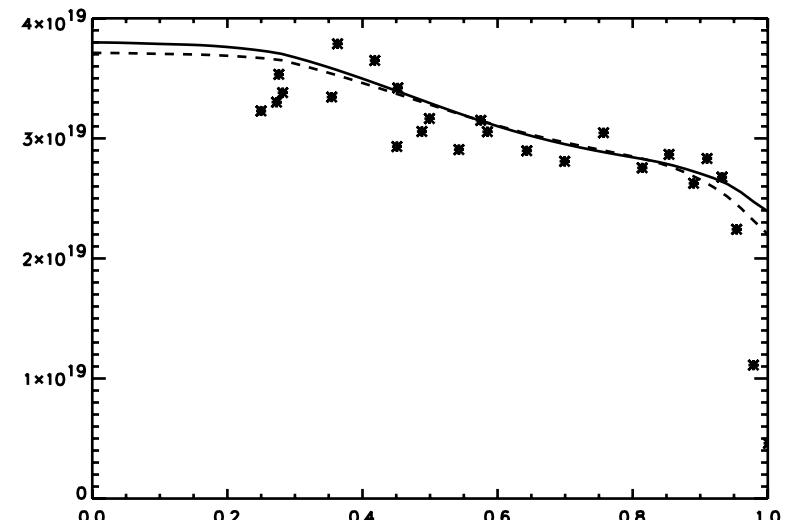
High density:

$$\begin{aligned}\bar{\rho} = 1.0 \text{ and } \bar{\rho} = 2.0 \\ \bar{\rho} = 0.3 \text{ and } \bar{\rho} = 0.0\end{aligned}$$



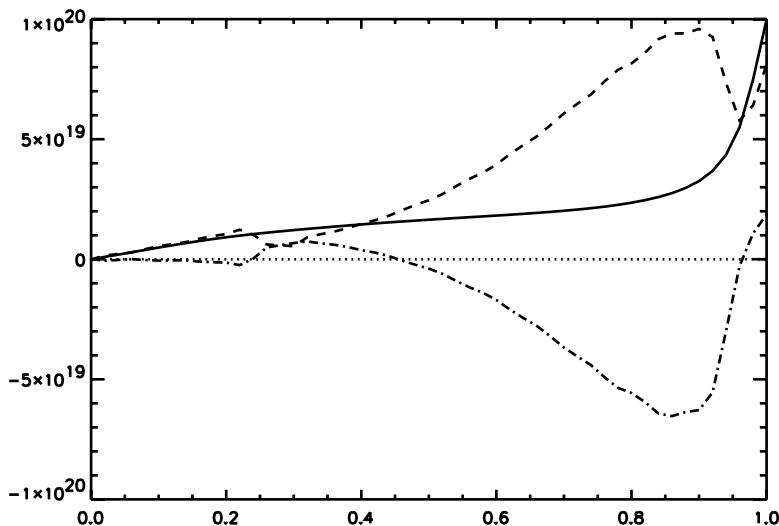
Low density:

$$\begin{aligned}\bar{\rho} = 1.00 \text{ and } \bar{\rho} = 3.5 \\ \bar{\rho} = 0.15 \text{ and } \bar{\rho} = 0.0\end{aligned}$$

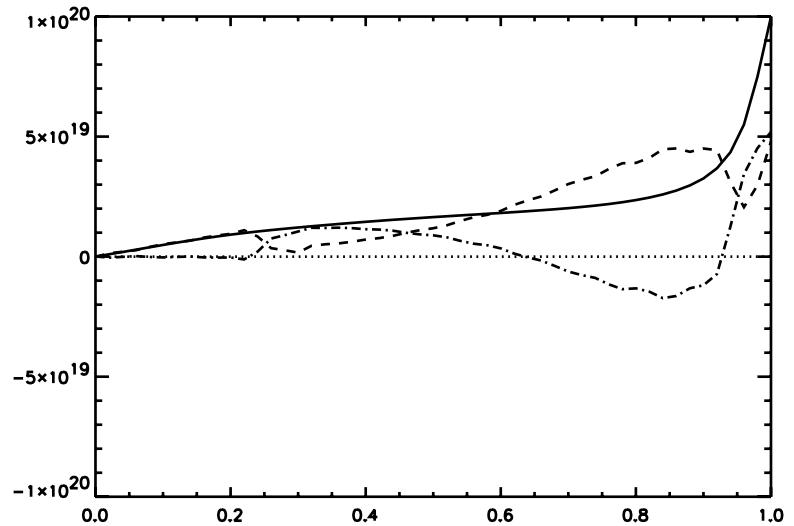


Relative Contribution of \square_a and \square_E

High Density L-mode
 $\square = 1.0$ and $\square = 0.35$



High Density L-mode
 $\square = 0.80$ and $\square = 0.1$

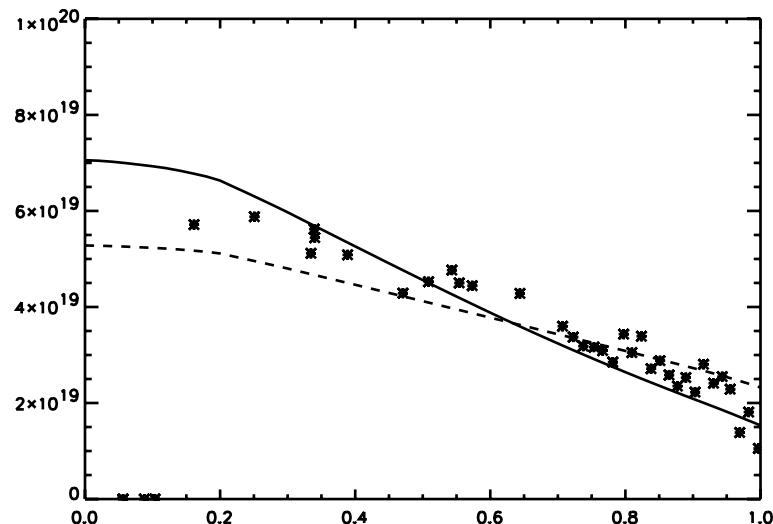


Example of Fits which are NOT so Good

High density L-mode:

$\square = 0.7$ and $\square = 0.35$

$\square = 1.0$ and $\square = 0.0$



Conclusions from Empirical Studies of Particle Transport Model

- This Particle Transport Model Has The Potential For Matching DIII-D Density Profiles
- Turbulence Simulation Codes Should Be Used to Calculate \bar{U}_a and \bar{U}_E to Verify if This Model is Correct

APPEAL FOR HELP

Will Someone with a Turbulence Simulation Code Please Calculate

$$\square_a = \frac{IB}{2\square} \square d^3 v \left\langle \frac{v_{||}}{B} \left\{ \langle \tilde{v}_E \cdot \square \tilde{f} \rangle_{ens} \right\} \right\rangle_{fs}$$

and Compare it with

$$\square_E = \square d^3 v \left\langle \langle \tilde{v}_E \cdot \hat{\mathbf{r}} \tilde{f} \rangle_{ens} \right\rangle_{fs}$$

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