

Continuum Gyrokinetic code simulations in a noncyclic finite-width radial annulus

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Introduction and Motivation

- Gyrokinetic code **GYRO** to contains all physics of low frequency (\ll ion cyclotron) plasma turbulence assuming only that the ion gyroradius is less than magnetic field gradient length
 - Nonlinear
 - Electromagnetic and finite β
 - Real tokamak geometry
- Continuum (fluid-like) methods in 5-dimensional space $(r, \theta, n, \varepsilon, \lambda)$
 - Possible advantage over particle codes: implicit advance of electron parallel motion
- 3-modes of operation:
 - flux-tube or high-n ballooning mode representation BMR $\Delta n=5-10$ $\rho^* \rightarrow 0$
to be bench-marked with Dorland 's new gyrokinetic flux tube code **GS2**
 - wedge or full radius $\Delta n=5-10$ ρ^* small but finite
 - full torus $\Delta n=1$ **Global MHD modes**
- **Why full radius?** Shear in the ExB velocity known to have a powerful stabilizing effect.
But shear in the diamagnetic velocity can be just as large and cannot be treated at $\rho^* = 0$.
Also to quantify *avalanches* and *action at a distance* effects

Some fundamentals about flux tube codes

- "Flux tube" codes follow a field line to make $(\Delta r, r\Delta\theta, R\Delta\phi)$ covers of a radial (r,θ) annulus and have cyclic radial boundary conditions

- $\Delta r \times r\Delta\theta$ is typically $100\rho_s \times 100\rho_s$ but ρ_s is vanishingly small.

Since $k_y\rho_s = (nq/r)\rho_s$ is finite (say .05 to 0.9), the toroidal mode numbers n are arbitrarily large.

- Although, $1/L_T = -d \ln T / dr$ is finite, the variation of $T = \Delta r/L_T$ or any other plasma variable is insignificant, i.e. there are no profile (shear) variation effects.

...only magnetic shear $s^{\wedge} = d \ln q(r) / d \ln r$

- Because of there are no variation of coefficients and we have cyclic BC, most flux tube code have a radial Fourier transform space: $r_m = r_0 + x_m$ grid is replaced with a $k_x = -i d/dr$ grid.

For finite s^{\wedge} , this is called the **Ballooning Mode Representation**

- Because we want to study general profile variation, not just ExB velocity shear, but diamagnetic velocity shear at finite ρ_s/r , in a finite Δr annulus with noncyclic radial BC, we are developing a code **GYRO with a radial grid**.

__The 1D linear modes (for a given n, k_x) became 2D modes (for a given n) : much more difficult

- In our first stage (where we are now), the profile variation is turned off and we have cyclic BC to benchmark with the Ballooning Mode code **GS2 with a k_x grid**

__This talk is a progress report on the first stage

The next stage: non-cyclic BC finite radial annulus at finite ρ_s

- The next stage will operate with non-cyclic BC (e.g. 0 gradient BC) over a finite annulus, say $r=0.4$ to 0.6 with general profile variation. We don't want 0 BC which allows no in-out flow.
- Since in-flow will not equal out-flow, and the driving temperature gradients will begin to relax, we need a scheme to add a running "incremental" sources to the $n = 0$ gyrokinetic equation:

$$d[F(r) + \tilde{f}_0(r, \theta)/dt + r^{-1}d/dr[r \sum_{\tilde{n}} \tilde{V}_{ExB_{-x-n}}(r, \theta) \tilde{f}_n(r, \theta)] = r^{-1}d/dr[r \langle \langle \sum_{\tilde{n}} \tilde{V}_{ExB_{-x-n}}(r, \theta) \tilde{f}_n(r, \theta) \rangle \rangle] +$$

$F(r)$ is the input fixed background Maxwellian, $\tilde{f}_0(r, \theta)$ is the $n=0$ (zonal flow) perturbation,

$\sum_{\tilde{n}} \tilde{V}_{ExB_{-x-n}}(r, \theta) \tilde{f}_n(r, \theta)$ in the nonlinear term is the x-directed flux, and

$r^{-1}d/dr[r \langle \langle \sum_{\tilde{n}} \tilde{V}_{ExB_{-x-n}}(r, \theta) \tilde{f}_n(r, \theta) \rangle \rangle]$ is the incremental source .

$\langle \langle \rangle \rangle$ is local time-local space maxwellized average \Rightarrow defined scale separation

- We hope to approach "full radius" say $r=0.3$ to 0.7 then $r=0.2$ to 0.8 as resolution allows: 200-300 r-grid replacing the current 100 r-grid.

Motivation

- To compare a simulation χ 's directly with experiment, in addition to the ITG drive, we need trapped electrons, finite-beta (passing electrons), equilibrium scale ExB shear stabilization and finite ρ_s profile shear effects.
- To treat the finite ρ_s profile shear effects, we need a finite radial annulus without cyclic BC, but still maintaining the input profiles.
- The plan for direct annulus comparison of experiments with a few simulations having truly comprehensive physics (including finite- β and real geometry), is a different strategy from previous work.

Namely, make many runs with limited physics (e.g. ITG only) to normalize transport models with more comprehensive physics, e.g. GLF23

Gyrokinetic Equations

- **Poisson's Equation:** $-\lambda_{D0}^2 \nabla^2 \hat{\phi} = \sum_s \iint z \left[-(z\hat{n}/\hat{T})\hat{\phi} + \langle \mathbf{g} \rangle \right]$, $\langle \mathbf{g} \rangle$ is non-adia. gyro-ave. DF

- **Ampere's Law:** $-\rho_{s0}^2 \nabla^2 \hat{A}_{\parallel} = (\beta_{e0}/2) \sum_s \iint z \hat{v}_{\parallel} \langle \hat{\mathbf{g}} \rangle$,

where the phase space integral $\iint \Rightarrow \sum_{\sigma} \pi^{-3/2} \int_0^{\infty} d\hat{\varepsilon} \hat{\varepsilon}^{1/2} \exp(-\hat{\varepsilon}) (\pi/2) \int_0^{1/B} d\lambda B / (1 - \lambda B)$.

- **Nonlinear Gyrokinetic Equation [2,3] :**

$$\begin{aligned} \partial_{\hat{t}} \hat{\mathbf{g}} + \hat{v}_{\parallel} (\hat{\mathbf{b}} \cdot \hat{\nabla} \theta) \partial_{\theta} \hat{\mathbf{g}} &= z\hat{n}/\hat{T} \partial_{\hat{t}} \langle \hat{U} \rangle \\ &- i\omega_E (-(z\hat{n}/\hat{T}) \langle \hat{U} \rangle + \langle \mathbf{g} \rangle) + i\bar{\omega}_D \hat{\mathbf{g}} - i\hat{n} \bar{\omega}_* \langle \hat{U} \rangle + \{ \langle \hat{U} \rangle, \hat{\mathbf{g}} \} - \{ \hat{\mathbf{g}}, \langle \hat{U} \rangle \} + c\hat{\mathbf{g}}, \end{aligned}$$

where “hat” quantities are normalized, and $U = \hat{\phi} - \hat{v}_{\parallel} \hat{A}_{\parallel}$ is the effective potential.

- **The low-n MHD rule of neglecting A_{\perp} while forcing the curvature drift to equal the grad-B drift is very good even for high-n.**

- The curvature drift operator which acts only on \hat{g} is

$$\hat{\omega}_D = -iz\hat{T}(2/R_0)\rho_{s0}(B_0/B)\{C(\theta, \hat{\epsilon}, \lambda) [inq/\hat{r}] + S(\theta, \epsilon, \lambda) [i(nq/\hat{r})\eta_k + (|\nabla r|/\eta_q)D_{\hat{r}}]\}.$$

where C is the cosine-like normal curvature, S the sine-like geodesic curvature [1]

- The diamagnetic term is $\bar{\omega}_* = -i(B_0/B_{unit})\rho_{s0}[inq/\hat{r}][1/\hat{L}_n + 1/\hat{L}_T(\hat{\epsilon} - 3/2)].$

- The E×B equilibrium rotation frequency is $\hat{\omega}_E = -i(B_0/B_{unit})\partial_{\hat{r}}\hat{\Phi}_0\rho_{s0}[inq/\hat{r}].$

- The nonlinear term $\{X, Y\} \equiv \sum_{n', n''=n-n'} (B_0/B_{unit})\rho_{s0}[in''q/\hat{r}]X_{n''} [\partial_r + i(n'q/\hat{r})\eta_k\eta_q/|\nabla r|]Y_{n'}$

_parallel nonlinearity $O(\rho^*)$ not shown

- We define $[inq/\hat{r}] \equiv inq/\hat{r} + (B_t/B)(\hat{R}q/\hat{r})(\hat{b} \cdot \hat{\nabla}\theta)\partial_\theta.$ $B_{unit} = B_0\rho d\rho/rdr$

The nq/r terms are *fast* and the ∂_θ terms are *slow*. where again we use D_r when ∂_r acts on g .

- C is the pitch angle scattering operator.

Field Line Following Coordinate System most efficient

- (r, θ, α) r = midplane minor radius flux surface label
 θ = poloidal angle labeling **Miller's local MHD equilibrium[1]**
 which generalizes infinite aspect ratio circular s- α model with finite aspect ratio, Shafranov shift, ellipticity, and triangularity
 $\alpha = \zeta - \int_0^\theta \hat{q} d\theta$ field aligned angle in place of toroidal angle ζ

$$\hat{q} = \hat{b} \cdot \nabla \zeta / \hat{b} \cdot \nabla \theta, \quad \hat{b} \cdot \nabla \alpha = 0, \quad \hat{b} \cdot \nabla r = 0, \quad q = \int_0^{2\pi} \hat{q} d\theta / 2\pi$$
- **Fourier decomposition of perturbations:** $\phi = \sum_n \phi_n(r, \theta) \exp(-in\alpha)$ requires
 $\phi_n(r, \pi) = \phi_n(r, -\pi) \exp(-in2\pi q)$ where **phase factor** $\exp(-in2\pi q)$ is 1 at singular surfaces
- **parallel derivative** $\nabla_{\parallel} = (\hat{b} \cdot \nabla \theta) \partial_\theta \Rightarrow (1/Rq) \partial_\theta$
- **perpendicular derivatives on fast part** : $\exp(-in\alpha)$

$$\nabla_{\perp y}^f = -in \nabla \alpha \cdot \hat{b} \times \hat{x} = ik_\theta \eta_q(\theta), \quad \text{where } k_\theta \equiv nq/r \text{ with } \eta_q(\theta) = (rB/RB_\theta)/q \Rightarrow 1$$

$$\nabla_{\perp x}^f = -in \nabla \alpha \cdot \hat{x} = ik_\theta \eta_q(\theta) \eta_k(\theta) \quad \eta_k(\theta) \Rightarrow \hat{s}\theta - \alpha \sin(\theta)$$
- **additional slow derivatives on $\phi_n(r, \theta)$** : $\nabla_{\perp y}^s = (B_t/B_p)(\hat{b} \cdot \nabla \theta) \partial_\theta$
radial derivative is a mixture of fast and slow. $\nabla_{\perp x}^{fs} = |\nabla r| \partial_r \quad |\nabla r| \Rightarrow 1$

Ballooning Mode Representation(BMR) retains only the fast not slow $O(\rho^*)$ derivatives

Normalizing Units, Parameters, and Gyro-Average

- $T_e(0)$ and $n_e(0)$ for temperature and density
 $a = r$ of last closed flux surface for length
 $c_{s0} = [T_e(0)/M_i]^{1/2}$ for velocity
 a/c_{s0} for time
 $|e|\phi/T_e(0) = \hat{\phi}$ and $(c_{s0}/c)|e|A/T_{e0} = \hat{A}$ for potentials

- $g = \hat{g}(r, \theta, \hat{\varepsilon}, \lambda, \sigma)n_e(0)F_M$ for non-adiabatic distribution function
 $\hat{\varepsilon} = \varepsilon/T, \lambda = \mu/\varepsilon, \sigma = \text{sgn}(v_{\parallel})$ and since (ε, μ) are the constants of motion
 $\partial_r \hat{g} = n_e(0)F_M D_r \hat{g}$ where $D_r \hat{g} = \partial_r \hat{g} + \partial_r \lambda \partial_\lambda + (\hat{\varepsilon}/L_T) \partial_{\hat{\varepsilon}} \hat{g} - [(\hat{\varepsilon} - 3/2)/L_T] \hat{g}$
 Note all terms beyond $\partial_r \hat{g}$ are small $O(\rho^*)$ dropped in BMR limit.

- Parameters: the central $\rho^* [\rho_{s0} = c_{s0}/(eB_0/M_i c)]$, Debye length λ_{D0} , and electron beta β_{e0} .

- The gyro average $\langle \phi \rangle = \sum_n \exp(-in\alpha) \langle \phi \rangle_n$ expand the arguments of $\langle \phi \rangle_n$ to first order ρ_{\perp}/r , so that $\langle \phi \rangle_n = \oint d\alpha_g / 2\pi \exp(-in\bar{\rho}_{\perp} \cdot \nabla \alpha) \phi_n(r + \bar{\rho}_{\perp} \cdot \nabla r, \theta + \bar{\rho}_{\perp} \cdot \nabla \theta)$.

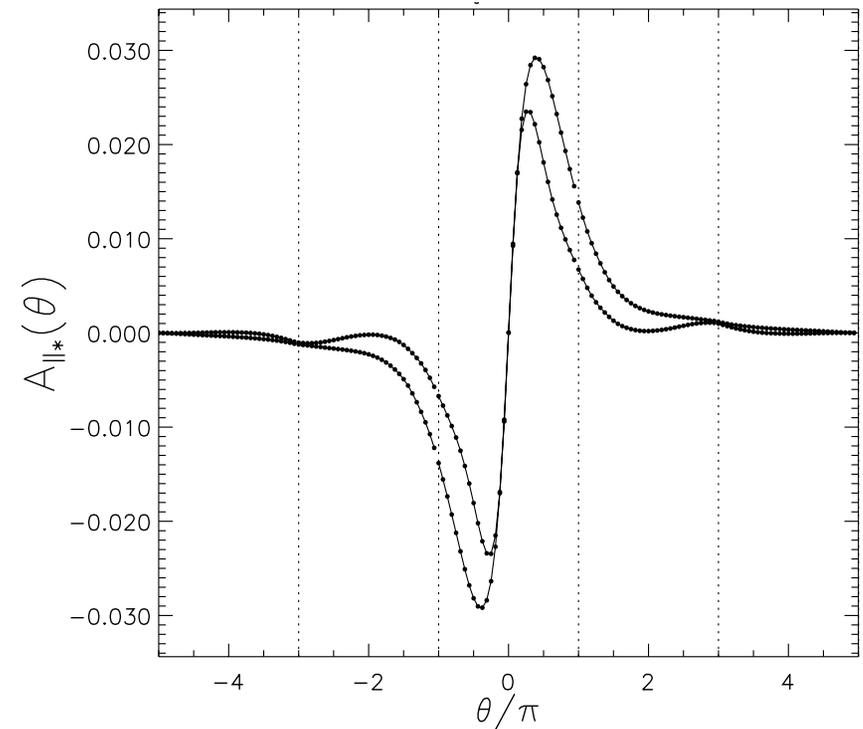
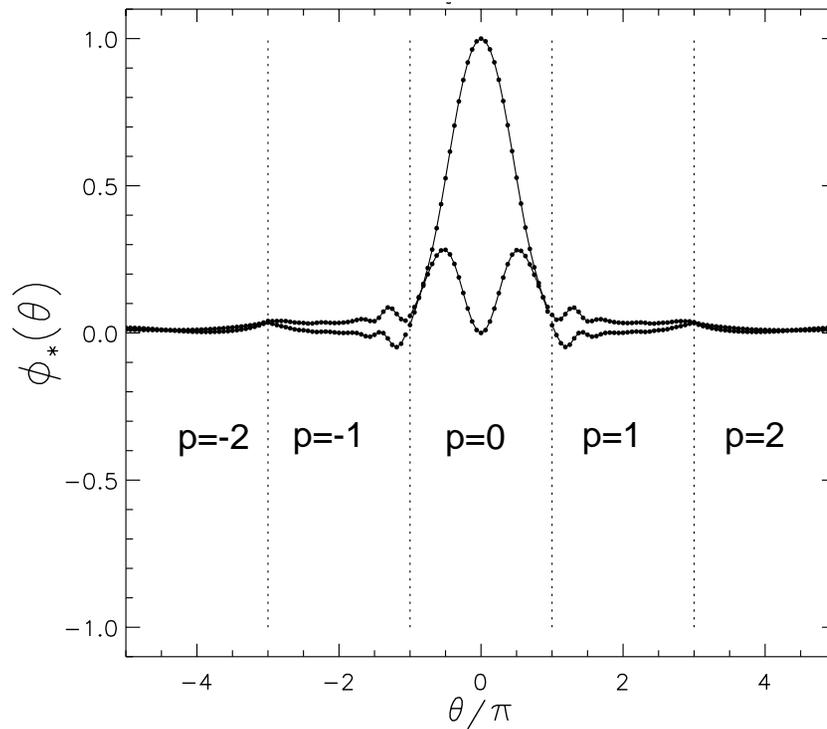
As a first approximation the *slow* $\bar{\rho}_{\perp} \cdot \nabla \theta$ can be neglected.

Numerical Methods

- Electron parallel motion term is much faster than drift frequencies we are trying to follow, hence need an implicit method which solves for the fields U simultaneously with the advance of g
- We use a split time step method: $g_1 = g_0 + dt * RHS_1$, $g_2 = g_1 + RHS_2, \dots$
 - $\varepsilon, \lambda, \theta$ -parallel process
 - Nonlinear step: 4-th order Runge-Kutta with sub stepping at constant U .
Conservative form with centered multi-point derivatives crucial for $\sum_{n,m} |g_{n,m}|^2$ conservation.
 - n, ε, r -parallel process
 - Pitch-angle collision step: n, ε, r -parallel
 - n, ε, λ -parallel process
 - r-step: g -advance for geodesic curvature drift motion (d/dr part) with high order derivative.
 - θ -step: implicit g - advance of parallel, linear ExB , normal curvature drift motion ($kx = -i d/dr = 0$ part), with Poisson-Ampere field simultaneous U -solve
- Most difficult numerical problem has been to stability advance the neutrally stable $n=0$, low- kx component over very long run times. Required the θ -step in special conservative form gridding and r-step & θ -step repeated as a corrector on a predictor
- Used high- kx damper for electron runs. This avoids resolving singular surface electron layer
- "banding" or nearest neighbor in gyro-averaging and 5-pt radial derivatives to avoid Nr^2 scaling.

Ballooning Mode (k_x -space) Analysis

finite beta $(\gamma, \omega) = (0.167, -0.361)$ GYRO vs $[0.175, -0.357]$ GKS

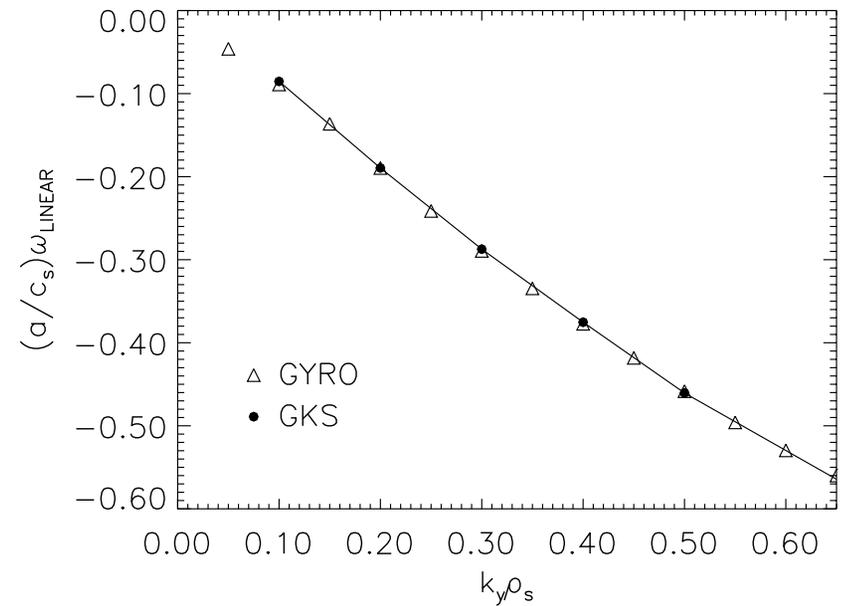
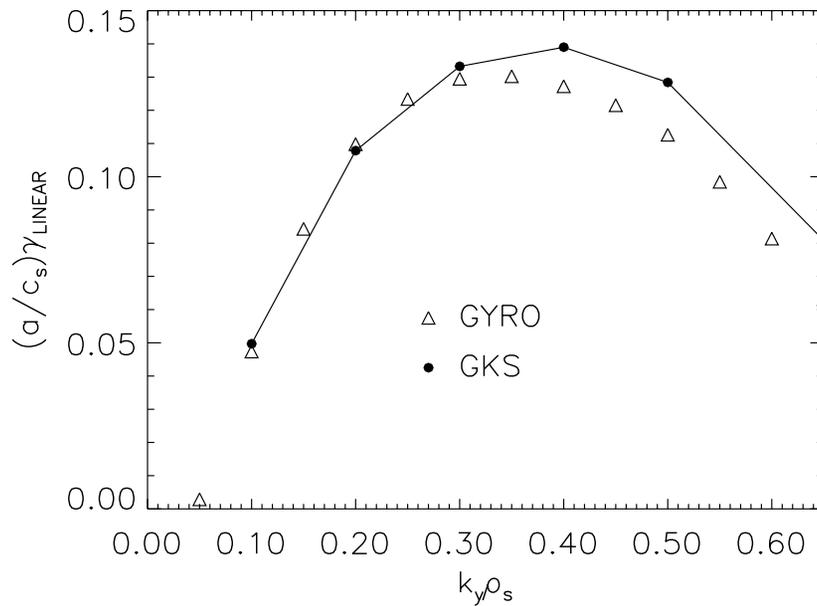


$k_{yp} = 0.3$, $a/L_T = 3$, $a/L_\eta = 1$, $R/a = 3$, $r/a = 0.5$, $s = 1$, $q = 2$, $T_e = T_i$, $\beta_e = 0.004$ ($\beta_{e_crit} = 0.055$)

$n_e = 5 = (3, 2)$, $n_\lambda = 26$, $n_\theta = 32/2\pi$, $n_r = 5$ ($x = m \Delta r$, $k_x = p \Delta k_x$) $\phi(x_m, \theta) = \sum_{p=-2}^{p=2} \phi_p(\theta) \exp(i\pi m p / 2)$

Linear Growth Rates and Frequencies: GYRO vs GKS

ITG adiabatic-electron standard case

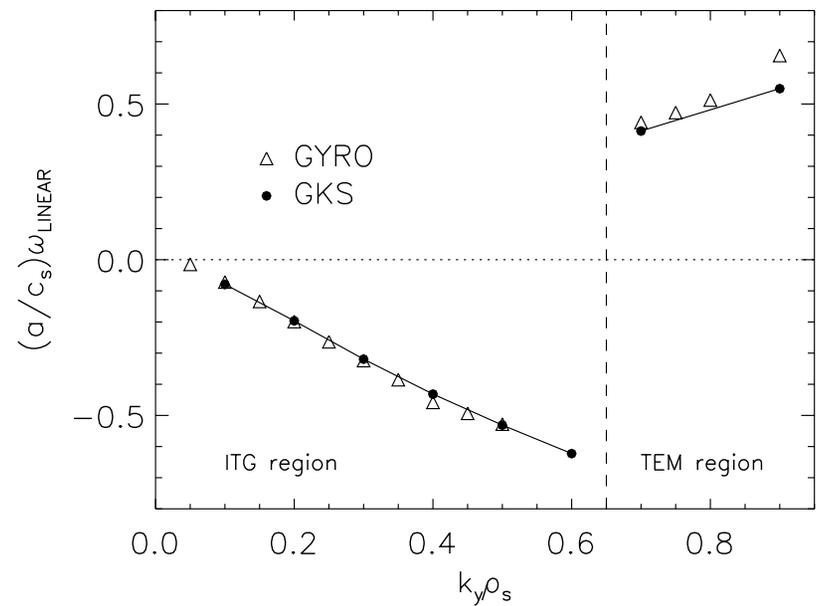
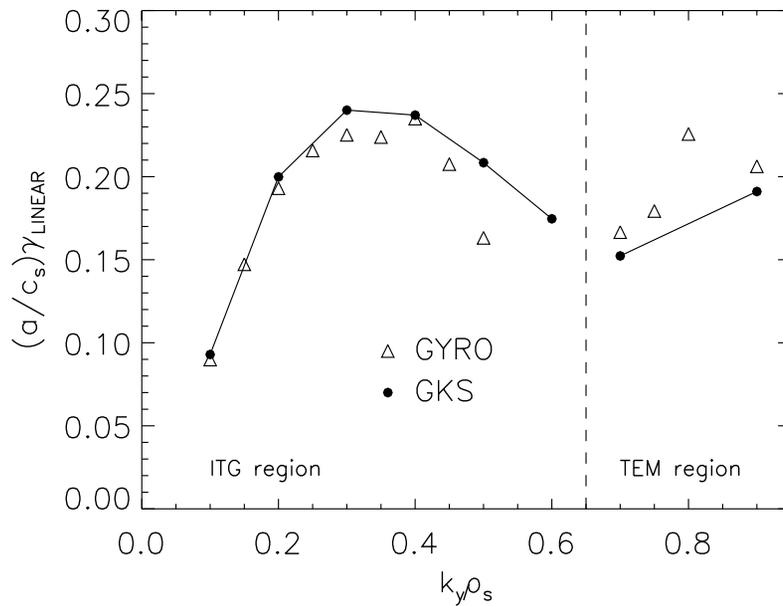


$a/L_T=3$, $a/L_\eta=1$, $R/a=3$, $r/a=0.5$, $s=1$, $q=2$, $T_e=T_i$,

$n_e=5=(3,2)$, $n_\lambda=26$, $n_\theta=32/2\pi$, $n_r=96$, $L_x=80 \rho_s$, $n_\eta=15$

Linear Growth Rates and Frequencies: GYRO vs GKS

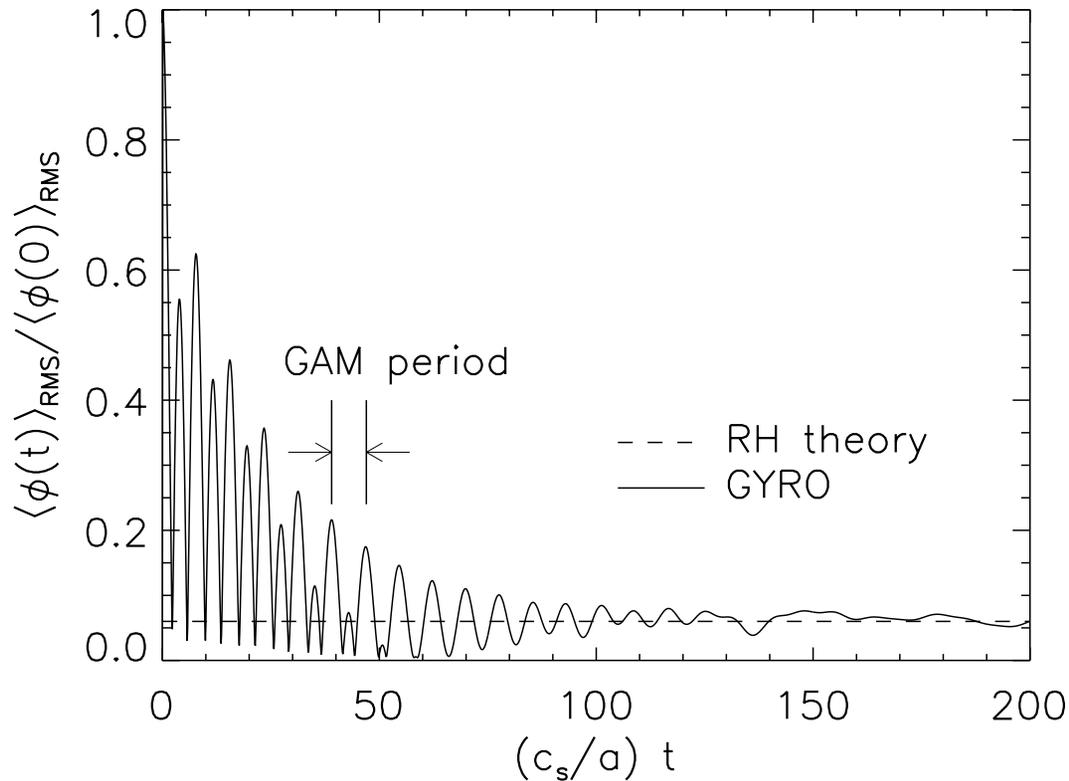
electrostatic nonadiabatic electron standard case



$a/L_T=3$, $a/L_\eta=1$, $R/a=3$, $r/a=0.5$, $s=1$, $q=2$, $T_e=T_i$,

$n_e=5=(3,2)$, $n_\lambda=26$, $n_\theta=32/2\pi$, $n_r=96$, $L_x=80 \text{ ps}$, $n_\eta=15$

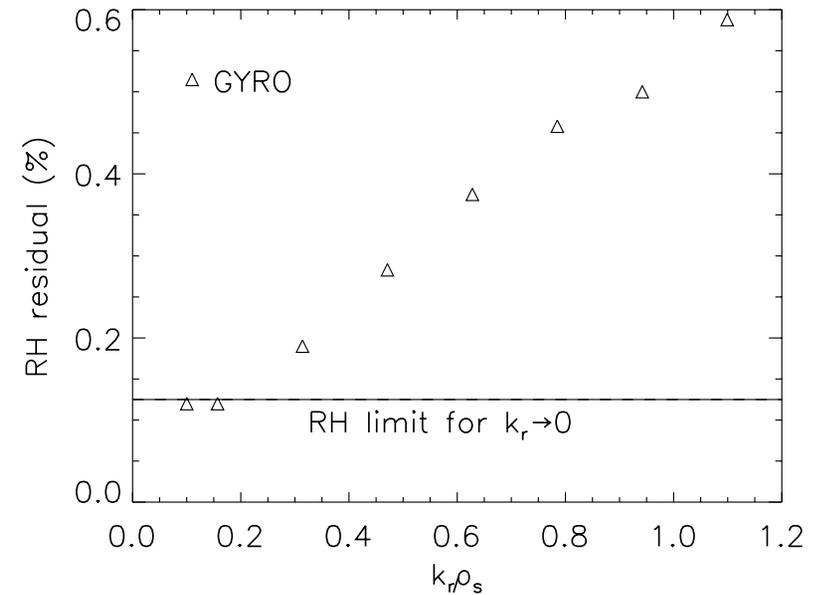
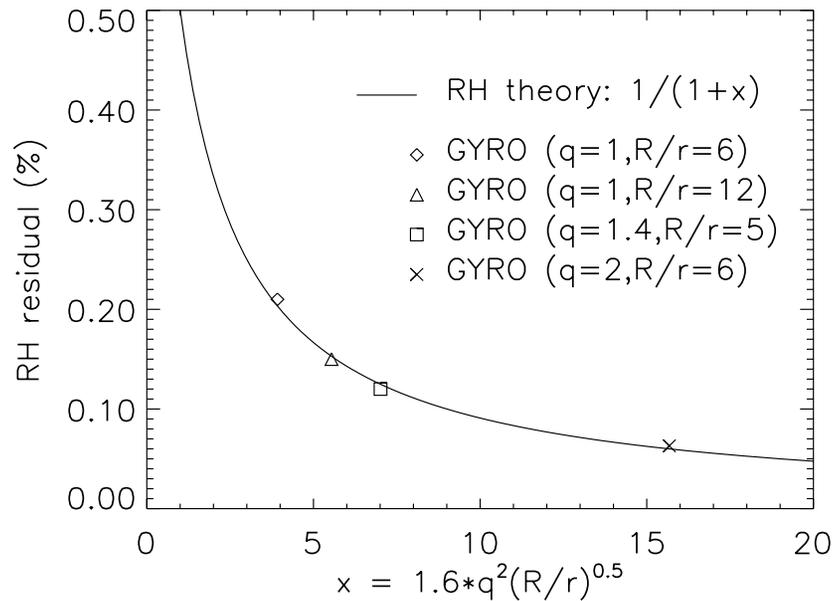
n=0 radial mode (Zonal Flow) decay to Rosenbluth-Hinton residual geodesic acoustic mode (GAM)



$R/r=6$, $r/a=0.5$, $q=2$, $T_e=T_i$, $(s=1)$, $k_{xps}=0.078$ (lowest mode) RH residual = 6%

$n_e=5=(3,2)$, $n_\lambda=26$, $n_\theta=16/\pi$, $n_r=96$, $L_\chi=80 \rho_s$

Scaling of n=0 radial mode residuals at $k_x \rightarrow 0$ and vs. k_x



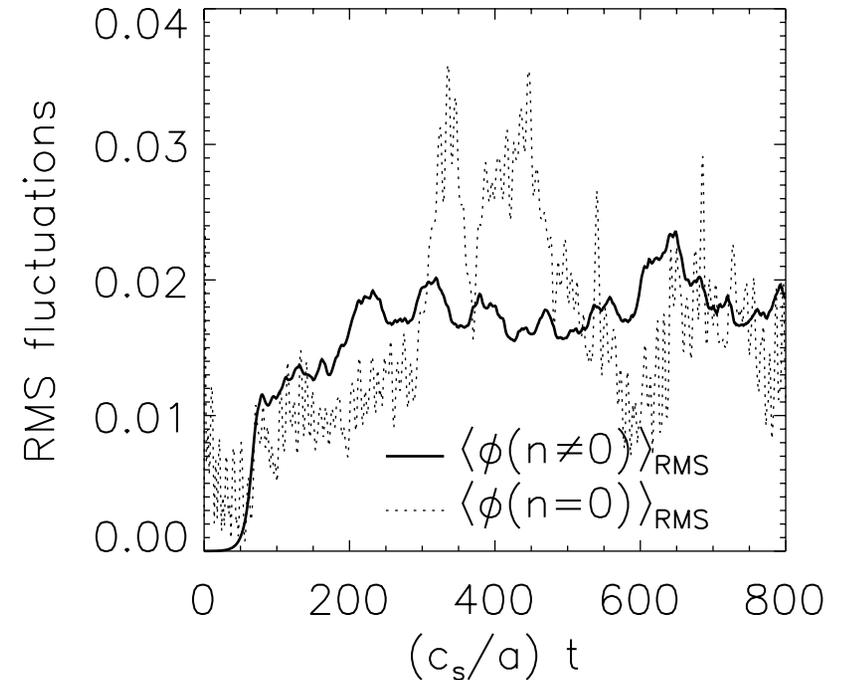
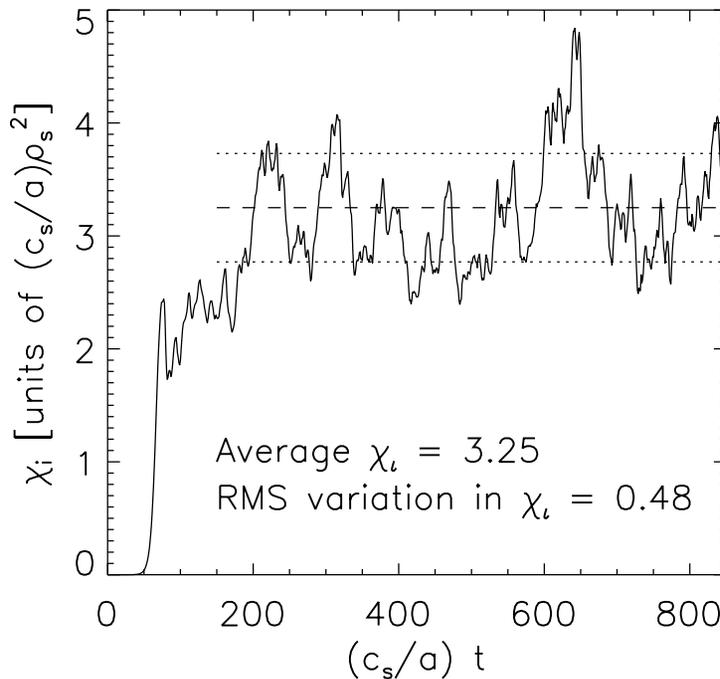
$R/r=5, r/a=0.5, q=1.4, T_e=T_i, (s=1)$

$n_e=5 = (3,2), n_\lambda=26, n_\theta=32/2\pi$

k_x -plot agrees with GS2 F. Jenko, W. Dorland, et al Phys Plas. 7 (2000) 190

Nonlinear Simulation

ITG adiabatic electron standard case



$a/L_T=3$, $a/L_\eta=1$, $R/a=3$, $r/a=0.5$, $s=1$, $q=2$, $T_e=T_i$,

$n_e=5=(3,2)$, $n_\lambda=14$, $n_\theta=16/2\pi$, $dt=0.05a/c_s$: 60 hrs on 45ps GA Stella LINUX cluster 16000step:

$n_r=96$, $L_\chi=80 \rho_s$, $n_\eta=14$: $k_y \rho_s = 0.0, 0.06, 0.12, 0.18, \dots, 0.78$, $k_x \rho_s_{\text{min}} = 0.078$, $k_x \rho_s_{\text{max}} = 3.6$

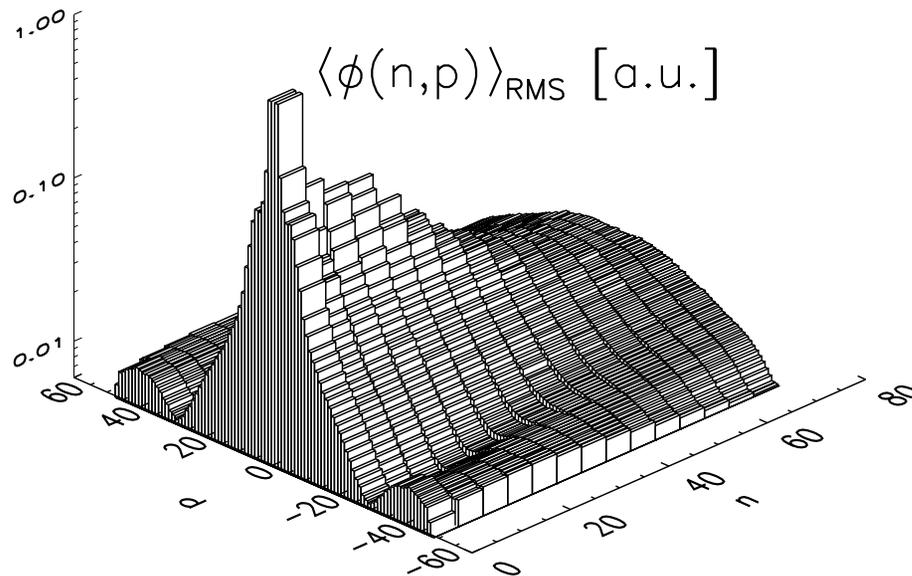
How to measure avalanche fraction

Hypothesis: $\chi_{\text{rms_variation}}/\chi_{\text{rms_average}}$

- Avalanches are intermittent events
- Spikes in χ are correlated in time with Flux(r,t) streamers interpreted as avalanches
- Example from standard case $\chi_{\text{rms_variation}}/\chi_{\text{rms_average}} = 0.48/3.25 = 14.7\%$

Nonlinear Simulation Spectrum

ITG adiabatic electron standard case....continued



$k_x p_s = p \cdot 0.078$ $p = -48 \rightarrow +48$

$k_x p_s = (n/70) \cdot 0.70$ $n = 0, 6, 12, 18, \dots, 78$

Nonlinear Simulation Visualization

ITG adiabatic electron standard case....continued

- Annulus contour plot of physical $[\tilde{n}_e/n_{e0}](r,\theta) = \Phi(r,\theta) - \langle \Phi(r,\theta) \rangle_{\theta\text{-ave}}$ at $\zeta=0$ reconstructed from $\phi_n(r,\theta)$:

$$\Phi(r,\theta) = \sum_{\tilde{n}} \exp[-in_q(r)\theta_{\text{fine_scale}}] \phi_n(r,\theta_{\text{course_scale}})$$

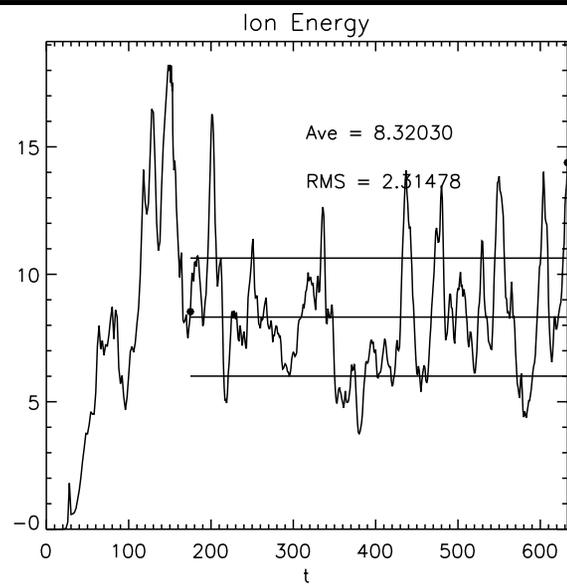
$$\alpha = \zeta - q(r)\theta ; \quad n = \pm 0, 5, 10, 15, \dots, 70 ; \quad 80 \text{ } \mu\text{s} \text{ from } r=0.4 \text{ to } 0.6 ; \quad \rho_s = 2.5e-3$$

The simulation is done on a course scale θ -grid for ϕ_n , but reconstruction requires a fine scale grid for the eikonal. Otherwise the eddies could not be seen. This illustrates the efficiency of the field line following (α, θ, r) -grid in place of the toroidal (ϕ, θ, r) -grid.

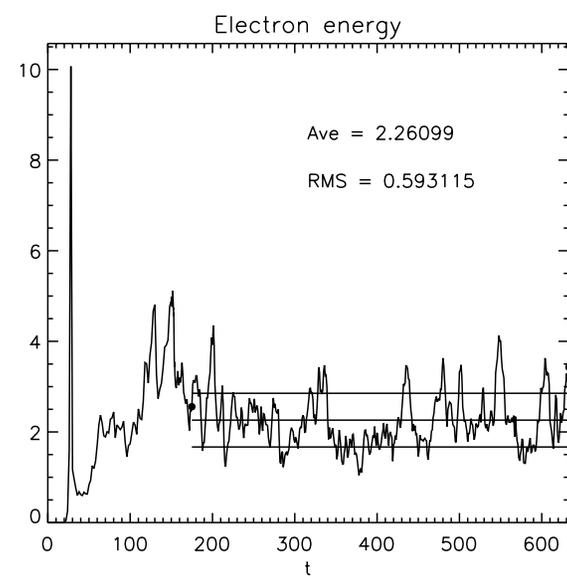
If we multiply $n \times 10$ and $\rho_s \times 1/10$, $r \rightarrow 0.49$ to 0.51 and eddies will scale down in size by $1/10$, but χ normed to gyroBohm will be unchanged.

- Annulus contour plot of physical $\Phi(r,\theta)$ shows dominance of zonal flows over ballooning modes.

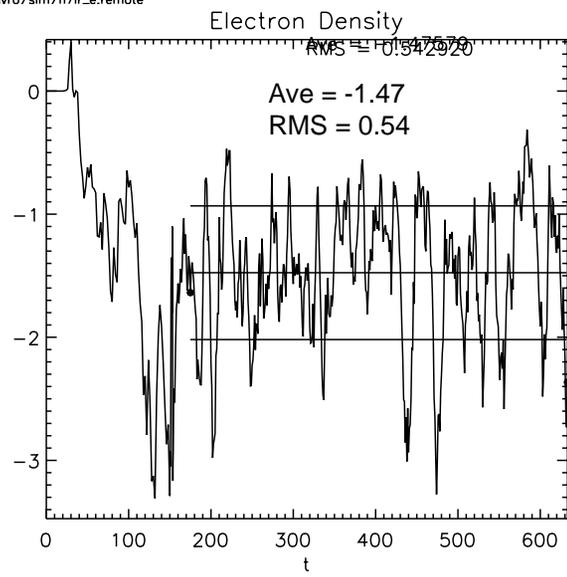
Preliminary nonadiabatic electron simulation $\chi_{Ei} = 8.3$, $\chi_{Ee} = 2.3$, $D = -1.47 (cs/a) \rho_s^2$



/u/waltz/avro/sim/n7lr_e.remote



/u/waltz/avro/sim/n7lr_e.remote



/u/waltz/avro/sim/n7lr_e.remote

kyp_s = 0.0, 0.10, ..., 0.70



GYRO : right or wrong ? code checks and comparisons in progress

- Linear stability of ballooning modes checked with GKS and spot checked with GS2
- Quasilinear flux / $|\phi|_{rms}^2$ with GS2 std case (except $a/L\eta=1.5$) $k_{yps} = 0.3$

GYRO / GS2	ions	electrons
energy	0.39 / 0.39	0.14 / 0.15
plasma	0.012 / 0.015	0.012 / 0.015

- Nonlinear ky-pump mode test : $\gamma_{NL}(kx) = k_{yps} \cdot k_{xps} \cdot (e\phi(ky)/T_e) / (\rho_s/a) cs/a \pm 7\%$
- Nonlinear ITG same grid resolution std case GYRO / GS2
 - _ 2 mode $k_{yps} = (0, 0.3) : \chi = 1.3 / 1.5 \quad (-14\%)$
 - _ 14 mode $k_{yps} = (0, 0.06, 0.120 \dots 0.78) : \chi = [2.68, 3.25] 3.40 / 4.2 \quad (-19\%)$
 $dt = [0.1, 0.05] 0.025 \quad 0.01$

• For some collisionless cases, running beyond $t=500 a/c_s$ (1500 L_T/c_s), and particularly at low q (1.4) we find the longest wave length zonal flow ($n=0$, lowest k_x) builds up to a large stationary value, causing the diffusion to collapse to nearly 0. Other codes do not see this.

The CYCLONE case at $R/L_T=9$ is a good example. $r/a=0.5, a/L_T=3 \Rightarrow 3.29, a/L\eta=1 \Rightarrow 0.8,$
 $R/a=3 \Rightarrow 2.77, s=1.0 \Rightarrow 0.78, q=2 \Rightarrow 1.4$ (This is far beyond Dimit's shift region $R/L_T= 4$ to 6)

With small level of ii-collisions GYRO has $\chi = 2.72$ ($dt=0.025$) compared to Dimit's 4.0 (-32% po

Progress and Conclusions

- We have now obtained good linear EM agreement with Kotschenreuther's GKS code
- We have found efficient MPI parallelization methods with better than linear scaling to 512ps.
- The use of banding and real space nonlinear 2 and 3-pt derivative allows N_r scaling.
- $n=0$ modes have excellent linear agreement with Rosenbluth-Hinton residues.
- Stable implicit linear methods well in hand
- Have EM real geometry linear runs on the 16ps GA LINUX Beowulf LUNA and moderate phase space resolution nonlinear runs ES adiabatic-e ITG and nonadiabatic-e runs on 45ps GA Stella LINUX cluster, and NERSC 512ps GSeaborg IBM-SP
- Immediate goals:
 - __ Finish flux tube run benchmark with Dorland-Kotschenreuther GS2 code.
 - __ Move to radial annulus operation with non-cyclic radial boundary conditions and profiles

References

- [1] R.L. Miller, M.S. Chu, J.M. Greene, Y.R Lin-Liu, and R.E.Waltz, *Phys.Plasmas* 2 (1998) 973; R.E. Waltz and R.L. Miller, *General Atomics Report GA-A23048* (1999).
- [2] E.A. Frieman and Liu Chen, *Phys. Fluids* 25 (1982) 502.
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- [5] R.E. Waltz, G.D. Kerbel, J. Milovich, and G.W. Hammett, *Phys. Plasmas* 2 (1995) 2408.