

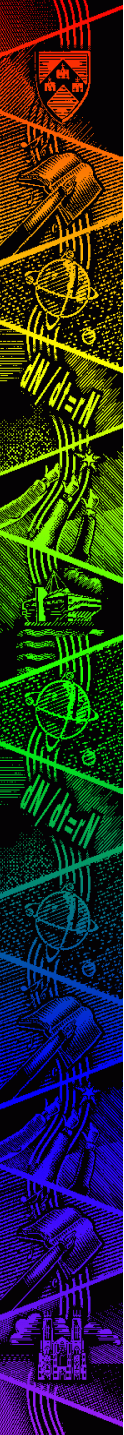
Forced Frequency Sweeping in Plasmas

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“Rapid frequency-sweeping”

- “Rapid frequency-sweeping” means sufficiently fast as not to be due to changes in background plasma parameters
- Interpretation of these events in terms of phase space structures in the particle distribution function $f(x, v, t)$

In this talk, focus on:

- Strongly-driven, non-perturbative regime

Motivation

Context:

- Frequency-sweeping events are typically driven by fast particles –
e.g. NBI, fusion-produced alphas

Applications:

- Develop our understanding of fast particle-driven instabilities and transport
- Use as a diagnostic: sweeping parameters used to deduce plasma parameters
- Apply frequency sweeping mechanism as an energy transfer mechanism (alpha channelling)

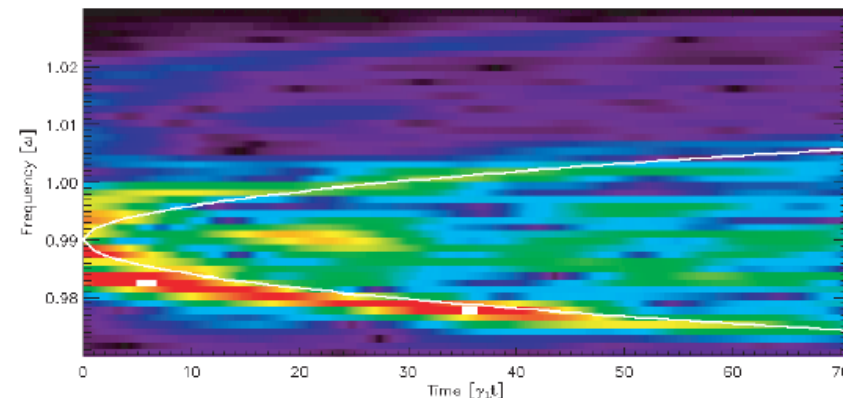
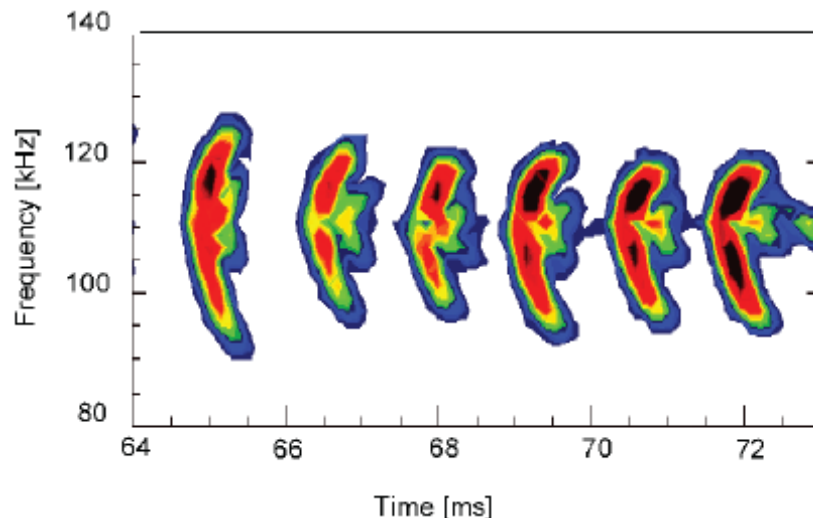
Diagnostic example:

Deducing internal mode amplitude from sweeping parameters

- Theoretical models predict sweeping rate in terms of parameters such as collisionality and gives indication of internal mode amplitude.
- Prediction for a TAE mode in a tokamak using HAGIS code

MAST magnetic fluctuations

HAGIS simulation



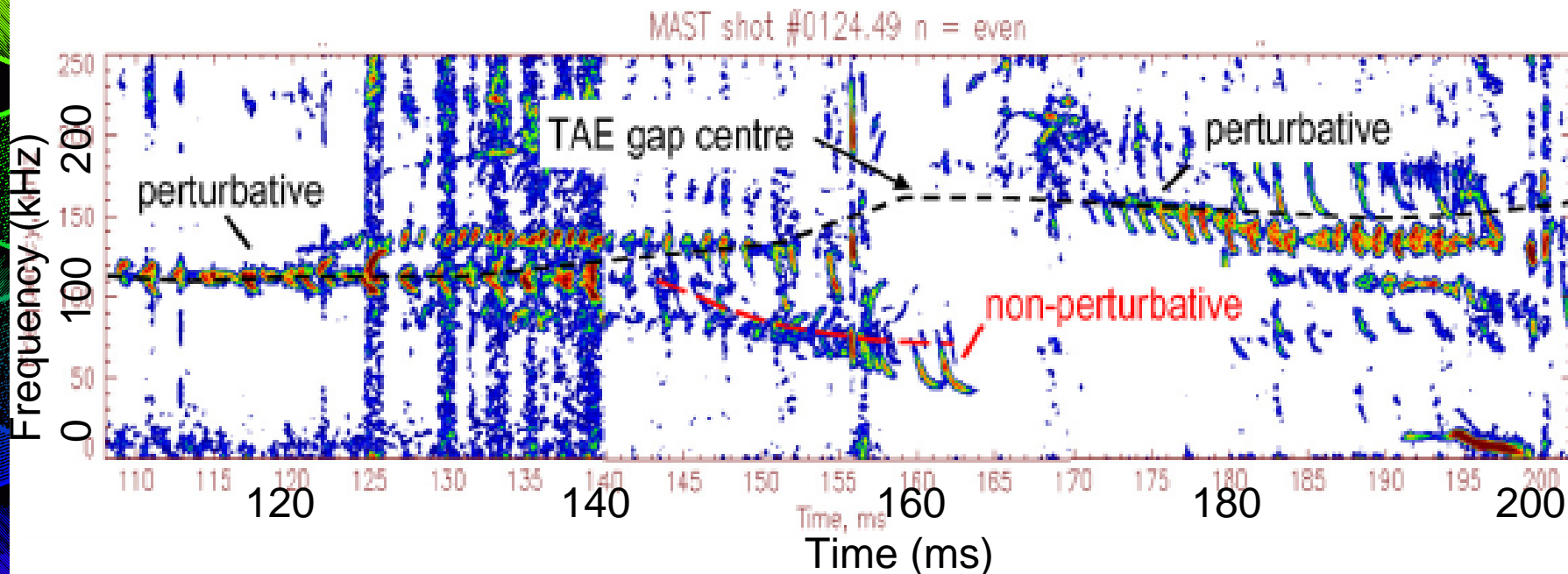
(Non-)Perturbative modes

“Perturbative” or “nonperturbative” describes level of impact of fast particles on modes:

- Perturbative: basic mode structure and frequency given by linear theory of background plasma; kinetic component primarily effects growth rate
- Nonperturbative: (“Energetic Particle Mode”/EPM) linear mode structure and frequency strongly effected determined by fast particle population

(Non-)Perturbative modes

TAE-like sweeping modes can be classified by considering birth frequency



MAST shot 12449, even mode numbers only

Gryaznevich & Sharapov, *Nucl. Fusion*, **46** S942 (2006).

Model equations

- Single distribution $f(x, v, t)$ electrostatic model with source injection and collisions:

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + E \frac{\partial f}{\partial v} = \nu (f - F_{beam}(v)) - \nu (f - F_{thermal}(v))$$

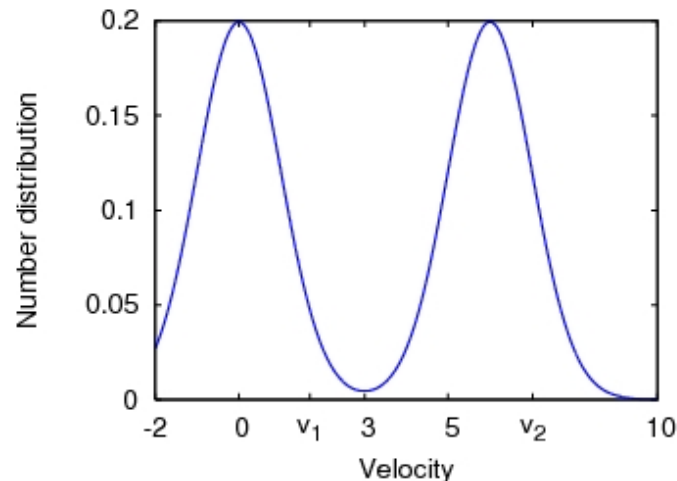
- Field evolution equation includes background damping term acting in a linear resistive manner:

$$\frac{\partial E}{\partial t} + \int (f - f_0) dv = -\gamma_d E$$

- One dimensional \longrightarrow computationally cheap (although still parallelized)

Model equations

- In absence of waves source and ‘classical’ relaxation would achieve a highly unstable distribution function F_0
- With F_0 chosen as, $F_{beam}(v) = F_{thermal}(v - v_b)$, a non-perturbative unstable mode would arise if distribution could achieve classically predicted level.
- Such a distribution would not be achieved as plasma will find a mechanism to relax.

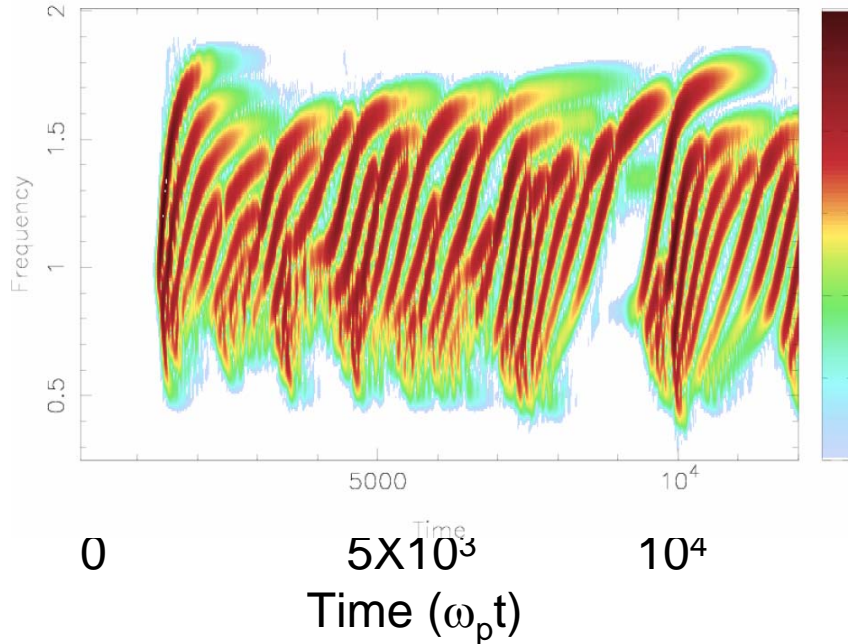


Field evolution $\tilde{E}_1(\omega, t)$

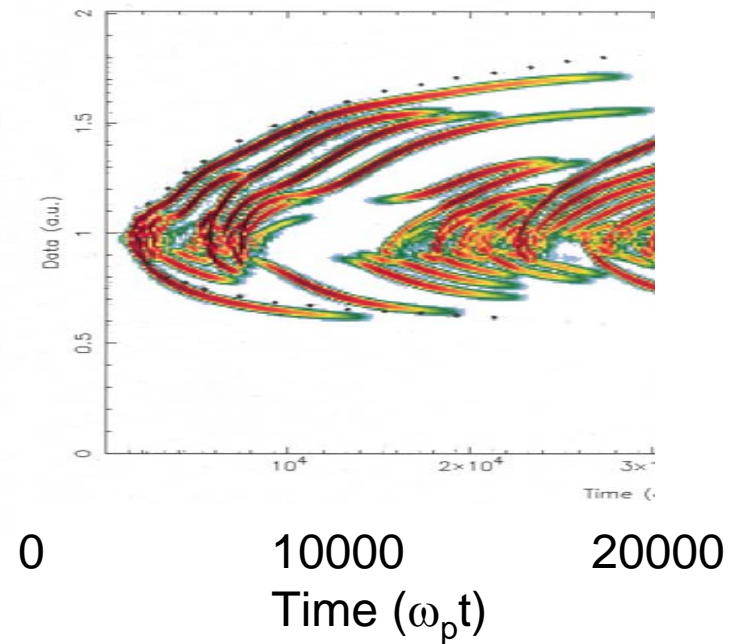
Windowed DFT of E_1

DFT of Data from /data/HerbRualdo/Pe

Frequency (ω/ω_p)
0.5 1.0 1.5



0 5X10³ 10⁴
Time ($\omega_p t$)
Nonperturbative

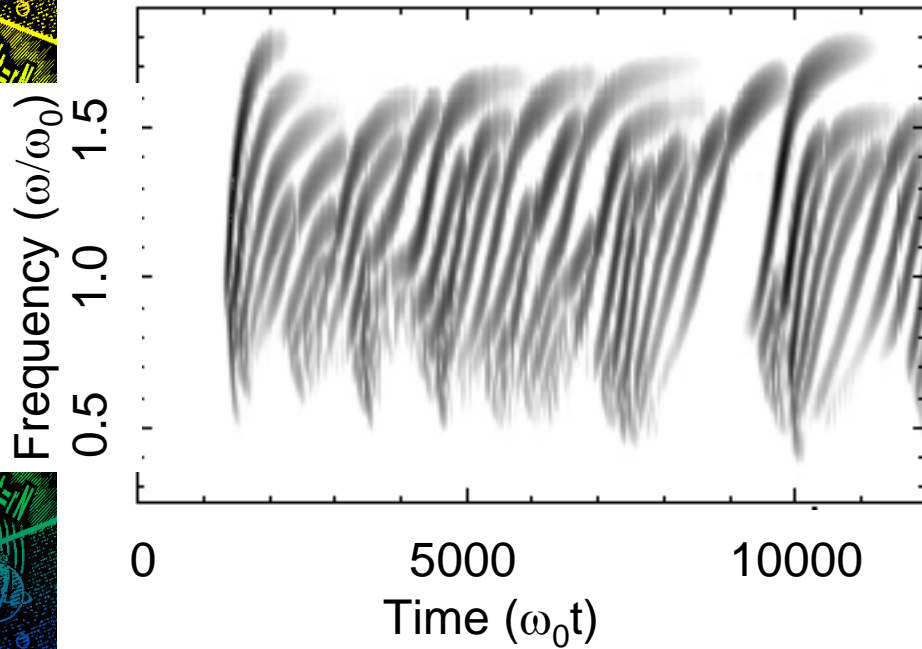


0 10000 20000
Time ($\omega_p t$)
Perturbative

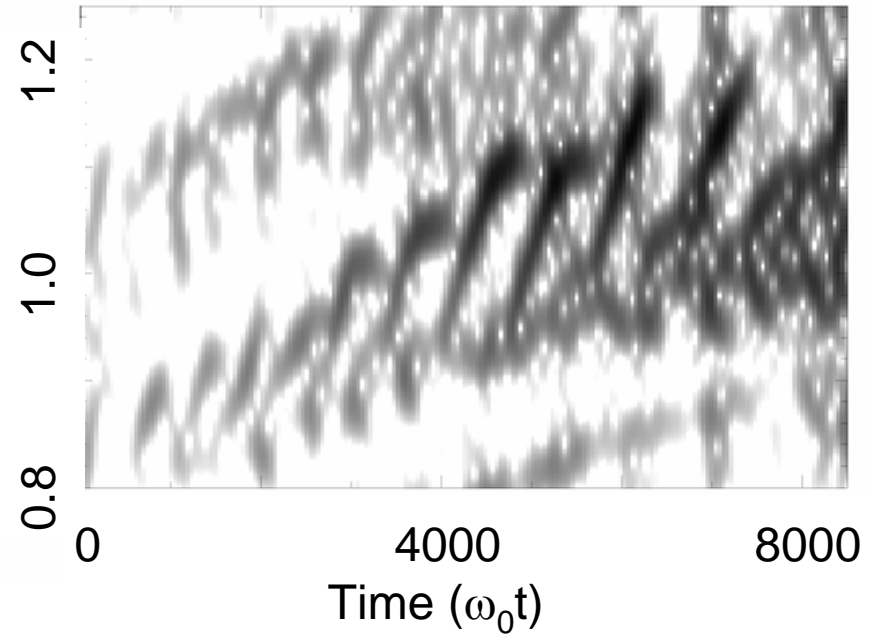
- Frequency sweeping approximately linear with time $\delta\omega \sim \delta t$ (contrast to perturbative case for which $\delta\omega \sim \delta t^{1/2}$)
- Asymmetric
- Both axes are normalized to underlying wave frequency.
- Collisionality $\nu = 0.0002$; damping rate $\gamma_d = 0.4$

Comparison of Simulation with Experimental Patterns

Simulation



MAST shot 11005

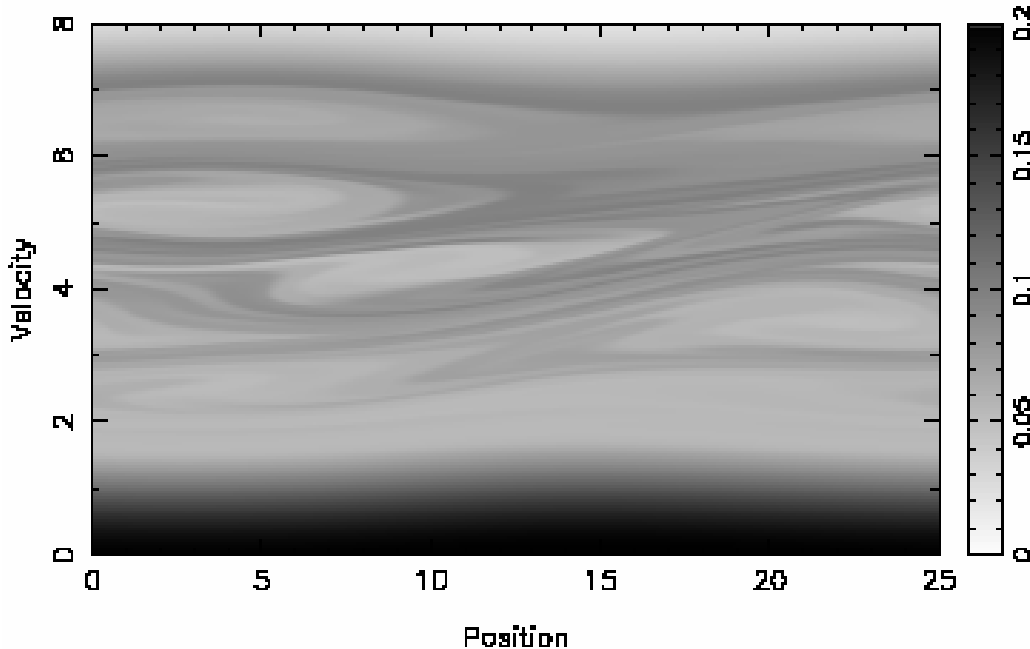


Simulation		Experiment
ω_p	Characteristic frequency ω_0	$2\pi \times 100\text{kHz}$
3.6×10^{-4}	Sweeping rate $d\omega/dt$	2.9×10^{-4}
0.7	Sweeping extent $\Delta\omega$	0.18

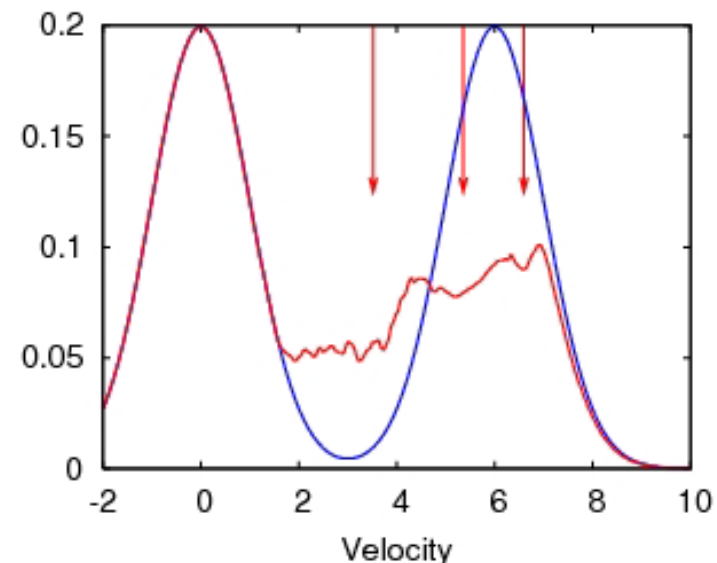
Phase space dynamics

a snapshot at $t = 9750$

Distribution function $f(x, v)$



Spatial average $f_0(v)$

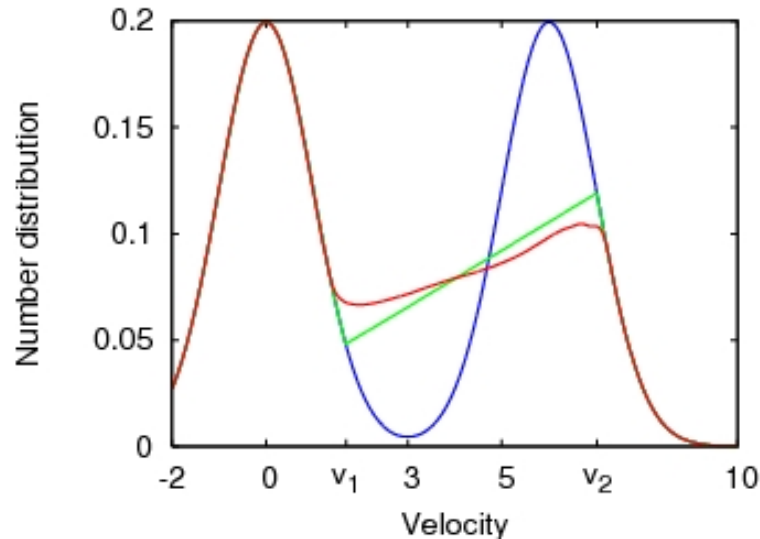


Complex phase space holes are created through resonant interaction with the wave – arrows in plot of $f_0(v)$ correspond to the modes' phase velocities.

The spatial average is remaining far from equilibrium $F_0(v)$

System persists near marginal stability

(i.e. a state in which most unstable mode is marginally stable)



- BLUE is F_0
- RED is long-time average of f_0
- GREEN is candidate marginally-stable distribution

Conclusions:

- Distribution maintains marginally-stable state through the frequency sweeping mechanism
- Resulting distribution energy significantly smaller than what would be predicted without hole evolution ($\sim 25\%$ in our case)

Fast Chirp theory

- Consider response of background plasma to a trapping region in phase space (a ‘bucket’) that produces a charge density ρ_{bucket} where distribution function inside bucket taken as the linear phase velocity of marginally stable ‘candidate’ distribution
- Reactive background plasma response determined from linear dielectric function

$$k^2 \varepsilon_r(\omega_L + \delta\omega)\phi = \rho_{bucket}$$

- Dissipative response replaced by bucket’s charge density (new theoretical feature: maintain precise dependence of ε_r to $\delta\omega$, for candidate distribution)
- Background dissipation extracts power from wave. Alternate response to damping is frequency sweeping to extract energy from bucket. Here downward for a clump and upward for a hole

Continuation of Analysis

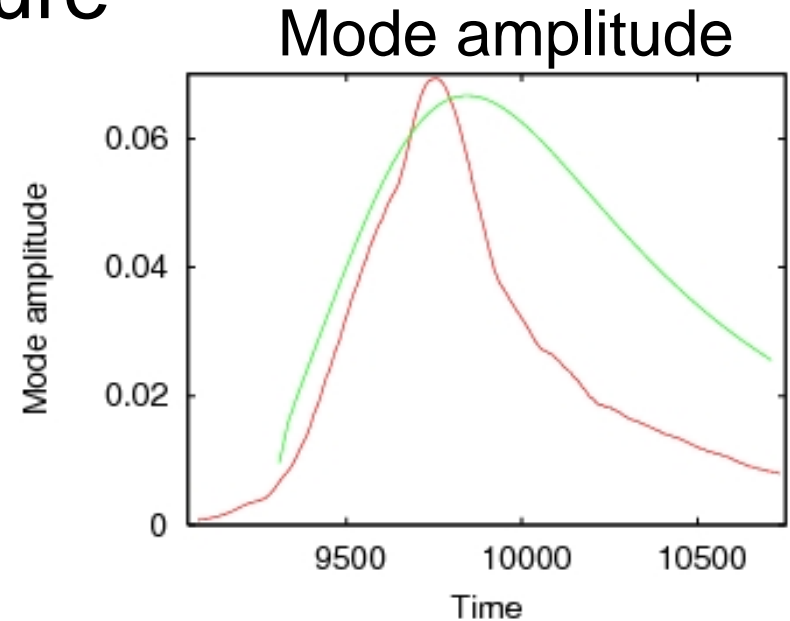
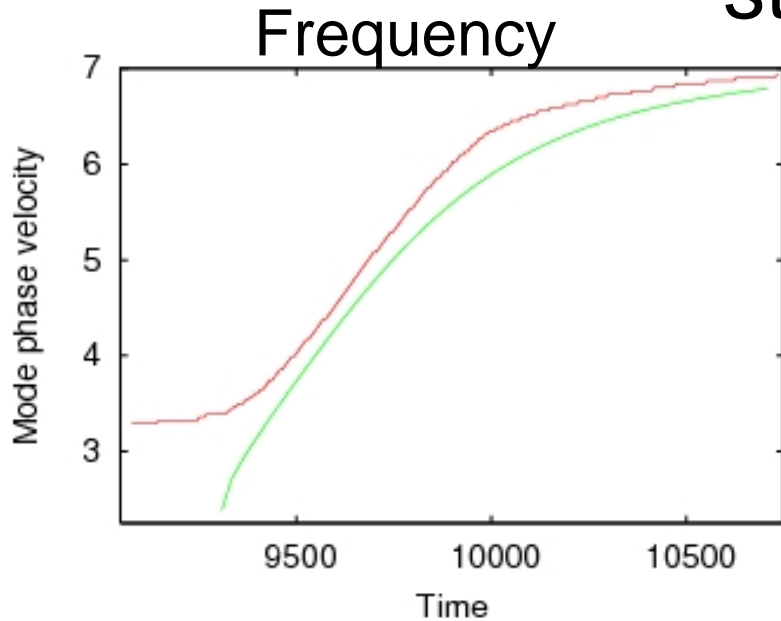
- To feed background dissipation, power is released by bucket through frequency sweeping mechanism.
- Frequency shift $\delta\omega = \omega - \omega_L$

$$\frac{d\delta\omega^2}{dt} = \frac{1}{3} \omega_b^2 \gamma_d \frac{\omega_L}{\omega} \left(\frac{\partial \varepsilon_r(\omega_L, k)}{\partial \omega} \right) \frac{\delta\omega}{\varepsilon_r(\omega, k)}$$

Mode amplitude is best measured in terms of trapping frequency of deeply trapped particle (universal measure of trapping effect which scales to nearly every Hamiltonian system)

$$\omega_b^2 = k^2 \phi = \frac{16^2}{9\pi^4} \gamma_L^2 k^2 \left(\frac{f\left(\frac{\omega}{k}\right) - f\left(\frac{\omega_L}{k}\right)}{\frac{\partial f}{\partial v}\left(\frac{\omega_L}{k}\right) \varepsilon_r(\omega, k)} \right)^2 \left(\frac{\partial \varepsilon_r(\omega_L)}{\partial \omega} \right)^2$$

Evolution of single frequency-sweeping structure



- **RED** curve extracted from simulation
- **GREEN** curve from non-linear reduced theory
- Only fitting parameter is the time offset (common to both plots)
- Good agreement despite theory not accounting for
 - hole-hole interaction
 - trapping/untrapping of particles

Discussion

- Deeper insight into hole or clump sweeping dynamics achieved
 - Linear frequency sweeping $\delta\omega \sim \delta t$ of non-perturbative modes
 - System persists near marginal stability
 - Significant reduction in stored fast particle energy
- Quantitative understanding of direction of rapid sweep and enhancement of saturated level
- ~ 50 enhancement of power transfer of beam distribution to background plasma as compared to estimate inferred from original theory (which is based on a perturbative solution)
- Gives viability to prospect of channeling through the intermediary of phase space structures
- We will attempt to understand the power limitations that can maintain sweeping as the relaxation mechanism, as opposed to more violent relaxation with non-linear mode overlap, even when additional linear modes are somehow quenched (an assumption of this modeling)