

Closed-loop direct parametric identification of magnetohydrodynamic spectrum in EXTRAP-T2R reversed-field pinch

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Overview

1 Closed-loop T2R

- CLID
- EXTRAP-T2R

2 Parameterization & identification

- Geometric modeling
- Dithering
- Identification algorithm

3 Plasma experiments

- Batches #21712 – #21726
- Scaffolding commentaries

CLID

Closed-loop identification

A research field related to signal processing and automatic control for construction of models from measured data is *system identification*. The particular application to (partly) unknown systems in a feedback loop is *closed-loop identification*.

CLID

Closed-loop identification ...

Identification methods that only use plant input and output are known as *direct*. There are also *indirect* and *joint* approaches, that explicitly incorporate information on the feedback circuit.

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... for EXTRAP-T2R

A *direct parametric* method has been considered. Closed-loop operation stabilizes T2R but reduces observability: *dithering* applied.

CLID

Motivation

Determining MHD mode response to externally applied magnetic perturbations is beneficially performed in the closed-loop:

- ① longer batches of data
- ② transients sorted out
- ③ plasma stabilized; maintained equilibrium

CLID

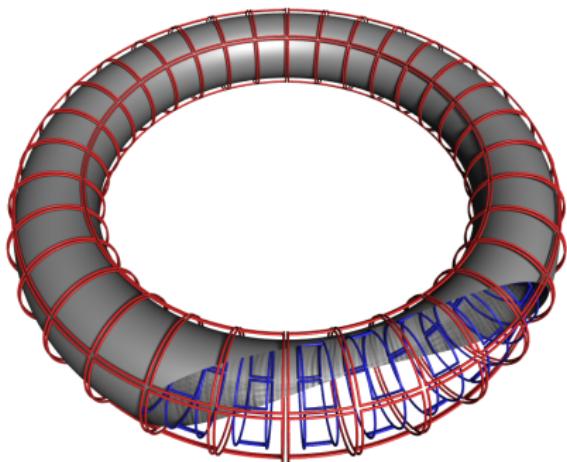
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NB: Special care needed, some analysis methods *not applicable* in CLID due to feedback-induced correlation between output and input (via unmeasurable noise).

T2R recap

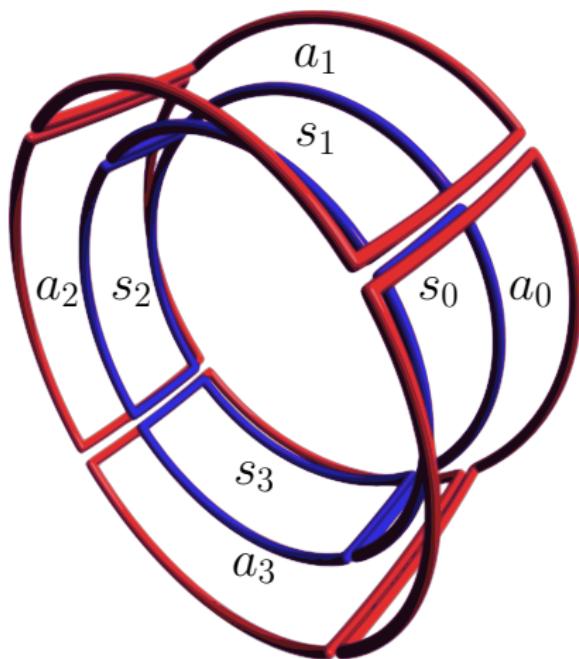


4×32 sensor coils & 4×32 active coils

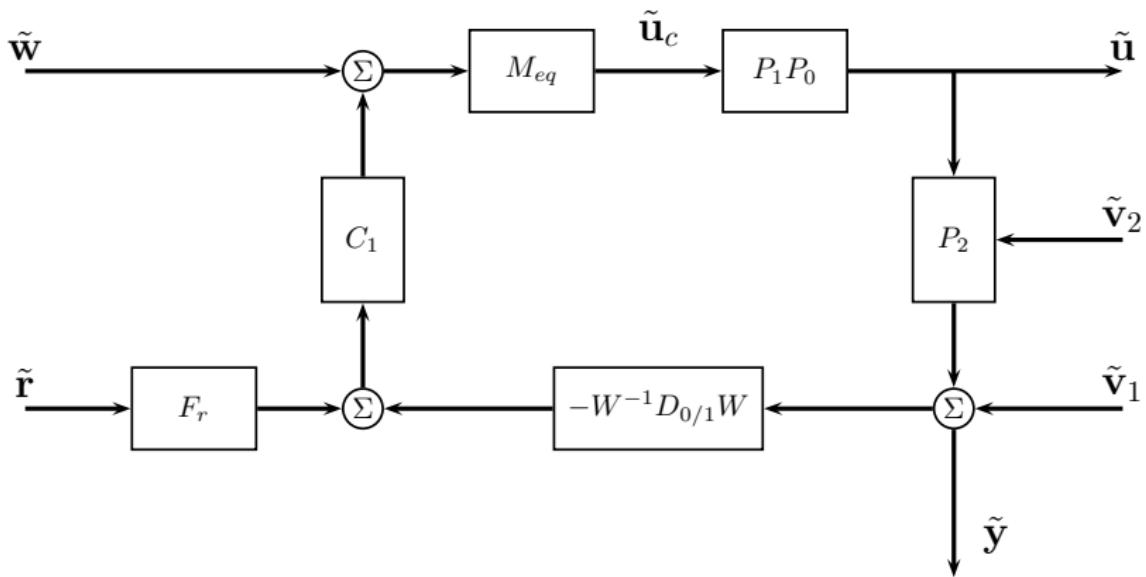
T2R actuator & sensor array

$$\begin{cases} \tilde{y}_i = \frac{1}{2} (s_{0,i} - s_{2,i}) \\ \tilde{y}_{i+32} = \frac{1}{2} (s_{1,i} - s_{3,i}) \\ \begin{cases} a_{0,i} = \frac{1}{2} \tilde{u}_i, \quad a_{2,i} = -\frac{1}{2} \tilde{u}_i \\ a_{1,i} = \frac{1}{2} \tilde{u}_{i+32}, \quad a_{3,i} = -\frac{1}{2} \tilde{u}_{i+32} \end{cases} \end{cases} \quad (1)$$

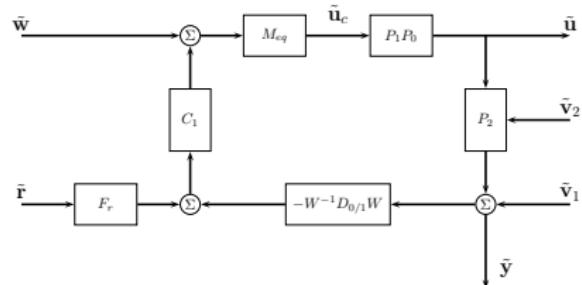
for section $i = 1 \dots 32$



T2R closed-loop stabilization



T2R closed-loop stabilization



Basic direct CLID

Put $\tilde{\mathbf{r}} = \mathbf{0}$, randomly perturb vector $\tilde{\mathbf{w}}$ and forget (2) altogether, store $(\tilde{\mathbf{u}}, \tilde{\mathbf{y}})$.

Stabilizing feedback

$$\tilde{\mathbf{u}}_c = M_{eq} \left(C_1(s)(F_r(s)\tilde{\mathbf{r}} - W^{-1}D_{0/1}W\tilde{\mathbf{y}}) + \tilde{\mathbf{w}} \right) \quad (2)$$

Generic spatial aliasing

For $M_b \times N_b$ actuator and $M_c \times N_c$ sensor arrays

$$\begin{aligned} \tau_{m,n} \dot{x}_{m,n} &= \hat{\gamma}_{m,n} x_{m,n} + b_{m,n} u_{p,q} + w_{m,n}, \text{ for } m = p + kM_b, n = q + lN_b \\ y_{r,s} &= \sum_{k,l} c_{r+kM_c,s+lN_c} x_{r+kM_c,s+lN_c} + v_{r,s} \end{aligned} \quad (3)$$

where (T2R) $\Delta\theta = \delta\theta = \frac{2\pi}{4}$, $\Delta\phi = \frac{2\pi}{32}$, $\delta\phi = \frac{2\pi}{64}$

$$b_{m,n} \sim \frac{n}{m} \sin(m\Delta\theta/2) \sin(n\Delta\phi/2) \times K'_m\left(\frac{|n|r_c}{R}\right) I'_m\left(\frac{|n|r_w}{R}\right) \quad (4)$$

$$c_{m,n} \sim \frac{1}{mn} \sin(m\delta\theta/2) \sin(n\delta\phi/2) \quad (5)$$

and $u_{p,q}$, $y_{r,s}$ are DFT transformations of $\tilde{\mathbf{u}}$ and $\tilde{\mathbf{y}}$.

A minimalistic truncation for T2R

Assemble the discrete-time linear system with state $\mathbf{x} \in \mathbb{R}^2$

$$\begin{aligned}\mathbf{x}(k+1) &= A(\bar{\theta}_n)\mathbf{x}(k) + B(\bar{\theta}_n)u(k) + \mathbf{w}(k) \\ y(k) &= C(\bar{\theta}_n)\mathbf{x}(k) + v(k)\end{aligned}\tag{6}$$

having parameterization $A(\bar{\theta}_n) = \begin{pmatrix} e^{\hat{\gamma}_n T_s / \tau_n} & 0 \\ 0 & e^{-\zeta_n T_s / \tau_n} \end{pmatrix}$,
 $B(\bar{\theta}_n) = \begin{pmatrix} \frac{\alpha_n}{\hat{\gamma}_n} (e^{\hat{\gamma}_n T_s / \tau_n} - 1) \\ \frac{\alpha_n}{\eta_n} (1 - e^{-\zeta_n T_s / \tau_n}) \end{pmatrix}$, $C(\bar{\theta}_n) = (1 \ 1/\xi_n)$ where
 $\eta_n = b_{1,n}/b_{3,n}$, $\zeta_n = c_{1,n}/c_{3,n}$, $\xi_n = \tau_{1,n}/\tau_{3,n}$, $\bar{\theta}_n = \{\alpha_n, \tau_n, \hat{\gamma}_n\}$

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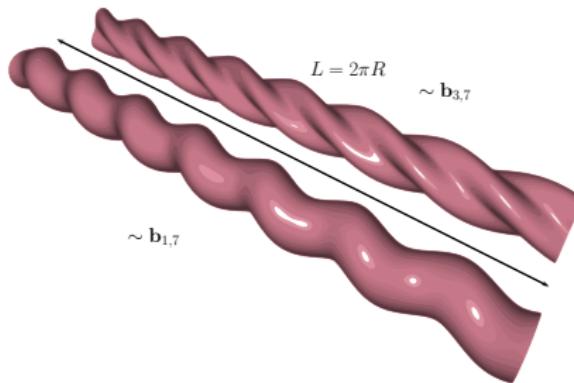
$\eta_n = b_{1,n}/b_{3,n}$, $\zeta_n = c_{1,n}/c_{3,n}$, $\xi_n = \tau_{1,n}/\tau_{3,n}$, $\bar{\theta}_n = \{\alpha_n, \tau_n, \hat{\gamma}_n\}$

Key truncation & approximation

- i) only include $m = 1$ and $m = 3$ for arbitrary $n = -16 \dots + 15$
- and ii) prescribe $\hat{\gamma}_{m=3,n} = -1$, which theoretically is close to true.



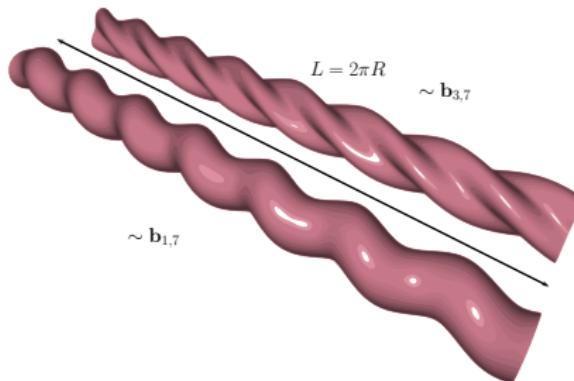
A minimalistic truncation for T2R, continued



Phenomenological representation

A potentially unstable mode ($m = 1$) possibly camouflaged behind a fast stable mode ($m = 3$).

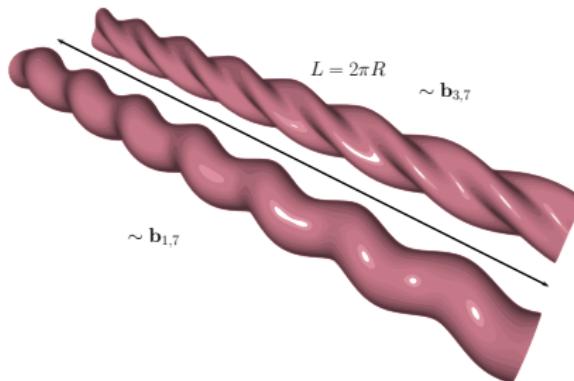
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Phenomenological representation

A potentially unstable mode ($m = 1$) possibly camouflaged behind a fast stable mode ($m = 3$). Model (6) is a compact packaging of this, using three parameters to be experimentally identified, of which *only one* is attributed to the plasma.

Pseudorandom binary sequence (PRBS)

Employ a computationally efficient identification vector input generator

$$z_j(k) = \text{rem} \left(\sum_{l=1}^n a_l q^l z_j(k), 2 \right) \quad (7)$$

$$\tilde{w}_j^{(0)}(k) = \kappa \left\{ 2\text{rem} (z_j(k), 2) - 1 \right\}, \quad j = 1 \dots 64$$

$$\tilde{w}_j(k) = \begin{cases} \tilde{w}_j^{(0)}(k) - \frac{1}{32} \sum_{i=1}^{32} \tilde{w}_i^{(0)}(k), & j = 1 \dots 32 \\ \tilde{w}_j^{(0)}(k) - \frac{1}{32} \sum_{i=33}^{64} \tilde{w}_i^{(0)}(k), & j = 33 \dots 64 \end{cases} \quad (8)$$

The identification program

Prediction-error
minimization program

$$\bar{\theta}^* = \arg \min_{\bar{\theta}} V(\bar{\theta}) \quad (9)$$

where

$$V(\bar{\theta}) \equiv \frac{1}{N} \sum_{k=1}^N e^2(k, \bar{\theta})$$

and the *prediction errors*

$$e(k, \bar{\theta}) \equiv y(k) - \hat{y}(k, \bar{\theta})$$

are produced by a

predictor $\hat{y}(k, \bar{\theta}) =$

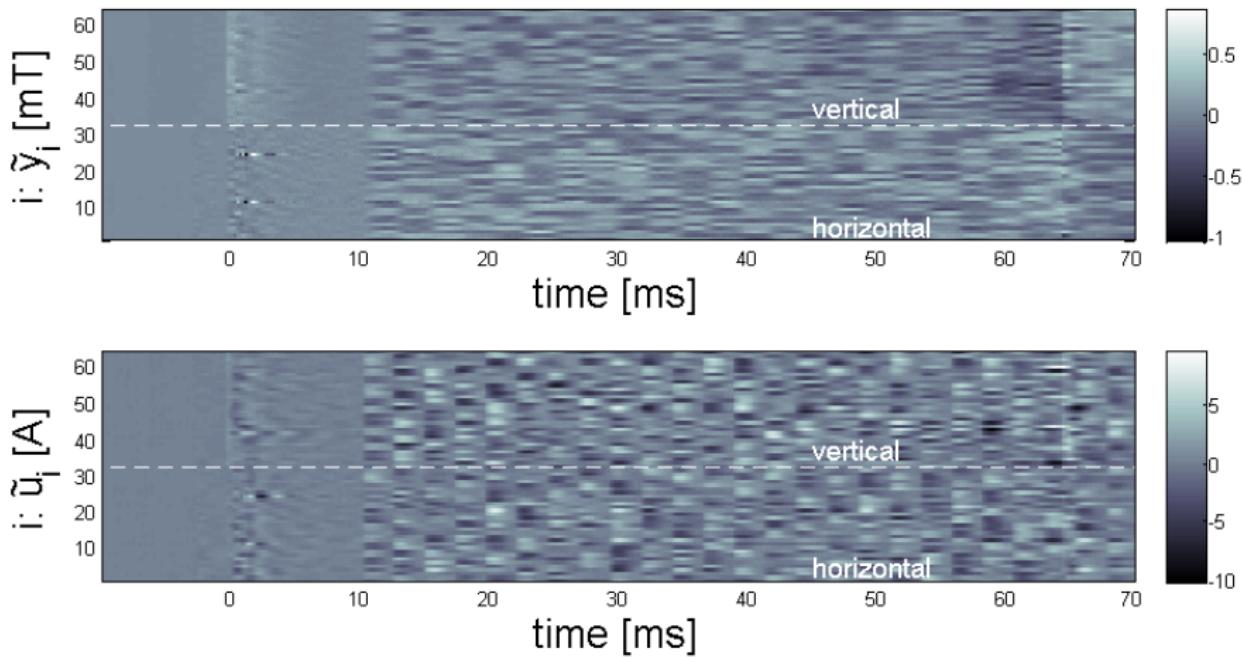
$$f\left(\bar{\theta}, \{y(l), u(l)\}_{l=0, \dots, k-1}\right)$$

A kalman predictor

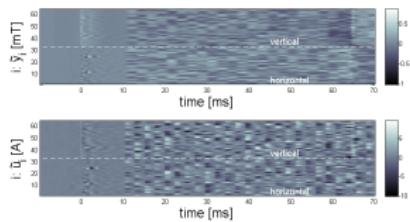
$$\begin{cases} \hat{\mathbf{x}}^-(k) = A\hat{\mathbf{x}}^+(k-1) + Bu(k-1) \\ P^-(k) = AP^+(k-1)A^T + Q \\ e^-(k) = y(k) - C\hat{\mathbf{x}}^-(k) \\ K(k) = P^-(k)C^T \left(CP^-(k)C^T + R \right)^{-1} \\ \hat{\mathbf{x}}^+(k) = \hat{\mathbf{x}}^-(k) + K(k)e^-(k) \\ P^+(k) = (I - K(k)C)P^-(k) \end{cases} \quad (10)$$

takes input data $u(k)$, $y(k)$ and
outputs $e^-(k) = e(k, \bar{\theta})$

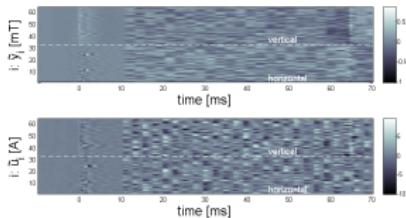
Dithering shot #21716, visually



Spatial DFT → SISO PEM programs



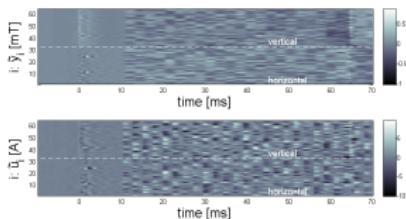
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Sort-out normal modes

Recorded data
interpreted assuming
independent Fourier
modes.

Spatial DFT \rightarrow SISO PEM programs



Sort-out normal modes

Recorded data
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With $\iota^2 = -1$ and for $n = 0 \dots 31$ set

$$Y_n(t) = \sum_{k=0}^{31} e^{-2\pi\iota \frac{nk}{32}} (\tilde{y}_{k+1}(t) + \iota \tilde{y}_{k+33}(t))$$

$$y_{n+1}(t) = \operatorname{Re} Y_n(t), \quad y_{n+33}(t) = \operatorname{Im} Y_n(t)$$

implicitly defining a DFT matrix
 $W \in \mathbb{R}^{64 \times 64}$ with the properties

$$\mathbf{y} = W\tilde{\mathbf{y}}, \quad \tilde{\mathbf{u}} = W^{-1}\mathbf{u} \quad (11)$$

Spatial DFT → SISO PEM programs

$$\mathbf{y} = W\tilde{\mathbf{y}}, \tilde{\mathbf{u}} = W^{-1}\mathbf{u}$$

Analyze according to (9)-(10) data $(y_i, u_i) \forall i = 1 \dots 64$
independently as SISO systems (sine/cosine components of
the Fourier modes $n = -16 \dots + 15$)

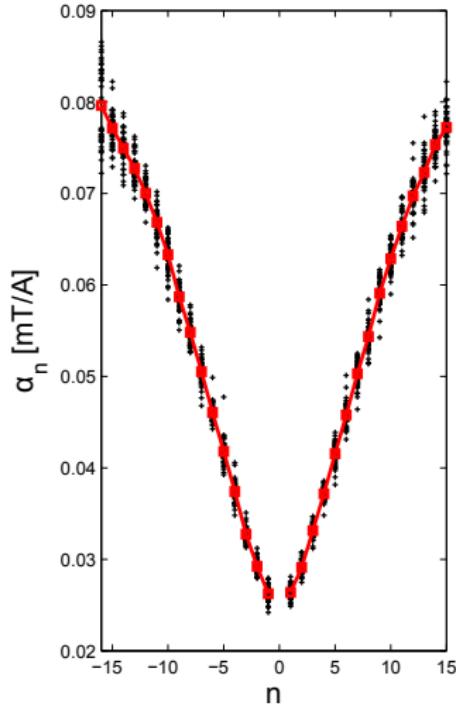
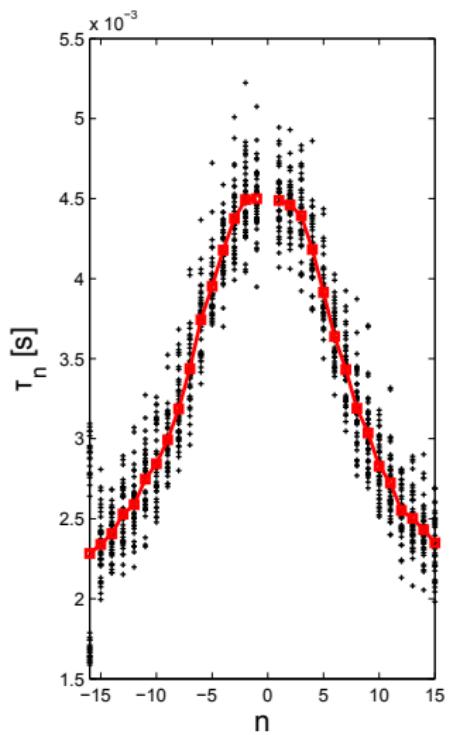
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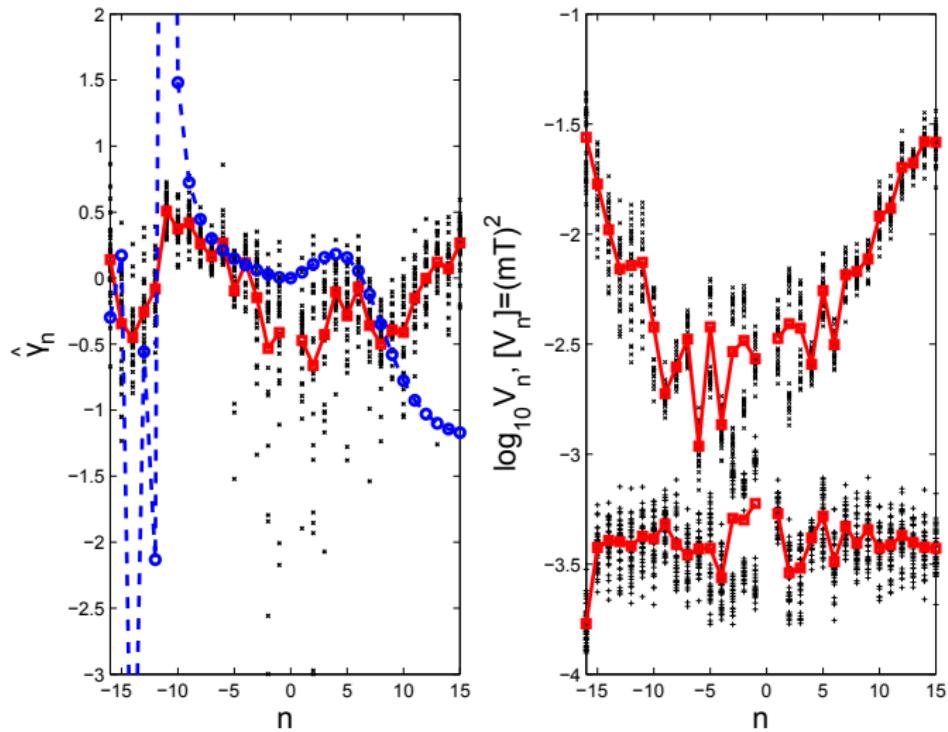
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- ① Calibrate (vacuum batches): α_n^*, τ_n^*
- ② Spectrum (plasma batches): given α_n^*, τ_n^* , minimize w.r.t.
 $\hat{\gamma}$, i.e. find $\hat{\gamma}_n^*$

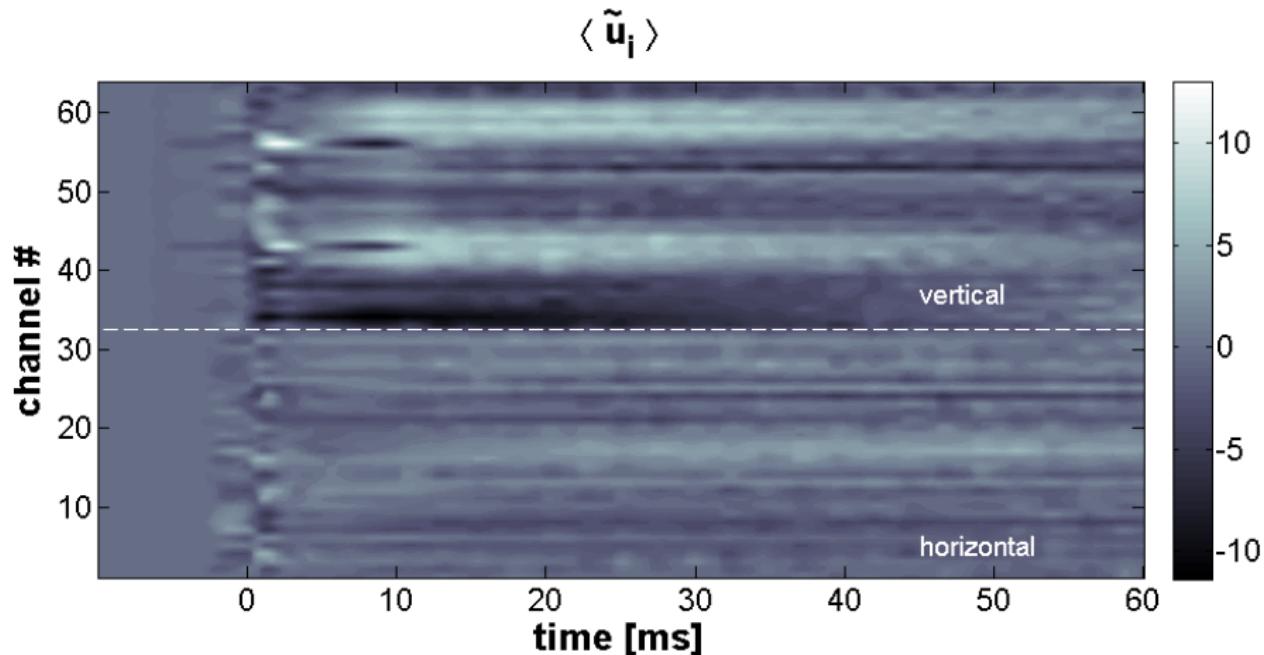
Dry plant (vacuum) calibration parameters



T2R spectrum & prediction-errors



Reproducible machine error-field



Some notes on first results

overall : instability and RFP spectrum shape do ‘emerge’ from ‘obfuscated’ data!

error-field : time-resolved ‘negative’ as a by-product of signal preprocessing

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TODO

- Truncate model at a higher order and/or in a more clever way
- Sophistication of error-field separation

Summary



- Data-driven modeling of RWM dynamics assessed

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- Independent RFP fourier-modes assumption tested
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- Independent RFP fourier-modes assumption tested
- Promising and useful for further development of control system, among other things

Thank you