

# Closed-loop direct parametric identification of magnetohydrodynamic spectrum in EXTRAP-T2R reversed-field pinch

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MHD control workshop, UT/Austin TX, Nov. 23-25 2008

# Overview

- 1 Closed-loop T2R
  - CLID
  - EXTRAP-T2R
- 2 Parameterization & identification
  - Geometric modeling
  - Dithering
  - Identification algorithm
- 3 Plasma experiments
  - Batches #21712 – #21726
  - Scaffolding commentaries

# CLID

## Closed-loop identification

A research field related to signal processing and automatic control for construction of models from measured data is *system identification*. The particular application to (partly) unknown systems in a feedback loop is *closed-loop identification*.

# CLID

## Closed-loop identification . . .

Identification methods that only use plant input and output are known as *direct*. There are also *indirect* and *joint* approaches, that explicitly incorporate information on the feedback circuit.

# CLID

## Closed-loop identification ...

Identification methods that only use plant input and output are known as *direct*. There are also *indirect* and *joint* approaches, that explicitly incorporate information on the feedback circuit.

## ... for EXTRAP-T2R

A *direct parametric* method has been considered. Closed-loop operation stabilizes T2R but reduces observability: *dithering* applied.

# CLID

## Motivation

Determining MHD mode response to externally applied magnetic perturbations is beneficially performed in the closed-loop:

- 1 longer batches of data
- 2 transients sorted out
- 3 plasma stabilized; maintained equilibrium

# CLID

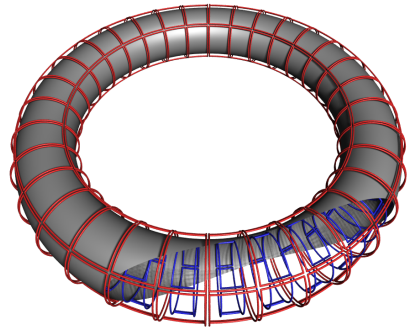
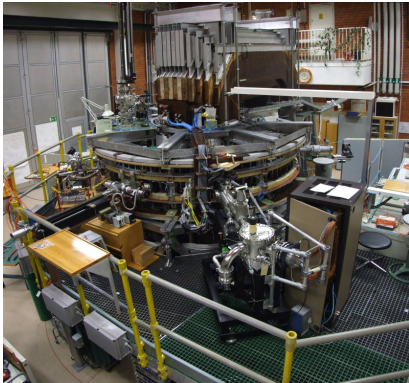
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NB: Special care needed, some analysis methods *not applicable* in CLID due to feedback-induced correlation between output and input (via unmeasurable noise).

# T2R recap



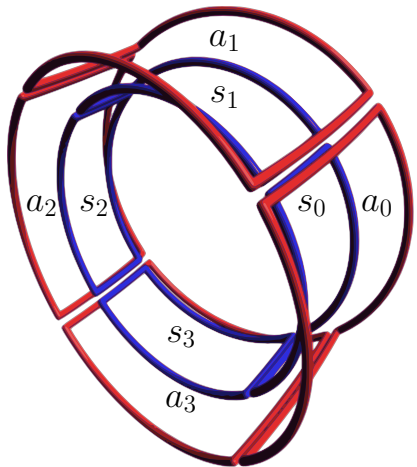
$4 \times 32$  **sensor** coils &  $4 \times 32$   
**active** coils



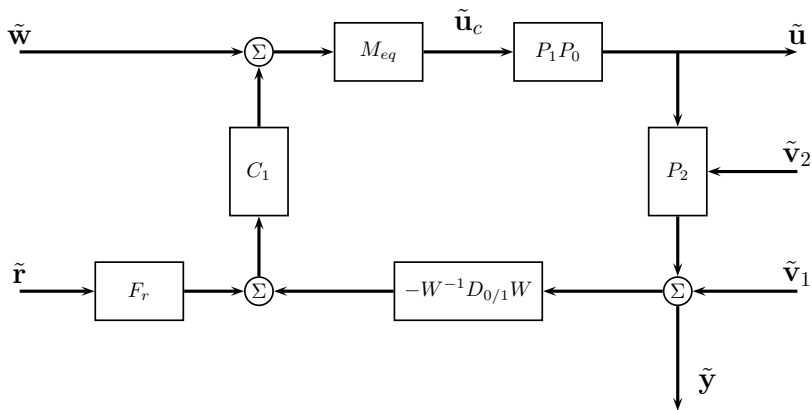
# T2R actuator & sensor array

$$\begin{cases} \tilde{y}_i = \frac{1}{2} (s_{0,i} - s_{2,i}) \\ \tilde{y}_{i+32} = \frac{1}{2} (s_{1,i} - s_{3,i}) \\ \left\{ \begin{array}{l} a_{0,i} = \frac{1}{2} \tilde{u}_i, \quad a_{2,i} = -\frac{1}{2} \tilde{u}_i \\ a_{1,i} = \frac{1}{2} \tilde{u}_{i+32}, \quad a_{3,i} = -\frac{1}{2} \tilde{u}_{i+32} \end{array} \right. \end{cases} \quad (1)$$

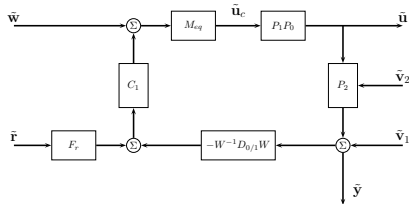
for section  $i = 1 \dots 32$



# T2R closed-loop stabilization



# T2R closed-loop stabilization



## Basic direct CLID

Put  $\tilde{\mathbf{r}} = \mathbf{0}$ , randomly perturb vector  $\tilde{\mathbf{w}}$  and forget (2) altogether, store  $(\tilde{\mathbf{u}}, \tilde{\mathbf{y}})$ .

Stabilizing feedback

$$\tilde{\mathbf{u}}_c = M_{eq} \left( C_1(s)(F_r(s)\tilde{\mathbf{r}} - W^{-1}D_{0/1}W\tilde{\mathbf{y}}) + \tilde{\mathbf{w}} \right) \quad (2)$$

# Generic spatial aliasing

For  $M_b \times N_b$  actuator and  $M_c \times N_c$  sensor arrays

$$\begin{aligned} \tau_{m,n} \dot{x}_{m,n} &= \hat{\gamma}_{m,n} x_{m,n} + b_{m,n} u_{p,q} + w_{m,n}, \text{ for } m = p + kM_b, n = q + lN_b \\ y_{r,s} &= \sum_{k,l} c_{r+kM_c, s+lN_c} x_{r+kM_c, s+lN_c} + v_{r,s} \end{aligned} \quad (3)$$

where (T2R)  $\Delta\theta = \delta\theta = \frac{2\pi}{4}$ ,  $\Delta\phi = \frac{2\pi}{32}$ ,  $\delta\phi = \frac{2\pi}{64}$

$$b_{m,n} \sim \frac{n}{m} \sin(m\Delta\theta/2) \sin(n\Delta\phi/2) \times K'_m\left(\frac{|n|r_c}{R}\right) I'_m\left(\frac{|n|r_w}{R}\right) \quad (4)$$

$$c_{m,n} \sim \frac{1}{mn} \sin(m\delta\theta/2) \sin(n\delta\phi/2) \quad (5)$$

and  $u_{p,q}$ ,  $y_{r,s}$  are DFT transformations of  $\tilde{\mathbf{u}}$  and  $\tilde{\mathbf{y}}$ .

# A minimalistic truncation for T2R

Assemble the discrete-time linear system with state  $\mathbf{x} \in \mathbb{R}^2$

$$\begin{aligned}\mathbf{x}(k+1) &= A(\bar{\theta}_n)\mathbf{x}(k) + B(\bar{\theta}_n)u(k) + \mathbf{w}(k) \\ y(k) &= C(\bar{\theta}_n)\mathbf{x}(k) + v(k)\end{aligned}\tag{6}$$

having parameterization  $A(\bar{\theta}_n) = \begin{pmatrix} e^{\hat{\gamma}_n T_s / \tau_n} & 0 \\ 0 & e^{-\zeta_n T_s / \tau_n} \end{pmatrix}$ ,

$B(\bar{\theta}_n) = \begin{pmatrix} \frac{\alpha_n}{\hat{\gamma}_n} (e^{\hat{\gamma}_n T_s / \tau_n} - 1) \\ \frac{\alpha_n}{\eta_n} (1 - e^{-\zeta_n T_s / \tau_n}) \end{pmatrix}$ ,  $C(\bar{\theta}_n) = (1 \quad 1/\xi_n)$  where

$\eta_n = b_{1,n}/b_{3,n}$ ,  $\zeta_n = c_{1,n}/c_{3,n}$ ,  $\xi_n = \tau_{1,n}/\tau_{3,n}$ ,  $\bar{\theta}_n = \{\alpha_n, \tau_n, \hat{\gamma}_n\}$

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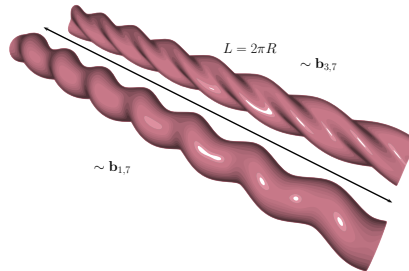
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## Key truncation & approximation

*i)* only include  $m = 1$  and  $m = 3$  for arbitrary  $n = -16 \dots +15$   
and *ii)* prescribe  $\hat{\gamma}_{m=3,n} = -1$ , which theoretically is close to true.

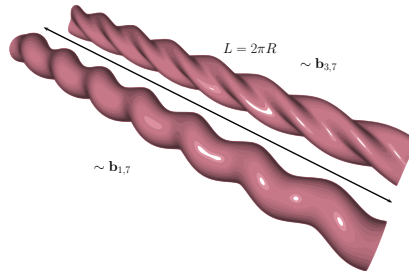
# A minimalistic truncation for T2R, continued



## Phenomenological representation

A potentially unstable mode ( $m = 1$ ) possibly camouflaged behind a fast stable mode ( $m = 3$ ).

# A minimalistic truncation for T2R, continued

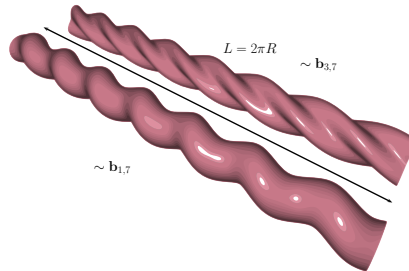


## Phenomenological representation

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# A minimalistic truncation for T2R, continued



## Phenomenological representation

A potentially unstable mode ( $m = 1$ ) possibly camouflaged behind a fast stable mode ( $m = 3$ ). Model (6) is a compact packaging of this, using three parameters to be experimentally identified, of which *only one* is attributed to the plasma.

# Pseudorandom binary sequence (PRBS)

Employ a computationally efficient identification vector input generator

$$z_j(k) = \text{rem} \left( \sum_{l=1}^n a_l q^l z_j(k), 2 \right) \quad (7)$$

$$\begin{aligned} \tilde{w}_j^{(0)}(k) &= \kappa \{ 2 \text{rem} (z_j(k), 2) - 1 \}, \quad j = 1 \dots 64 \\ \tilde{w}_j(k) &= \begin{cases} \tilde{w}_j^{(0)}(k) - \frac{1}{32} \sum_{i=1}^{32} \tilde{w}_i^{(0)}(k), & j = 1 \dots 32 \\ \tilde{w}_j^{(0)}(k) - \frac{1}{32} \sum_{i=33}^{64} \tilde{w}_i^{(0)}(k), & j = 33 \dots 64 \end{cases} \quad (8) \end{aligned}$$

# The identification program

Prediction-error  
minimization program

$$\bar{\theta}^* = \arg \min_{\bar{\theta}} V(\bar{\theta}) \quad (9)$$

where

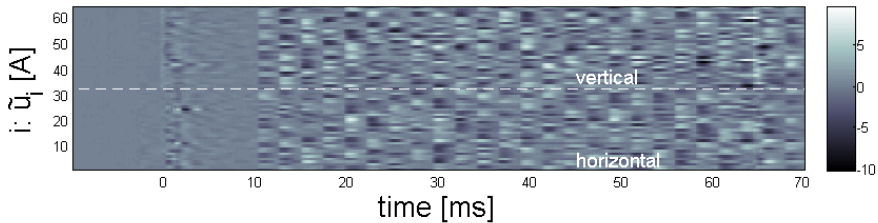
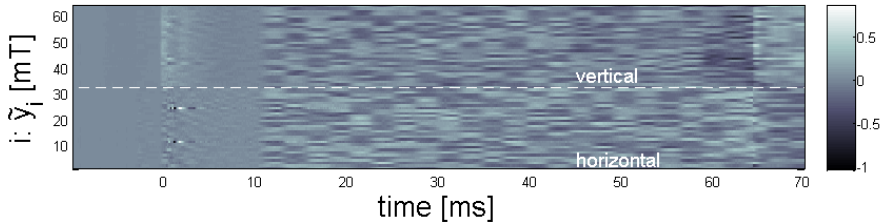
$V(\bar{\theta}) \equiv \frac{1}{N} \sum_{k=1}^N e^2(k, \bar{\theta})$   
and the *prediction errors*  
 $e(k, \bar{\theta}) \equiv y(k) - \hat{y}(k, \bar{\theta})$   
are produced by a  
*predictor*  $\hat{y}(k, \bar{\theta}) =$   
 $f(\bar{\theta}, \{y(l), u(l)\}_{l=0, \dots, k-1})$

A kalman predictor

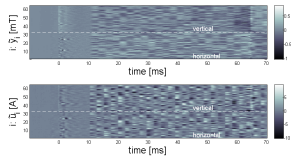
$$\begin{cases} \hat{\mathbf{x}}^-(k) = A\hat{\mathbf{x}}^+(k-1) + Bu(k-1) \\ P^-(k) = AP^+(k-1)A^T + Q \\ e^-(k) = y(k) - C\hat{\mathbf{x}}(k)^- \\ K(k) = P^-(k)C^T (CP^-(k)C^T + R)^{-1} \\ \hat{\mathbf{x}}^+(k) = \hat{\mathbf{x}}^-(k) + K(k)e^-(k) \\ P^+(k) = (I - K(k)C)P^-(k) \end{cases} \quad (10)$$

takes input data  $u(k)$ ,  $y(k)$  and  
outputs  $e^-(k) = e(k, \bar{\theta})$

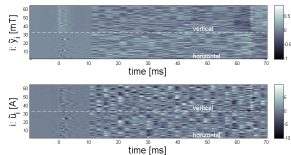
# Dithering shot #21716, visually



# Spatial DFT → SISO PEM programs



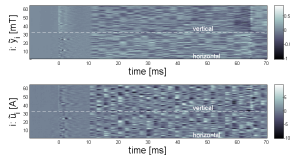
# Spatial DFT → SISO PEM programs



## Sort-out normal modes

Recorded data  
interpreted assuming  
*independent* Fourier  
modes.

# Spatial DFT → SISO PEM programs



## Sort-out normal modes

Recorded data  
interpreted assuming  
*independent* Fourier  
modes.

With  $\iota^2 = -1$  and for  $n = 0 \dots 31$  set

$$Y_n(t) = \sum_{k=0}^{31} e^{-2\pi\iota \frac{nk}{32}} (\tilde{y}_{k+1}(t) + \iota \tilde{y}_{k+33}(t))$$

$$y_{n+1}(t) = \text{Re } Y_n(t), \quad y_{n+33}(t) = \text{Im } Y_n(t)$$

implicitly defining a DFT matrix  
 $W \in \mathbb{R}^{64 \times 64}$  with the properties

$$\mathbf{y} = W\tilde{\mathbf{y}}, \quad \tilde{\mathbf{u}} = W^{-1}\mathbf{u} \quad (11)$$

## Spatial DFT → SISO PEM programs

$$\mathbf{y} = W\tilde{\mathbf{y}}, \tilde{\mathbf{u}} = W^{-1}\mathbf{u}$$

Analyze according to (9)-(10) data  $(y_i, u_i) \forall i = 1 \dots 64$  independently as SISO systems (sine/cosine components of the Fourier modes  $n = -16 \dots +15$ )



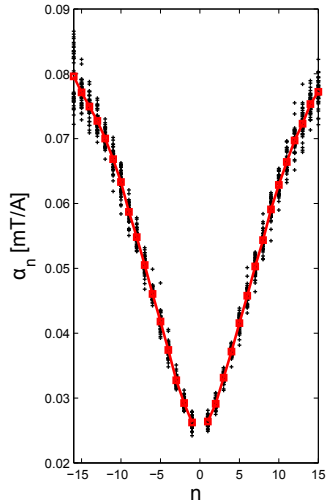
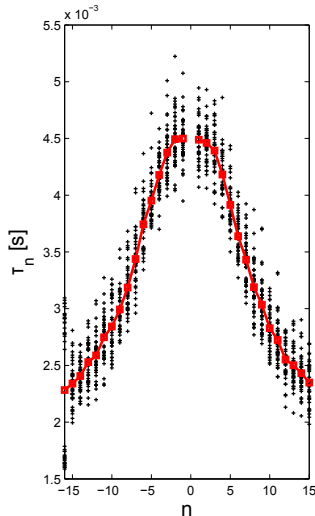
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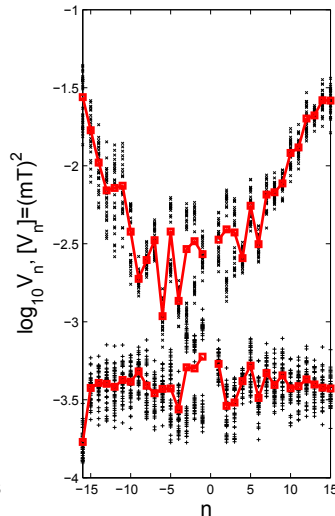
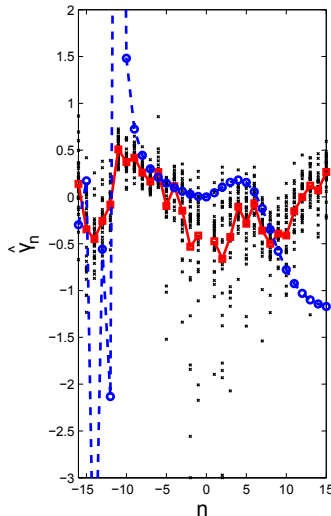
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- 1 Calibrate (vacuum batches):  $\alpha_n^*, \tau_n^*$
- 2 Spectrum (plasma batches): given  $\alpha_n^*, \tau_n^*$ , minimize w.r.t.  $\hat{\gamma}$ , i.e. find  $\hat{\gamma}_n^*$

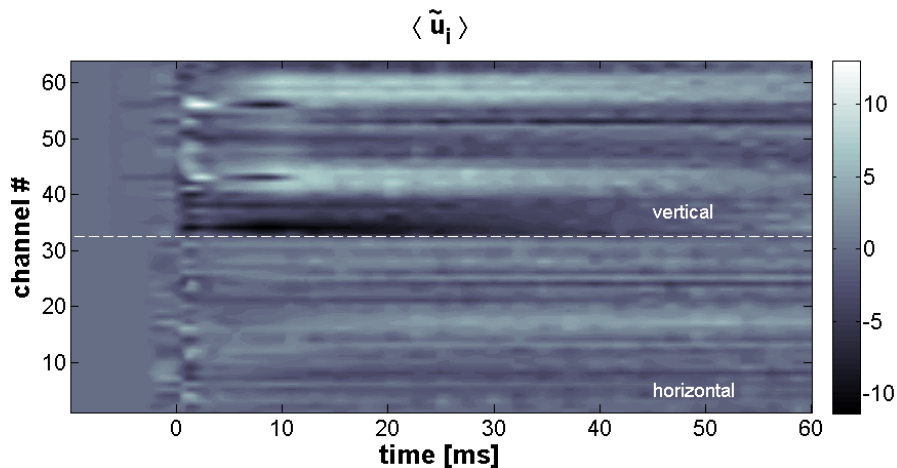
# Dry plant (vacuum) calibration parameters



# T2R spectrum & prediction-errors



# Reproducible machine error-field



# Some notes on first results

**overall** : instability and RFP spectrum shape do ‘emerge’  
from ‘obfuscated’ data!

**error-field** : time-resolved ‘negative’ as a by-product of signal  
preprocessing

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**higher  $|n|$**  : model prediction-error *larger*

**lower  $|n|$**  : model prediction-error *less systematic*

## Some notes on first results

- overall** : instability and RFP spectrum shape do ‘emerge’ from ‘obfuscated’ data!
- error-field** : time-resolved ‘negative’ as a by-product of signal preprocessing
- higher  $|n|$**  : model prediction-error *larger*
- lower  $|n|$**  : model prediction-error *less systematic*

### TODO

- Truncate model at a higher order and/or in a more clever way
- Sophistication of error-field separation

# Summary



- Data-driven modeling of RWM dynamics assessed



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- Independent RFP fourier-modes assumption tested
- Promising and useful for further development of control system

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- Data-driven modeling of RWM dynamics assessed
- Independent RFP fourier-modes assumption tested
- Promising and useful for further development of control system, among other things

# Thank you